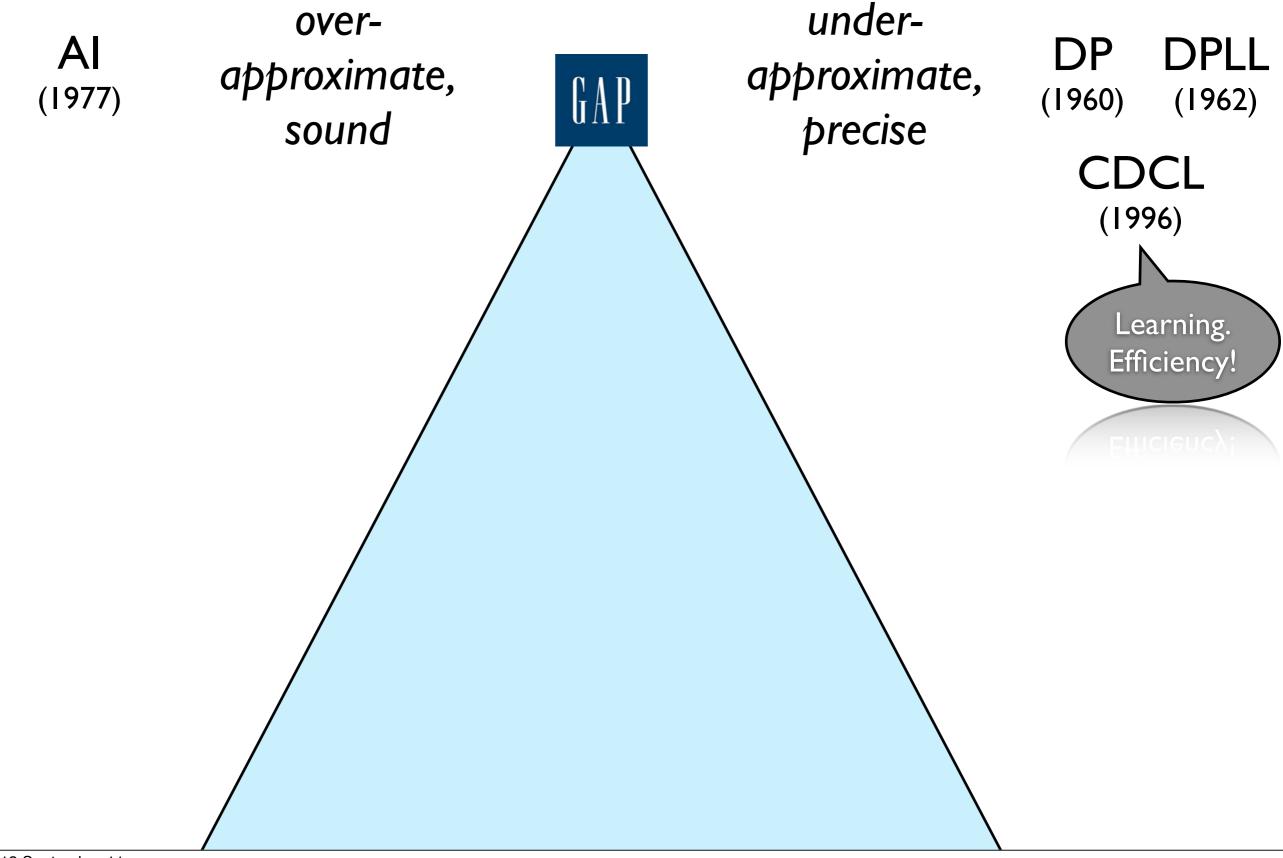
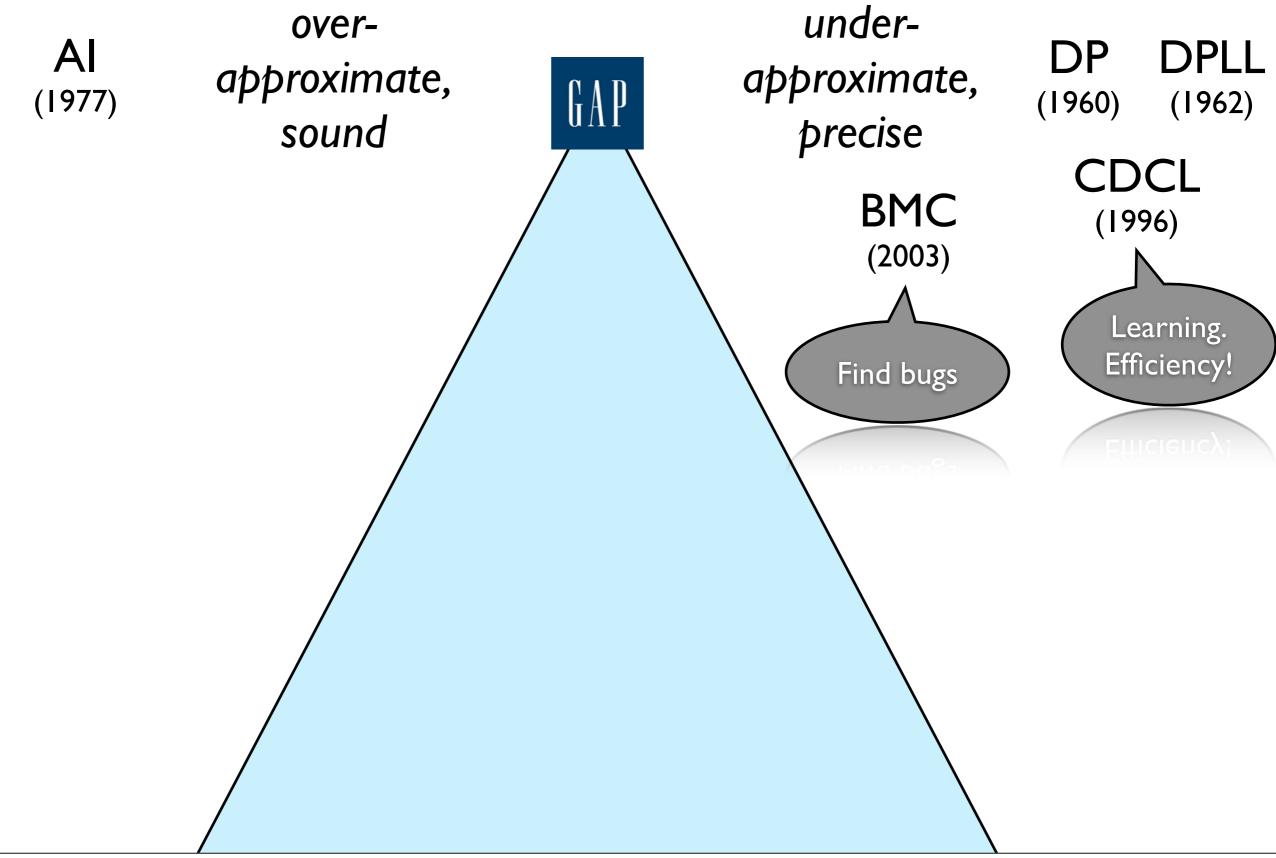
