

Generic Haskell—Practice and Theory

RALF HINZE

Institut für Informatik III, Universität Bonn

Römerstraße 164, 53117 Bonn, Germany

Email: ralf@informatik.uni-bonn.de

Homepage: <http://www.informatik.uni-bonn.de/~ralf>

August, 2002

(Pick the slides at [.../~ralf/talks.html#T33](http://www.informatik.uni-bonn.de/~ralf/talks.html#T33).)

Overview

- ▶ Generic Haskell—Introduction
- ▶ Generic Haskell—Practice
- ▶ Generic Haskell—Theory

Prerequisites

A basic knowledge of **Haskell** is desirable, as all the examples are given either in Haskell or in Generic Haskell, which is an extension of Haskell (and which is the subject of this lecture).

Generic Haskell—Introduction

- ▶ Type systems
- ▶ Haskell's `data` construct
- ▶ Towards generic programming
- ▶ Towards Generic Haskell

Safe languages

We probably all agree that **language safety** is a good thing.

A few definitions stressing different aspects (taken from Pierce's "Types and Programming Languages"):

- ▶ A **safe language** is one that makes it impossible to shoot yourself in the foot while programming.
- ▶ A **safe language** is one that protects its own abstractions.
- ▶ A **safe language** is one that prevents untrapped errors at run time.
- ▶ A **safe language** is completely defined by its programmer's manual.

Language safety can be achieved by **static type checking**, by **dynamic type checking**, or by a combination of static and dynamic checks.

Static typing

Static type checking has a number of benefits:

- ▶ Programming errors are detected at an early stage.
- ▶ Type systems enforce disciplined programming.
- ▶ Types promote abstraction (abstract data types, module systems).
- ▶ Types provide machine-checkable documentation.

However, type systems are always **conservative**: they must necessarily reject programs that behave well at run time.

Dynamic typing

This course has little to offer for addicts of dynamically typed languages.

Polymorphic type systems

Polymorphism complements safety by flexibility.

Polymorphism allows the definition of functions that behave uniformly over all types.

$$\begin{aligned} \mathbf{data} \textit{List} \ a &= \textit{Nil} \mid \textit{Cons} \ a \ (\textit{List} \ a) \\ \textit{length} &:: \forall a . \textit{List} \ a \rightarrow \textit{Int} \\ \textit{length} \ \textit{Nil} &= 0 \\ \textit{length} \ (\textit{Cons} \ a \ as) &= 1 + \textit{length} \ as \end{aligned}$$

The function *length* happens to be insensitive to the type of the list elements.

However, ...

... polymorphic type systems are sometimes less flexible than one would wish.

For instance, it is not possible to define a polymorphic **equality function**.

```
eq :: ∀a . a → a → Bool    -- does not work
```

Parametricity implies that a function of this type must necessarily be constant (roughly speaking, the two arguments cannot be inspected).

As a consequence, the programmer is forced to program a separate equality function for each type from scratch.

Haskell data construct

In Haskell new types are introduced via **data** declarations.

A Haskell data type is essentially **a sum of products**.

```
data String = Nil | Cons Char String
```

The type *String* is a binary sum. The first summand, *Nil*, is a nullary product and the second summand, *Cons*, is a binary product.

Haskell's data construct

Data types may have type arguments, that is, we have (a simple form of) **type abstraction** and **type application**.

```
data List a = Nil | Cons a (List a)
```

The type *List* is obtained from *String* by abstracting over *Char*.

Haskell's data construct

Type arguments may also range over **type constructors**.

```
data GRose f a = Branch a (f (GRose f a))
data Fix f     = In (f (Fix f))
```

Haskell's **kind system** ensures that type terms are well-formed. We have $GRose :: (* \rightarrow *) \rightarrow (* \rightarrow *)$ and $Fix :: (* \rightarrow *) \rightarrow *$.

The **'*** kind represents manifest types such as *Char* or *Int*.

The kind $k \rightarrow l$ represents type constructors that map type constructors of kind k to those of kind l .

Towards generic programming

Now, let's define equality functions for the types above.

$$eqString :: String \rightarrow String \rightarrow Bool$$
$$eqString Nil Nil = True$$
$$eqString Nil (Cons c' s') = False$$
$$eqString (Cons c s) Nil = False$$
$$eqString (Cons c s) (Cons c' s') = eqChar c c' \wedge eqString s s'$$

The function $eqChar :: Char \rightarrow Char \rightarrow Bool$ is equality of characters.

