# Satisfiability as Abstract Interpretation 

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## A Tale of Two Communities



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## $\underset{(1962)}{\text { DPLL }} \underset{(1996)}{\text { CDCL }}$ solvers

are
(proper) abstract interpreters

$$
\underset{(1977)}{\mathrm{Al}}
$$

## Why does this matter?

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" the practical success of SAT has come as a surprise to many in the computer science community. The combination of strong practical drivers and open competition in this experimental research effort created enough momentum to overcome the pessimism based on theory. Can we take these lessons to other problems and domains?"

- Malik \& Zhang, 2009

Why does this matter?

## Why does this matter?



Why does this matter?

## ACDCL(A) <br> $\longleftrightarrow$ Abstract domain $A$

## Why does this matter?



## ACDCL(A) <br> $\longleftrightarrow$ Abstract domain A

# Conflict Driven Clause Learning 

## Interpreting Logic

CDCL is Abstract Interpretation
$\operatorname{ACDCL}(A)$

## The CDCL Algorithm Jargon Slide

Propositions
Literal

Clause

CNF formula


Assignment
Satisfiability
finite set $V$
$p, \neg p \quad p \in V$
disjunction of literals
conjunction of clauses
partial function $V \rightarrow\{\mathrm{t}, \mathrm{f}\}$
Does there exists an assignment $V \rightarrow\{\mathrm{t}, \mathrm{f}\}$ such that $\varphi$ is true?

## The CDCL Algorithm

Propositional CNF formula $\varphi=(p \vee \neg q) \wedge \ldots \wedge(\neg r \wedge w \wedge q)$


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## Boolean Constraint Propagation (BCP)

Operates over a partial function (variable assignment)

$$
V \rightarrow\{\mathrm{t}, \mathrm{f}\}
$$



# Boolean Constraint Propagation (BCP) 

## Unit Rule



## Boolean Constraint Propagation (BCP)

$$
\begin{array}{rlrl}
p & \mapsto \mathrm{t} & \quad \text { Unit Rule } \\
q & \mapsto \mathrm{f} \\
r & \mapsto \mathrm{f} & \ldots \wedge(\neg p \vee q \vee r \vee \neg w) \wedge \ldots
\end{array}
$$



## Boolean Constraint Propagation (BCP)

$$
\begin{array}{cc}
p \mapsto \mathrm{t} & \text { Unit Rule } \\
q \mapsto \mathrm{f} & \\
r \mapsto \mathrm{f} & \ldots \wedge(\curvearrowleft p) \vee q \vee r \vee \neg w) \wedge \ldots
\end{array}
$$



## Boolean Constraint Propagation (BCP)

$$
\begin{array}{rc}
\hline p \mapsto \mathrm{t} & \quad \text { Unit Rule } \\
\frac{q \mapsto f}{r \mapsto f} & \ldots \wedge(\curvearrowleft p) \vee(q) \vee r \vee \neg w) \wedge \ldots
\end{array}
$$



## Boolean Constraint Propagation (BCP)




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Boolean Constraint Propagation (BCP)
$\mathrm{BCP}=$ Exhaustive application of unit rule


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$$
\rightleftarrows \quad p \mapsto \mathrm{t}
$$



Boolean Constraint Propagation (BCP)
$\mathrm{BCP}=$ Exhaustive application of unit rule

$$
\rightleftarrows \quad p \mapsto \mathrm{t}
$$



Boolean Constraint Propagation (BCP)
$\mathrm{BCP}=$ Exhaustive application of unit rule

$$
\begin{array}{r}
\rightleftarrows p \mapsto \mathrm{t} \longmapsto p
\end{array} \begin{array}{r}
\mathrm{t} \\
\\
q \mapsto \mathrm{f}
\end{array}
$$



$$
\begin{aligned}
& p \mapsto \mathrm{t} \\
& q \mapsto \mathrm{f}
\end{aligned}
$$



Pick an unassigned variable and assign a truth value

$$
\begin{gathered}
p \mapsto \mathrm{t} \\
q \mapsto \mathrm{f}
\end{gathered}
$$



Pick an unassigned variable and assign a truth value

$$
\begin{gathered}
p \mapsto \mathrm{t} \\
q \mapsto \mathrm{f}
\end{gathered} \quad \Longleftrightarrow \begin{aligned}
& p \mapsto \mathrm{t} \\
& q \mapsto \mathrm{f} \\
& r \mapsto \mathrm{f}
\end{aligned}
$$