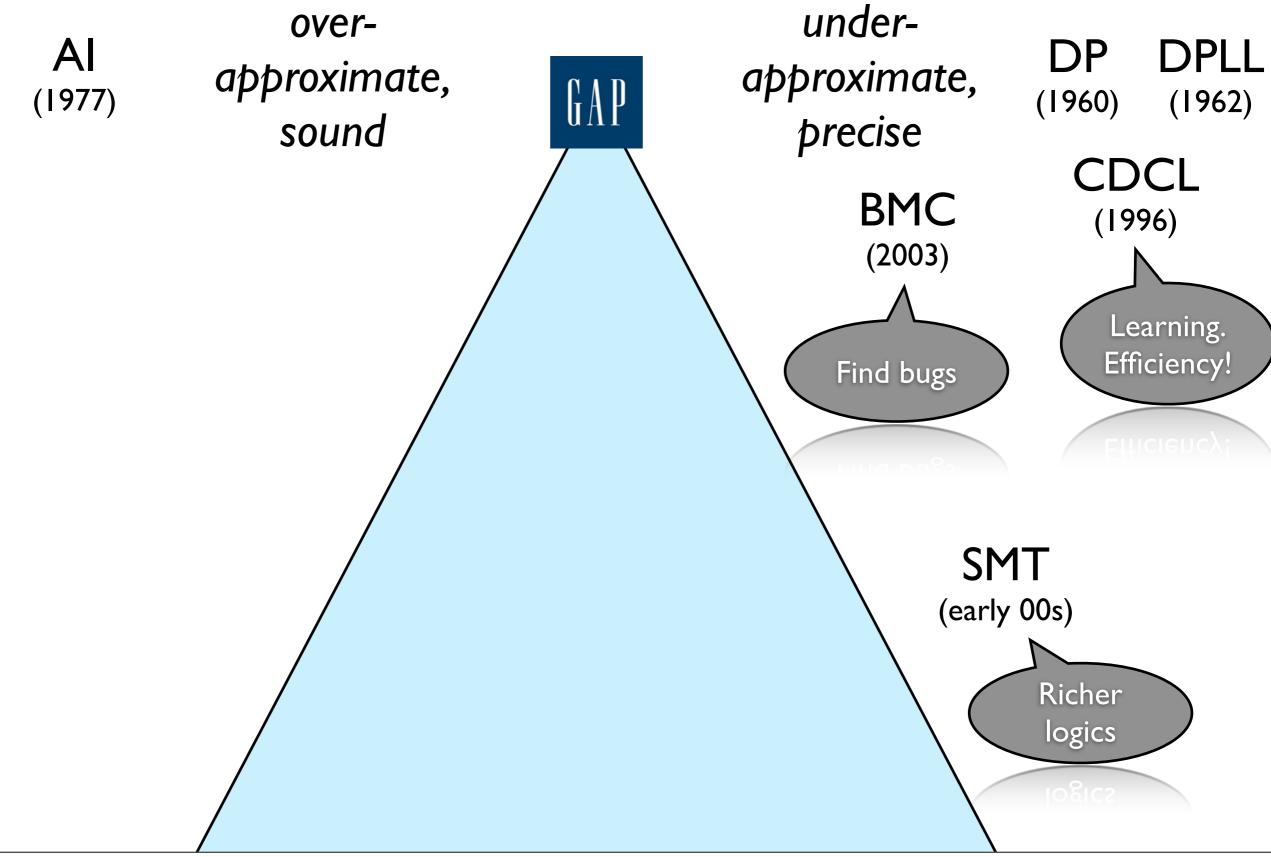
# Satisfiability