Towards generic programming

The type *List* is obtained from *String* by abstracting over *Char*. Likewise, *eqList* is obtained from *eqString* by abstracting over *eqChar*.

$$eqList :: \forall a. (a \rightarrow a \rightarrow Bool) \rightarrow (List\ a \rightarrow List\ a \rightarrow Bool)$$
$$\begin{aligned} eqList\ eqa\ Nil\ Nil &= True \\ eqList\ eqa\ Nil\ (Cons\ a'\ x') &= False \\ eqList\ eqa\ (Cons\ a\ x)\ Nil &= False \\ eqList\ eqa\ (Cons\ a\ x)\ (Cons\ a'\ x') &= eqa\ a\ a' \wedge eqList\ eqa\ x\ x' \end{aligned}$$

Towards generic programming

The type $GRose$ abstracts over a type constructor (of kind $* \rightarrow *$) and over a type (of kind $*$). The equality function $eqGRose$ follows the type structure.

$$\begin{aligned} eqGRose &:: \forall f . (\forall a . (a \rightarrow a \rightarrow Bool) \rightarrow (f a \rightarrow f a \rightarrow Bool)) \\ &\quad \rightarrow (\forall a . (a \rightarrow a \rightarrow Bool)) \\ &\quad \rightarrow (GRose f a \rightarrow GRose f a \rightarrow Bool)) \\ eqGRose \ eqf \ eqa \ (Branch \ a \ f) \ (Branch \ a' \ f') \\ &= \ eqa \ a \ a' \wedge \ eqf \ (eqGRose \ eqf \ eqa) \ f \ f' \end{aligned}$$

$$\begin{aligned} eqFix &:: \forall f . (\forall a . (a \rightarrow a \rightarrow Bool) \rightarrow (f a \rightarrow f a \rightarrow Bool)) \\ &\quad \rightarrow (Fix f \rightarrow Fix f \rightarrow Bool) \\ eqFix \ eqf \ (In \ f) \ (In \ f') &= \ eqf \ (eqFix \ eqf) \ f \ f' \end{aligned}$$

Towards Generic Haskell

- ▶ **Observation:** the type of eqT depends on the kind of T . The more complicated the kind of T , the more complicated the type of eqT .
- ▶ Apart from the typings, it's crystal clear what the definition of eqT looks like.
- ▶ Coding the equality function is boring and error-prone.
- ▶ Generic Haskell allows to capture the commonality.
- ▶ The generic equality function works for all types of all kinds (except, of course, for functional types).

Kind-indexed types

The type of the generic equality function is captured by the following **kind-indexed type** (the part enclosed in $\{\cdot\}$ is the kind index).

```
type Eq{*} t      = t → t → Bool
type Eq{k → l} t = ∀ a . Eq{k} a → Eq{l} (t a)
```

We have $eqString :: Eq\{*\} String$, $eqList :: Eq\{* \rightarrow *\} List$, and $eqFix :: Eq\{(* \rightarrow *) \rightarrow *\} Fix$.

Sums and products

- ▶ Recall that Haskell's data types are essentially sums of products.
- ▶ To cover data types the generic programmer only has to define the generic function for binary sums and binary products (and nullary products).
- ▶ To this end Generic Haskell provides the following data types.

```
data Unit    = Unit  
data a :* b  = a :* b  
data a :+: b = Inl a | Inr b
```

Type-indexed values

The definition of generic equality is straightforward (eq is a type-indexed value; the part enclosed in $\{\cdot\}$ is the type index).

$$\begin{aligned} eq\{t :: k\} &:: Eq\{k\} t \\ eq\{Char\} &= eqChar \\ eq\{Int\} &= eqInt \\ eq\{Unit\} Unit Unit &= True \\ eq\{:+:\} eqa eqb (Inl a) (Inl a') &= eqa a a' \\ eq\{:+:\} eqa eqb (Inl a) (Inr b') &= False \\ eq\{:+:\} eqa eqb (Inr b) (Inl a') &= False \\ eq\{:+:\} eqa eqb (Inr b) (Inr b') &= eqb b b' \\ eq\{*:\} eqa eqb (a :* b) (a' :* b') &= eqa a a' \wedge eqb b b' \end{aligned}$$

Generic Haskell takes care of type abstraction, type application and type recursion.

Generic application

Given the definition above we can use generic equality at any type of any kind.

```
eq{List Char} "hello" "Hello"
```

```
⇒ False
```

```
let sim c c' = eqChar (toUpper c) (toUpper c')
```

```
eq{List} sim "hello" "Hello"
```

```
⇒ True
```

Generic abstraction

Common idioms can be captured using generic abstractions.

$$\begin{aligned} \mathit{similar}\{t :: * \rightarrow *\} &:: \forall t. t \text{ Char} \rightarrow t \text{ Char} \rightarrow \text{Bool} \\ \mathit{similar}\{t\} &= \mathit{eq}\{t\} \mathit{sim} \end{aligned}$$

Note that *similar* is only applicable to type constructors of kind $* \rightarrow *$.