$p \mapsto t$
$q \mapsto \mathrm{f}$
$r \mapsto f$







$$
r \mapsto \mathrm{f}
$$





$q \mapsto \mathrm{f}$ and $r \mapsto \mathrm{f}$ is not possible

learn lemma
$q \mapsto \mathrm{f}$ and $r \mapsto \mathrm{f}$ is not possible
$q \vee r$


$$
\varphi=p \wedge(\neg p \vee \neg q) \wedge(q \vee r \vee \neg w) \wedge(q \vee r \vee w) \quad q \vee r
$$



$$
\varphi=p \wedge(\neg p \vee \neg q) \wedge(q \vee r \vee \neg w) \wedge(q \vee r \vee w) \wedge(q \vee r)
$$




$$
\varphi=p \wedge(\neg p \vee \neg q) \wedge(q \vee r \vee \neg w) \wedge(q \vee r \vee w) \wedge(q \vee r)
$$

$p \mapsto \mathrm{t}$
$q \mapsto \mathrm{f}$
$r \mapsto f$

$w \mapsto f$


$$
\varphi=p \wedge(\neg p \vee \neg q) \wedge(q \vee r \vee \neg w) \wedge(q \vee r \vee w) \wedge(q \vee r)
$$

$$
p \mapsto \mathrm{t}
$$

$$
q \mapsto \mathrm{f}
$$

$$
r \mapsto \mathrm{f}
$$

$$
\Longrightarrow \begin{aligned}
& p \mapsto \mathrm{t} \\
& q \mapsto \mathrm{f}
\end{aligned}
$$

$$
\left.\left.\Longrightarrow \begin{array}{c}
p \mapsto \mathrm{t} \\
q \mapsto \mathrm{f}
\end{array}\right) \quad \begin{array}{l}
r \mapsto \mathrm{t}
\end{array}\right)
$$

## The CDCL Algorithm

 One Line SummariesBCP and decisions construct an assignment $\quad \cdots$
$\vdots$
$\vdots$
$\vdots$
Model theoretic search guides proof theoretic search

Important: CDCL is more than case splitting

# Conflict Driven Clause Learning 

## Interpreting Logic

CDCL is Abstract Interpretation
$\operatorname{ACDCL}(A)$

$$
\varphi=p \wedge(\neg p \vee \neg q) \wedge(q \vee r \vee \neg w) \wedge(q \vee r \vee w)
$$

## Imagine no assignments, it's easy if you try



Imagine only Booleans,
I wonder if you can

```
\ominus O O c] sat.c (/private/tmp) - VIM
    H4
int main(void)
{
    bool p,q,r,w;
    if(p && (!p || q) && (q || r || !w) && (q || r || w))
        assert(0);
    return 0;
}
/private/tmp/sat.c [P0S=0002,0004][16%] [LEN=12]
```


## Concrete Interpretation

$$
P=\{\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{t}\rangle,\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{f}\rangle\} \quad Q=\{\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{t}\rangle,\langle p \mapsto \mathrm{f}, q \mapsto \mathrm{t}\rangle\}
$$



Shaded: Strongest post-condition for assume(!p || q)

## Satisfiability as Concrete Analysis

$$
\begin{aligned}
C & =\langle\wp(V \rightarrow \mathbb{B}), \subseteq, \cap, \cup\rangle \\
\mathrm{\top} & =V \rightarrow \mathbb{B} \\
\perp & =\emptyset \\
\operatorname{post}_{\varphi}(X) & =\{\varepsilon \in X \mid \varepsilon \text { satisfies } \varphi\}
\end{aligned}
$$

Concrete domain
All environments
No environment
Strongest post-condition

## Concrete Satisfiability:

$\varphi$ is satisfiable exactly if $\operatorname{post}_{\varphi}(\top) \neq \emptyset$

## Cartesian Abstract Domain



$V \rightarrow \wp(\mathbb{B})$

Concrete
Set of environments

Abstract Environment of sets

## Cartesian Abstract Domain



Shaded: Abstract strongest post-condition for assume(!p || q)

## Cartesian Abstract Interpretation

$$
\begin{aligned}
C & =\langle\wp(V \rightarrow \mathbb{B}), \subseteq, \cap, \cup\rangle \\
A & =\langle V \rightarrow \wp(\mathbb{B}), \sqsubseteq, \sqcap, \sqcup\rangle \\
C & \stackrel{\gamma}{\stackrel{\gamma}{\rightleftarrows}} A \\
\text { apost }_{\varphi} & =\alpha \circ \operatorname{post}_{\varphi} \circ \gamma
\end{aligned}
$$

Concrete domain
Abstract domain
Galois connection
Best abstract transformer

$$
P=\{\varepsilon \mid \varepsilon(p)=\mathrm{t}\}
$$

$$
\operatorname{post}_{p \wedge q}(\bar{P})=\emptyset
$$

$$
\operatorname{post}_{p \vee \neg q}(\bar{P})=\{\langle p \mapsto \mathrm{f}, q \mapsto \mathrm{f}\rangle\}
$$

$$
\operatorname{post}_{p \times \operatorname{xor} q}(\mathrm{~T})=\{\langle p \mapsto \mathrm{f}, q \mapsto \mathrm{t}\rangle
$$

$$
\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{f}\rangle\}
$$

## Transformers are sound ...

Computing the best abstract transformer is SAT-hard
Use best abstract transformer only for literals

| conjunction | meet |
| :---: | :---: |
| disjunction | join |

$$
\text { If } \text { apost }_{\varphi}=\perp \text { then } \varphi \text { is unsatisfiable. }
$$

(follows from the standard soundness theorem of abstract interpretation)
but they are not complete ...