as Abstract Interpretation

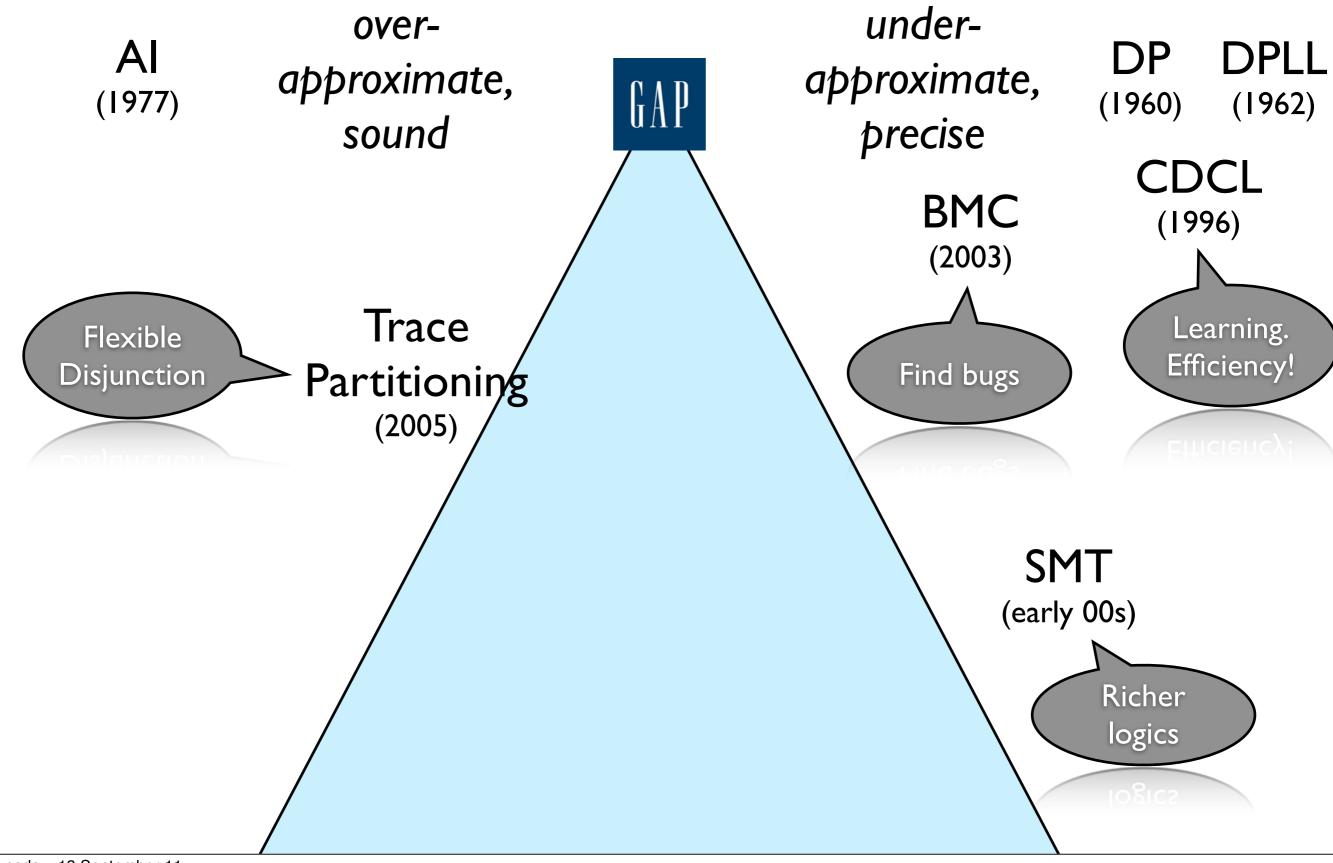