Stocktaking

Modern functional programming languages such as Haskell 98 typically have a three level structure (ignoring the module system).

- ▶ values
- ▶ types — imposing structure on the value level
- ▶ kinds — imposing structure on the type level

Stocktaking

In 'ordinary' programming we define

- ▶ values depending on values (called functions),
- ▶ types depending on types (called type constructors).

Generic programming adds to this list the possibility of defining

- ▶ values depending on types (called generic functions or type-indexed values),
- ▶ types depending on kinds (called kind-indexed types).

Type-safety is not compromised.

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Overview

- ✓ Generic Haskell: Introduction
- ▶ Generic Haskell: Practice
- ▶ Generic Haskell: Theory

Generic Haskell—Practice

- ▶ Mapping functions
- ▶ Kind-indexed types and type-indexed values
- ▶ Reductions
- ▶ Pretty printing

Mapping functions

Can we generalize mapping functions so that they work for **all** types of **all** kinds?

Yes!

Let's tackle the type first. A first attempt:

```
type Map{*} t      = t → t      -- WRONG
type Map{k → l} t = ∀a. Map{k} a → Map{l} (t a)
```

Alas, we have $\text{Map}\{* \rightarrow *\} \text{List} = \forall a. (a \rightarrow a) \rightarrow (\text{List } a \rightarrow \text{List } a)$, which is not general enough.

Mapping functions

We need two type arguments:

```
type Map{*} t1 t2      = t1 → t2
type Map{k → l} t1 t2 = ∀ a1 a2 . Map{k} a1 a2
                                   → Map{l} (t1 a1) (t2 a2)
map{t :: k}                :: Map{k} t t
```

Now, $\text{Map}\{* \rightarrow *\} \text{List List} = \forall a_1 a_2. (a_1 \rightarrow a_2) \rightarrow (\text{List } a_1 \rightarrow \text{List } a_2)$ as desired.

Mapping functions

The definition of *map* itself is straightforward (really!):

$$\begin{aligned} \text{map}\{t :: k\} &:: \text{Map}\{k\} t t \\ \text{map}\{Char\} c &= c \\ \text{map}\{Int\} i &= i \\ \text{map}\{Unit\} Unit &= Unit \\ \text{map}\{:+:\} \text{mapa mapb} (Inl a) &= Inl (\text{mapa } a) \\ \text{map}\{:+:\} \text{mapa mapb} (Inr b) &= Inr (\text{mapb } b) \\ \text{map}\{*:\} \text{mapa mapb} (a :* b) &= \text{mapa } a :* \text{mapb } b \end{aligned}$$

Mapping functions

Generic applications:

```
map{List Char} "hello world"  
⇒ "hello world"  
map{List} toUpper "hello world"  
⇒ "HELLO WORLD"
```

Generic abstraction:

```
distribute{t :: * → *} :: ∀ a b . t a → b → t (a, b)  
distribute{t} x b      = map{t} (λa → (a, b)) x
```

Kind-indexed types

In general, a kind-indexed type is defined as follows:

```
type Poly{[*]}  $t_1 \dots t_n = \dots$   
type Poly{ $k \rightarrow l$ }  $t_1 \dots t_n$   
       $= \forall a_1 \dots a_n . \textit{Poly}\{k\} a_1 \dots a_n$   
       $\rightarrow \textit{Poly}\{l\} (t_1 a_1) \dots (t_n a_n)$ 
```

The second clause is the same for all kind-indexed types.

NB. Generic Haskell allows a slightly more general form (see below).

Type-indexed values

A type-indexed value is defined as follows:

$$\begin{aligned} \text{poly}\{t :: k\} &:: \text{Poly}\{k\} t \dots t \\ \text{poly}\{Char\} &= \dots \\ \text{poly}\{Int\} &= \dots \\ \text{poly}\{Unit\} &= \dots \\ \text{poly}\{:+:\} \text{polya polyb} &= \dots \\ \text{poly}\{::*\} \text{polya polyb} &= \dots \end{aligned}$$

We have one clause for each primitive type (*Char*, *Int* etc) and one clause for each of the three type constructors *Unit*, *::+:*, and *::*:*.

NB. The type signature can be more elaborate (we will see examples of this).

Equality, revisited

Recall the type of the generic equality function:

```
type  $Eq\{*\} t$       =  $t \rightarrow t \rightarrow Bool$   
type  $Eq\{k \rightarrow l\} t$  =  $\forall a. Eq\{k\} a \rightarrow Eq\{l\} (t a)$ 
```

In fact, the two arguments need not be of the same type.

```
type  $Eq\{*\} t_1 t_2$     =  $t_1 \rightarrow t_2 \rightarrow Bool$   
type  $Eq\{k \rightarrow l\} t_1 t_2$  =  $\forall a_1 a_2. Eq\{k\} a_1 a_2 \rightarrow Eq\{l\} (t_1 a_1) (t_2 a_2)$ 
```

The definition of *eq* is not affected by this change!