## ... but not complete

Abbreviate $\langle p \mapsto\{\mathrm{t}\}, q \mapsto \mathbb{B}\rangle$ as $\langle p \mapsto \mathrm{t}\rangle$

$$
\begin{aligned}
\varphi & =p \wedge(\neg p \vee q) \\
\operatorname{apost}_{\varphi}(\mathrm{T}) & =\operatorname{apost}_{p}(\mathrm{~T}) \sqcap\left(\operatorname{apost}_{\neg p}(\mathrm{~T}) \sqcup \operatorname{apost}_{q}(\mathrm{~T})\right) \\
& =\langle p \mapsto \mathrm{t}\rangle \sqcap(\langle p \mapsto \mathrm{f}\rangle \sqcup\langle q \mapsto \mathrm{t}\rangle) \\
& =\langle p \mapsto \mathrm{t}\rangle \sqcap \mathrm{T} \\
& =\langle p \mapsto \mathrm{t}\rangle \\
& \neq \\
\operatorname{post}_{\varphi}(\mathrm{T}) & =\{\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{f}\rangle\}
\end{aligned}
$$

## Recovering Precision

## Theorem (Cousot and Cousot 1979)

$$
\operatorname{post}(\gamma(a)) \subseteq \gamma\left(\operatorname{gfp}_{x}(\operatorname{apost}(x \sqcap a))\right) \subseteq \gamma(\operatorname{apost}(a))
$$

$$
\begin{aligned}
\varphi & =p \wedge(\neg p \vee q) \\
\operatorname{apost}_{\varphi}(\mathrm{T}) & =\operatorname{apost}_{p}(\mathrm{~T}) \sqcap\left(\operatorname{apost}_{\neg p}(\mathrm{~T}) \sqcup \operatorname{apost}_{q}(\mathrm{~T})\right) \\
& =\langle p \mapsto \mathrm{t}\rangle \\
\operatorname{apost}_{\varphi}(\langle p \mapsto \mathrm{t}\rangle) & =\operatorname{apost}_{p}(\langle p \mapsto \mathrm{t}\rangle) \sqcap\left(\operatorname{apost}_{\neg p}(\langle p \mapsto \mathrm{t}\rangle) \sqcup \operatorname{apost}_{q}(\langle p \mapsto \mathrm{t}\rangle)\right) \\
& =\langle p \mapsto \mathrm{t}\rangle \sqcap(\perp \sqcup\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{t}\rangle) \\
& =\langle p \mapsto \mathrm{t}\rangle \sqcap\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{t}\rangle \\
& =\langle p \mapsto \mathrm{t}, q \mapsto \mathrm{t}\rangle
\end{aligned}
$$

# Interpreting Logic <br> One Line Summaries 

Satisfying assignments are fixed points of the semantics

Cartesian abstract interpretation is sound but imprecise
gfp improves precision in the abstract

# Conflict Driven Clause Learning 

## Interpreting Logic

CDCL is Abstract Interpretation
$\operatorname{ACDCL}(A)$

# A SAT solver and an abstract interpreter walk into a bar 

```
#define l_True (lbool(( uint8_t )0))
#define l_False (lbool(( uint8_t)1))
#define l_Undef (lbool(( uint8_t )2))
class lbool { [...] };
class Solver {
    [...]
    // FALSE means solver is in a conflicting state
    bool okay () const;
    vec<lbool> assigns; // The current assignments.
    // Enqueue a literal . Assumes value of literal is undefined.
    void uncheckedEnqueue (Lit p, CRef from = CRef_Undef);
    // Perform unit propagation. Return possibly conflicting clause.
    CRef propagate ();
};
```

MiniSAT 2.2.0

## Partial assignments

A SAT solver uses partial assignments 1_Undef

$$
V \longrightarrow \text { 1_True } \quad \text { 1_False }
$$

$\neg$ okay

An element of the Cartesian abstraction is:


Partial assignments are order isomorphic to the reduced Cartesian abstraction

## Unit rule



Unit rule and abstract transformer


The unit rule is the best abstract transformer

## BCP

```
\(\mathrm{BCP}(\varphi, \pi)\{\)
    repeat
        \(\pi^{\prime} \leftarrow \pi ;\)
        for Clause \(C \in \varphi\) do \(\pi \leftarrow \operatorname{unit}\left(C, \pi^{\prime}\right)\)
    until \(\pi^{\prime}=\pi\);
\}
```

Theorem: BCP as fixed point

$$
h(\mathrm{BCP}(\varphi, \pi))=\operatorname{gfp}_{x}\left(\operatorname{apost}_{\varphi}(h(\pi) \sqcap x)\right)
$$

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    CRef propagate ();
};
```

MiniSAT 2.2.0

## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

DL0


## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

DL0


DL2
$4 \longrightarrow 5$

## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

DLO


DL2
$4 \longrightarrow 5$
DL3


## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

## DLO



DL2
$4 \longrightarrow 5$
DL3


## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$



## Another learning example

$$
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1)
$$

DLO


Cuts $=$ Heuristic underapproximation of the weakest precondition

## Another learning example

$$
\begin{gathered}
\neg 1 \wedge(1 \vee \neg 2 \vee \neg 3) \wedge(\neg 4 \vee 5) \wedge(\neg 6 \vee 7) \wedge(\neg 6 \vee \neg 8) \wedge(\neg 7 \vee 8 \vee \neg 9) \wedge(3 \vee 9 \vee 1) \\
\wedge(9 \vee 3)
\end{gathered}
$$

DLO


# Trace Partitioning (Mauborgne and Rival, 2005) 

```
int main(void)
{
    int x,y;
    x = y;
    if(x<5)
        assert(y<5);
    return 0;
```

Analysis too imprecise


Same analysis is precise
Changing the equation allows one to prove more with the same analysis.
Instance of a power domain (Cousot and Cousot, 1979)

## Learning in SAT

```
if( phi )
    assert(0)
```



Decisions and learning are dynamic "trace" partitioning

## Learning in SAT

```
if( phi )
    assert(0)
```



## CDCL is Abstract Interpretation One Line Summaries

CDCL implements the Cartesian abstract domain as its main data structure

The unit rule is the application of the best abstract clause transformer

BCP is fixed point computation

Decisions \& Learning are discovery of trace partitions

## CDCL is Abstract Interpretation

 Summary of SummariesCDCL = Partial assignments = Cartesian abstract domain + Unit rule \& BCP + Abstract transformer \& GFP<br>+ Decisions \& Learning + Trace partitioning

## Not an ANALOGY but an ISOMORPHISM

Precise results using a strict abstraction!

# Conflict Driven Clause Learning 

## Interpreting Logic

CDCL is Abstract Interpretation
$\mathrm{ACDCL}(A)$

What about programs?

## DLO



## What about programs?



## What about programs?



## What about programs?



DL1
$n_{1}: a \leq-42$

## What about programs?



DL1


SAFE

## What about programs?



## What about programs?



DL1


## What about programs?



# ACDCL(A) <br> One Line Summaries 

## ACDCL(A) program analysers!

Techniques from SAT translate to programs

ACDCL(A) discovers small, property driven refinement

## Something more practical

## ACDCL(Interval) procedure over floating point and machine integer intervals

Automatically finds property-dependent partitioning

## Example: Taylor expansion of sine-function

```
int main()
{
    float IN;
    __CPROVER_assume(IN > -HALFPI && IN < HALFPI);
    float x = IN;
    float result = x - (x*x*x)/6.0f + (x*x*x*x*x)/120.0f + (x*x*x*x*x*x*x)/5040.0f;
    assert(result <= VAL && result >= -VAL);
    return 0;
```


## Implementation



Number of partitions vs. tightness of bound

```
result \(\leq 2.0\)
```



[^0]Number of partitions vs. tightness of bound


Number of partitions vs. tightness of bound

$$
-\frac{\pi}{2} \quad \frac{\pi}{2}
$$



Number of partitions vs. tightness of bound

$$
-\frac{\pi}{2}
$$




Number of partitions vs. tightness of bound


Number of partitions vs. tightness of bound


Precise results using a strict abstraction!
Orders of magnitude faster than propositional SAT

## Conclusion

SAT solvers are abstract interpreters

| partial assignments | Cartesian domain |
| :---: | :---: |
| unit rule | abstr. transformer |
| BCP | gfp |
| decisions | meet irreducibles |
| learning | trace partioning |

Abstract interpreters can be SAT solvers
ACDCL(A) for program analysis / SMT precise results in an imprecise abstraction

## ... walk into a bar

Al looks toward SMT


SMT looks towards AI


## Invited questions

## Isn't this just CEGAR?

What if case splits are not enough?
Show me experiments!


[^0]:    result $\geq-2.0$