Reductions

The Haskell standard library defines a vast number of list processing functions. Among others:

<i>sum, product</i>	$:: (Num\ a) \Rightarrow [a] \rightarrow a$
<i>and, or</i>	$:: [Bool] \rightarrow Bool$
<i>all, any</i>	$:: (a \rightarrow Bool) \rightarrow [a] \rightarrow Bool$
<i>length</i>	$:: [a] \rightarrow Int$
<i>minimum, maximum</i>	$:: (Ord\ a) \Rightarrow [a] \rightarrow a$
<i>concat</i>	$:: [[a]] \rightarrow [a]$

These are examples of so-called **reductions**. A reduction reduces (or crushes) a list of something to something. Reductions can be generalized from lists to arbitrary data types.

A simple case: summing up

Let's start with a simple instance.

type $Sum\{*\}$ t	$= t \rightarrow Int$
type $Sum\{k \rightarrow l\}$ t	$= \forall a . Sum\{k\} a \rightarrow Sum\{l\} (t a)$
$sum\{t :: k\}$	$:: Sum\{k\} t$
$sum\{Char\} c$	$= 0$
$sum\{Int\} i$	$= 0$
$sum\{Unit\} Unit$	$= 0$
$sum\{:+\} suma sumb (Inl a)$	$= suma a$
$sum\{:+\} suma sumb (Inr b)$	$= sumb b$
$sum\{:* \} suma sumb (a :* b)$	$= suma a + sumb b$

A simple case: summing up

Generic applications.

$$\begin{aligned} \text{sum}\{List\ Int\} [2, 7, 1965] \\ \implies 0 \\ \text{sum}\{List\} id [2, 7, 1965] \\ \implies 1974 \\ \text{sum}\{List\} (const\ 1) [2, 7, 1965] \\ \implies 3 \end{aligned}$$

Generic abstractions.

$$\begin{aligned} fsum\{t :: * \rightarrow *\} &:: t\ Int \rightarrow Int \\ fsum\{t\} &= sum\{t\} id \\ fsize\{t :: * \rightarrow *\} &:: \forall a . t\ a \rightarrow Int \\ fsize\{t\} &= sum\{t\} (const\ 1) \end{aligned}$$

Reductions

We abstract away from Int , 0 and $'+'$.

```
type Reduce $\{[*]\}$   $t\ x$       =  $x \rightarrow (x \rightarrow x \rightarrow x) \rightarrow t \rightarrow x$   
type Reduce $\{[k \rightarrow l]\}$   $t\ x$  =  $\forall a. Reduce\{[k]\} a\ x \rightarrow Reduce\{[l]\} (t\ a)\ x$ 
```

Note that the type argument x is passed unchanged to the recursive calls (x can be seen as being global to the definition).

Reductions

The generic function *reduce* generalizes *sum*.

$reduce\{t :: k\}$	$:: \forall x . Reduce\{k\} t x$
$reduce\{Char\} e op c$	$= e$
$reduce\{Int\} e op i$	$= e$
$reduce\{Unit\} e op Unit$	$= e$
$reduce\{:+:\} reda redb e op (Inl a)$	$= reda e op a$
$reduce\{:+:\} reda redb e op (Inr b)$	$= redb e op b$
$reduce\{:*:\} reda redb e op (a :* b)$	$= reda e op a 'op' redb e op b$

Reductions

$$\begin{aligned} freduce\{t :: * \rightarrow *\} &:: \forall x . x \rightarrow (x \rightarrow x \rightarrow x) \rightarrow t\ x \rightarrow x \\ freduce\{t\} &= reduce\{t\} (\lambda e\ op\ a \rightarrow a) \\ fsum\{t\} &= freduce\{t\} 0 (+) \\ fproduct\{t\} &= freduce\{t\} 1 (*) \\ fand\{t\} &= freduce\{t\} True (\wedge) \\ for\{t\} &= freduce\{t\} False (\vee) \\ fall\{t\} f &= fand\{t\} \cdot map\{t\} f \\ fany\{t\} f &= for\{t\} \cdot map\{t\} f \\ fminimum\{t\} &= freduce\{t\} maxBound min \\ fmaximum\{t\} &= freduce\{t\} minBound max \\ fflatten\{t\} &= freduce\{t\} [] (\oplus) \end{aligned}$$

Pretty printing

Let's reimplement (a simple version of) Haskell's *shows* function.

Problem: we need to know the constructor names.

Solution: we introduce an additional case:

$$\text{poly}\{ \text{Con } c \} \text{ poly} = \dots$$

This case is invoked whenever we pass by a constructor.

The variable c is bound to a **value** of type *ConDescr* and provides information about the name of a constructor, its arity etc.

Pretty printing

```
data ConDescr = ConDescr { conName :: String,  
                           conType :: String,  
                           conArity :: Int,  
                           conLabels :: Bool,  
                           conFixity :: Fixity }
```

```
data Fixity = Nonfix  
            | Infix { prec :: Int }  
            | Infixl { prec :: Int }  
            | Infixr { prec :: Int }
```

Pretty printing

Via ‘+:’ we get to the constructors, *Con* signals that we hit a constructor, and via ‘*:’ we get to the arguments of a constructor.

```
type Shows{*} t           = t → ShowS
type Shows{k → l} t       = ∀a . Shows{k} a → Shows{l} (t a)
gshows{t :: k}            :: Shows{k} t
gshows{+:} sa sb (Inl a)  = sa a
gshows{+:} sa sb (Inr b) = sb b
gshows{Con c} sa (Con a)
  | conArity c == 0       = showString (conName c)
  | otherwise              = showChar ' (' · showString (conName c)
                             · showChar ' ' · sa a · showChar ')'
gshows{*:} sa sb (a *: b) = sa a · showChar ' ' · sb b
gshows{Unit} Unit         = showString ""
gshows{Char} Char         = shows
gshows{Int} Int           = shows
```

Pretty printing

The generic programmer views, for instance, the list data type

```
data List a = Nil | Cons a (List a)
```

as if it were given by the following type definition.

```
type List a = (Con Unit) :+: (Con (a :* List a))
```

Pretty printing

The *shows* function generates one long string.

We can do better using pretty printing combinators.

```
empty    :: Doc  
(◇)      :: Doc → Doc → Doc  
string  :: String → Doc  
nl      :: Doc  
nest    :: Int → Doc → Doc  
group   :: Doc → Doc  
ppParen :: Bool → Doc → Doc
```

Pretty printing

```
type Pretty{*} t = Int → t → Doc
type Pretty{k → l} t = ∀a . Pretty{k} a → Pretty{l} (t a)
ppPrec{t :: k} :: Pretty{k} t
ppPrec{:+:} ppa ppb d (Inl a) = ppa d a
ppPrec{:+:} ppa ppb d (Inr b) = ppb d b
ppPrec{Con c} ppa d (Con a)
  | conArity c == 0 = string (conName c)
  | otherwise      = group (nest 2 (ppParen (d > 9) doc))
where doc = string (conName c) ◇ nl ◇ ppa 10 a
ppPrec{:*:} ppa ppb d (a *: b) = ppa d a ◇ nl ◇ ppb d b
ppPrec{Unit} d Unit = empty
ppPrec{Int} d i = string (show i)
ppPrec{Char} d c = string (show c)
```

Stocktaking

- ▶ A generic function works for all types of all kinds.
- ▶ A type-indexed value has a kind-indexed type.
- ▶ Constructor names are accessed via the *Con* case.

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Overview

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Generic Haskell—Theory

- ▶ Modelling data types
- ▶ The simply typed lambda calculus
- ▶ The polymorphic lambda calculus
- ▶ Specialization as an interpretation
- ▶ Bridging the gap

Specialization

Generic Haskell takes a transformational approach: a generic function is translated into a family of polymorphic functions.

This transformation can be phrased as an interpretation of the simply typed lambda calculus (types are simply typed lambda terms with kinds playing the role of types).

To make this precise we switch from Haskell to the polymorphic lambda calculus (also known as $F\omega$).

The polymorphic lambda calculus uses structural equivalence of types, whereas Haskell's type system is based on name equivalence. We have to do a bit of extra work to bridge the gap.

Modelling data types

Recall: the generic programmer views the data type

```
data List a = Nil | Cons a (List a)
```

as if it were given by the following type definition.

```
type List a = Unit :+: (a :* List a)
```

NB. For simplicity, we omit the *Con* types.

Modelling data types

Haskell offers (a simple form of) type abstraction and type application. Thus, types can be modelled by terms of the simply typed lambda calculus.

$$\text{type } List = \Lambda A. Fix (\Lambda L. Unit :+: (A :* L))$$

Here, *Fix* is the fixed point combinator and *Unit*, ‘:+:’, and ‘:*:’ are type constants.

NB. We are cheating a bit here. In Haskell each **data** declaration introduces a new type (which is not equal to a sum of products). We will address this point later.

The simply typed lambda calculus

Kinds.

$\mathfrak{K}, \mathfrak{U} \in Kind$	$::= *$	base kind
	$ (\mathfrak{K} \rightarrow \mathfrak{U})$	function kind

Types.

$C \in Const$		
$T, U \in Type$	$::= C$	type constant
	$ A$	type variable
	$ (\lambda A :: \mathfrak{U}. T)$	type abstraction
	$ (T U)$	type application

We assume that $Const$ contains at least $Unit$, $'::+::'$, $'::*::'$, and a family of fixed point combinators $Fix_{\mathfrak{K}} :: (\mathfrak{K} \rightarrow \mathfrak{K}) \rightarrow \mathfrak{K}$.

Applicative structures

An **applicative structure** \mathcal{E} is a tuple $(\mathbf{E}, \mathbf{app}, \mathbf{const})$ such that

- ▶ $\mathbf{E} = (\mathbf{E}^{\mathcal{T}} \mid \mathcal{T} \in Type)$,
- ▶ $\mathbf{app} = (\mathbf{app}_{\mathcal{T}, \mathcal{U}} : \mathbf{E}^{\mathcal{T} \rightarrow \mathcal{U}} \rightarrow (\mathbf{E}^{\mathcal{T}} \rightarrow \mathbf{E}^{\mathcal{U}}) \mid \mathcal{T}, \mathcal{U} \in Type)$, and
- ▶ $\mathbf{const} : Const \rightarrow \mathbf{E}$ with $\mathbf{const}(C :: \mathcal{T}) \in \mathbf{E}^{\mathcal{T}}$.

An applicative structure is **extensional** if $\mathbf{app}_{\mathcal{T}, \mathcal{U}} \phi_1 = \mathbf{app}_{\mathcal{T}, \mathcal{U}} \phi_2$ implies $\phi_1 = \phi_2$ (that is, $\mathbf{app}_{\mathcal{T}, \mathcal{U}}$ is one-to-one).

Environment models

An applicative structure $\mathcal{E} = (\mathbf{E}, \mathbf{app}, \mathbf{const})$ is an **environment model** if it is **extensional** and if the clauses below define a total meaning function.

$$\begin{aligned}\mathcal{E}[C :: \mathfrak{T}]\eta &= \mathbf{const}(C) \\ \mathcal{E}[A :: \mathfrak{T}]\eta &= \eta(A) \\ \mathcal{E}[(\Lambda A . T) :: (\mathfrak{S} \rightarrow \mathfrak{T})]\eta &= \text{the unique } \phi \in \mathbf{E}^{\mathfrak{S} \rightarrow \mathfrak{T}} \text{ such that} \\ &\quad \mathbf{app}_{\mathfrak{S}, \mathfrak{T}} \phi \delta = \mathcal{E}[T :: \mathfrak{T}]\eta(A := \delta) \\ \mathcal{E}[(T U) :: \mathfrak{V}]\eta &= \mathbf{app}_{\mathfrak{U}, \mathfrak{V}} (\mathcal{E}[T :: \mathfrak{U} \rightarrow \mathfrak{V}]\eta) (\mathcal{E}[U :: \mathfrak{U}]\eta)\end{aligned}$$

Extensionality ensures that there is at most one ϕ ; there is at least one ϕ if \mathcal{E} has 'enough points' (so that S and K combinators can be defined).

The polymorphic lambda calculus

Type schemes.

$R, S \in Scheme$	$::=$	T	type term
		$(R \rightarrow S)$	functional type
		$(\forall A :: \mathcal{U}. S)$	polymorphic type

The polymorphic lambda calculus

Terms.

$c \in \text{const}$		
$t, u \in \text{Term} ::=$	c	constant
	$ $	
	a	variable
	$ $	
	$(\lambda a :: S . t)$	abstraction
	$ $	
	$(t u)$	application
	$ $	
	$(\lambda A :: \mathcal{U} . t)$	universal abstraction
	$ $	
	$(t R)$	universal application

We assume that *const* includes at least the polymorphic fixed point operator $fix :: \forall A . (A \rightarrow A) \rightarrow A$ and suitable constants for each type constant.

Generic functions as models

Here is the definition of *map* using the syntax of the polymorphic lambda calculus.

$$\begin{aligned} \text{Map}\{*\} T_1 T_2 &= T_1 \rightarrow T_2 \\ \text{Map}\{\{\mathcal{T} \rightarrow \mathcal{U}\}\} T_1 T_2 &= \forall A_1 A_2. \text{Map}\{\{\mathcal{T}\}\} A_1 A_2 \\ &\quad \rightarrow \text{Map}\{\{\mathcal{U}\}\} (T_1 A_1) (T_2 A_2) \\ \text{map}\{\text{Unit}\} &= \lambda u. u \\ \text{map}\{\{+\}\} &= \lambda A_1 A_2. \lambda \text{map}_A :: (A_1 \rightarrow A_2). \\ &\quad \lambda B_1 B_2. \lambda \text{map}_B :: (B_1 \rightarrow B_2). \\ &\quad \lambda s. \mathbf{case\ } s \mathbf{ of} \{ \text{inl } a \Rightarrow \text{inl } (\text{map}_A a); \\ &\quad \quad \quad \text{inr } b \Rightarrow \text{inr } (\text{map}_B b) \} \\ \text{map}\{\{*\}\} &= \lambda A_1 A_2. \lambda \text{map}_A :: (A_1 \rightarrow A_2). \\ &\quad \lambda B_1 B_2. \lambda \text{map}_B :: (B_1 \rightarrow B_2). \\ &\quad \lambda p. (\text{map}_A (\text{outl } p), \text{map}_B (\text{outr } p)) \end{aligned}$$

Generic functions as models

The applicative structure $\mathcal{M} = (\mathbf{M}, \mathbf{app}, \mathbf{const})$ with

$$\mathbf{M}^{\mathfrak{T}} = \langle T_1, T_2 :: \mathfrak{T}; \text{Map}\{\{\mathfrak{T}\}\} T_1 T_2 \rangle$$

$$\mathbf{app}_{\mathfrak{T}, \mathfrak{U}} \langle F_1, F_2; f \rangle \langle A_1, A_2; a \rangle = \langle F_1 A_1, F_2 A_2; f A_1 A_2 a \rangle$$

$$\mathbf{const}(C) = \langle C, C; \text{map}\{C\} \rangle$$

is an environment model. Here, $\langle T_1, T_2 :: \mathfrak{T}; F T_1 T_2 \rangle$ denotes a dependent product.

Formally, one has to work with equivalence classes of types and terms.

Fixed points

To model recursion the set of type constants includes a family of fixed point combinators: $Fix_{\mathcal{T}} :: (\mathcal{T} \rightarrow \mathcal{T}) \rightarrow \mathcal{T}$.

They can be interpreted generically, that is, the interpretation is the same for each generic function (of the same 'arity').

$$\mathbf{const}(Fix_{\mathcal{T}}) = \langle Fix_{\mathcal{T}}, Fix_{\mathcal{T}}; \lambda F_1 F_2 . \lambda f :: Map_{\mathcal{T} \rightarrow \mathcal{T}} F_1 F_2 . \\ lfp (f (Fix_{\mathcal{T}} F_1) (Fix_{\mathcal{T}} F_2)) \rangle,$$

where $lfp :: \forall A . (A \rightarrow A) \rightarrow A$ is the fixed point combinator on the term level (its type argument $Map_{\mathcal{T}} (Fix_{\mathcal{T}} F_1) (Fix_{\mathcal{T}} F_2)$ is omitted above).

An example

As a simple example let us specialize *map* for the type *Matrix*.

$$\begin{aligned} \text{Matrix} &:: * \rightarrow * \\ \text{Matrix} &= \Lambda A. \text{List} (\text{List } A) \end{aligned}$$
$$\mathcal{M}[\text{Matrix}] = \langle \text{Matrix}, \text{Matrix}; \text{mapMatrix} \rangle$$
$$\begin{aligned} \text{mapMatrix} &:: \forall A_1 A_2. (A_1 \rightarrow A_2) \rightarrow (\text{Matrix } A_1 \rightarrow \text{Matrix } A_2) \\ \text{mapMatrix} &= \lambda A_1 A_2. \lambda \text{map}_A :: (A_1 \rightarrow A_2). \\ &\quad \text{mapList} (\text{List } A_1) (\text{List } A_2) (\text{mapList } A_1 A_2 \text{map}_A) \end{aligned}$$

Bridging the gap

In Haskell, the type $List\ A$ is not equal to $Unit\ :+: (a\ :* List\ a)$. We have to perform some impedance-matching.

We introduce **generic representation types**, which mediate between the two representations. For instance, the generic representation type for $List$ is given by

```
type Listo a = Unit :+: a * List a.
```

NB. $List^o$ is not recursive.

Idea: generate code for $poly\{List^o\}$ and then implement $poly\{List\}$ by applying a representation transformer.

Conversion

The type $List^\circ A$ is isomorphic to $List A$.

$$\begin{aligned} fromList &:: \forall A. List A \rightarrow List^\circ A \\ fromList Nil &= Inl Unit \\ fromList (Cons x xs) &= Inr (x :* xs) \\ toList &:: \forall A. List^\circ A \rightarrow List A \\ toList (Inl Unit) &= Nil \\ toList (Inr (x :* xs)) &= Cons x xs \end{aligned}$$

Embedding-projection maps

The conversion functions must be applied at the appropriate places.

Take as examples:

```
type GShows =  $\Lambda T . T \rightarrow String \rightarrow String$   
type GReads =  $\Lambda T . String \rightarrow [(T, String)]$ .
```

We have to convert a function of type $GShows (List^\circ A)$ to a function of type $GShows (List A)$ and a function of type $GReads (List^\circ A)$ to a function of type $GReads (List A)$.

That's exactly what a **mapping function** is good for.

Embedding-projection maps

We need functions that convert back and fro (the operators '+', '*', '→' denote the 'ordinary' mapping functions).

$$\begin{aligned} \mathbf{data} \text{ EP } A_1 A_2 &= \text{EP}\{\text{from} :: A_1 \rightarrow A_2, \text{to} :: A_2 \rightarrow A_1\} \\ \text{id}_E &:: \forall A. \text{EP } A A \\ \text{id}_E &= \text{EP}\{\text{from} = \text{id}, \text{to} = \text{id}\} \\ (+_E) &:: \forall A_1 A_2. \text{EP } A_1 A_2 \rightarrow \forall B_1 B_2. \text{EP } B_1 B_2 \\ &\quad \rightarrow \text{EP } (A_1 :+: B_1) (A_2 :+: B_2) \\ f +_E g &= \text{EP}\{\text{from} = \text{from } f + \text{from } g, \text{to} = \text{to } f + \text{to } g\} \\ (*_E) &:: \forall A_1 A_2. \text{EP } A_1 A_2 \rightarrow \forall B_1 B_2. \text{EP } B_1 B_2 \\ &\quad \rightarrow \text{EP } (A_1 :* B_1) (A_2 :* B_2) \\ f *_E g &= \text{EP}\{\text{from} = \text{from } f * \text{from } g, \text{to} = \text{to } f * \text{to } g\} \\ (\rightarrow_E) &:: \forall A_1 A_2. \text{EP } A_1 A_2 \rightarrow \forall B_1 B_2. \text{EP } B_1 B_2 \\ &\quad \rightarrow \text{EP } (A_1 \rightarrow B_1) (A_2 \rightarrow B_2) \\ f \rightarrow_E g &= \text{EP}\{\text{from} = \text{to } f \rightarrow \text{from } g, \text{to} = \text{from } f \rightarrow \text{to } g\} \end{aligned}$$

Embedding-projection maps

$$\begin{aligned} \text{MapE}\{*\} T_1 T_2 &= EP T_1 T_2 \\ \text{MapE}\{\mathcal{T} \rightarrow \mathcal{U}\} T_1 T_2 &= \forall A_1 A_2 . \text{MapE}\{\mathcal{T}\} A_1 A_2 \\ &\quad \rightarrow \text{MapE}\{\mathcal{U}\} (T_1 A_1) (T_2 A_2) \\ \text{mapE}\{T :: \mathcal{T}\} &:: \text{MapE}\{\mathcal{T}\} T T \\ \text{mapE}\{Char\} &= id_E \\ \text{mapE}\{Int\} &= id_E \\ \text{mapE}\{Unit\} &= id_E \\ \text{mapE}\{:+\} mA mB &= mA +_E mB \\ \text{mapE}\{:*\} mA mB &= mA *_E mB \\ \text{mapE}\{\rightarrow\} mA mB &= mA \rightarrow_E mB \end{aligned}$$

The grand final

$$\begin{aligned} \text{convList} &:: \forall A. EP (List\ A) (List^\circ\ A) \\ \text{convList} &= EP\{from = fromList, to = toList\} \end{aligned}$$
$$\begin{aligned} gshows\{List\}\ sa &= mapE\{GShows\}\ convList (gshows\{List^\circ\}\ sa) \\ greads\{List\}\ sa &= mapE\{GReads\}\ convList (greads\{List^\circ\}\ sa) \end{aligned}$$

NB. Of course, $mapE\{Poly\}$ has to be generated 'by hand'.

Stocktaking

- ▶ Generic Haskell takes a transformational approach: a generic function is translated into a family of polymorphic functions.
- ▶ Specialization can be seen as an interpretation of type terms.
- ▶ Adapting the techniques to Haskell involves systematic application of representation changers.
- ▶ The basic proof method of the simply typed lambda calculus, based on so-called **logical relations**, can be used to show properties of generic functions.

Concluding remarks

- ▶ Generic programming considerably adds to the expressive power of polymorphic type systems.
- ▶ A generic program can be made to work for all types of all kinds. A type-indexed value is assigned a kind-indexed type.
- ▶ Generic Haskell is a full implementation of the theory. Moreover, it offers several extensions: access to constructor names, generic abstractions etc.