Leopold Haller (joint work with Vijay D'Silva)

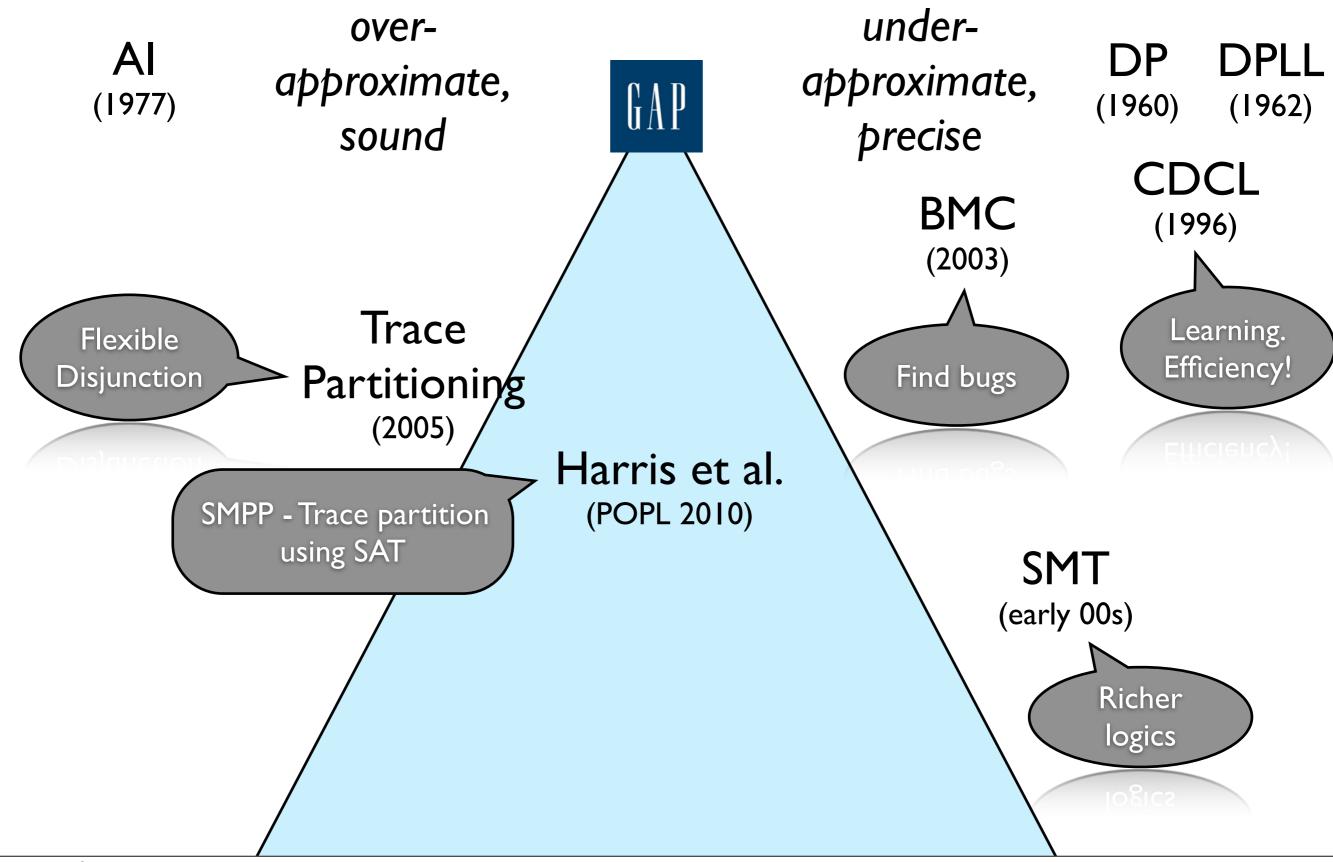


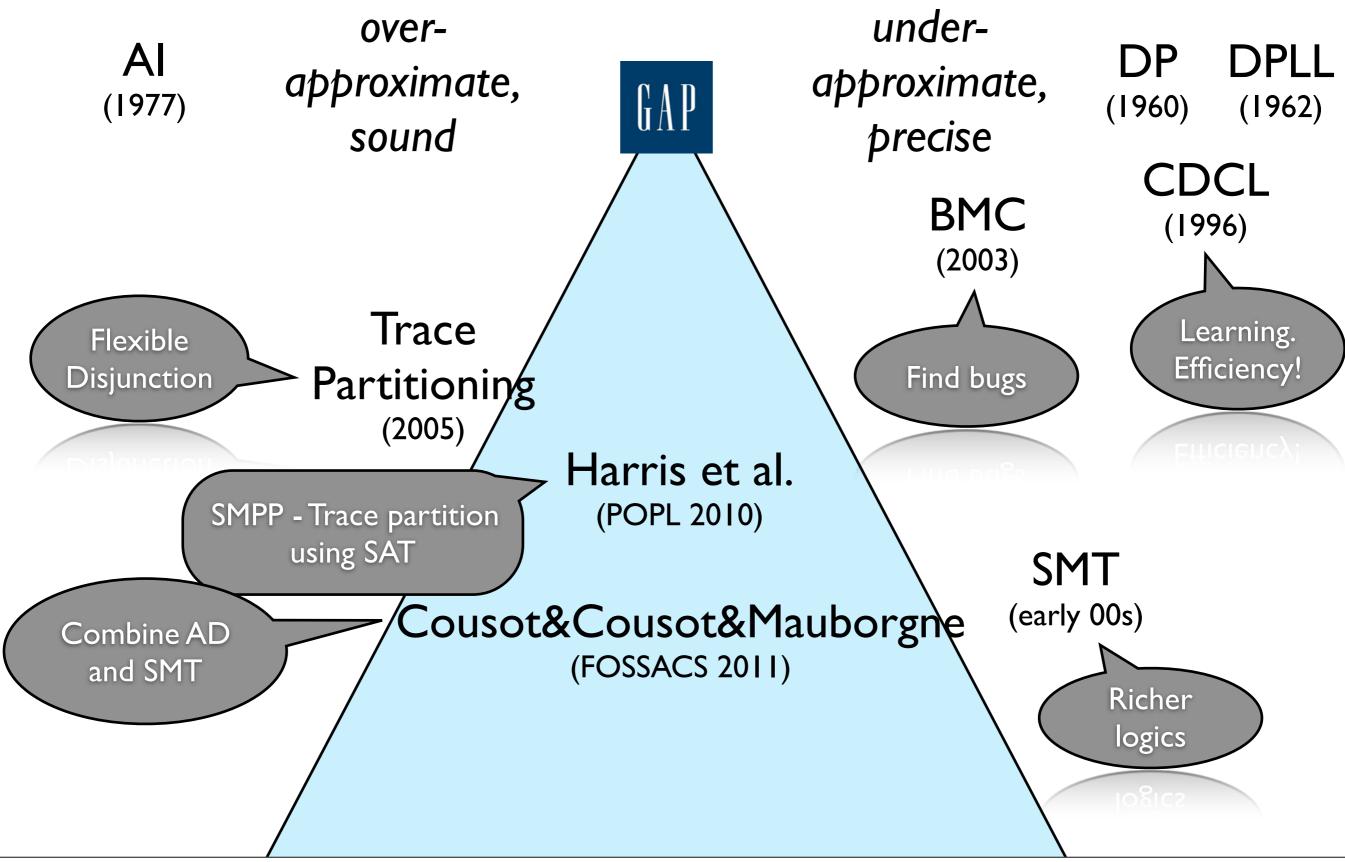


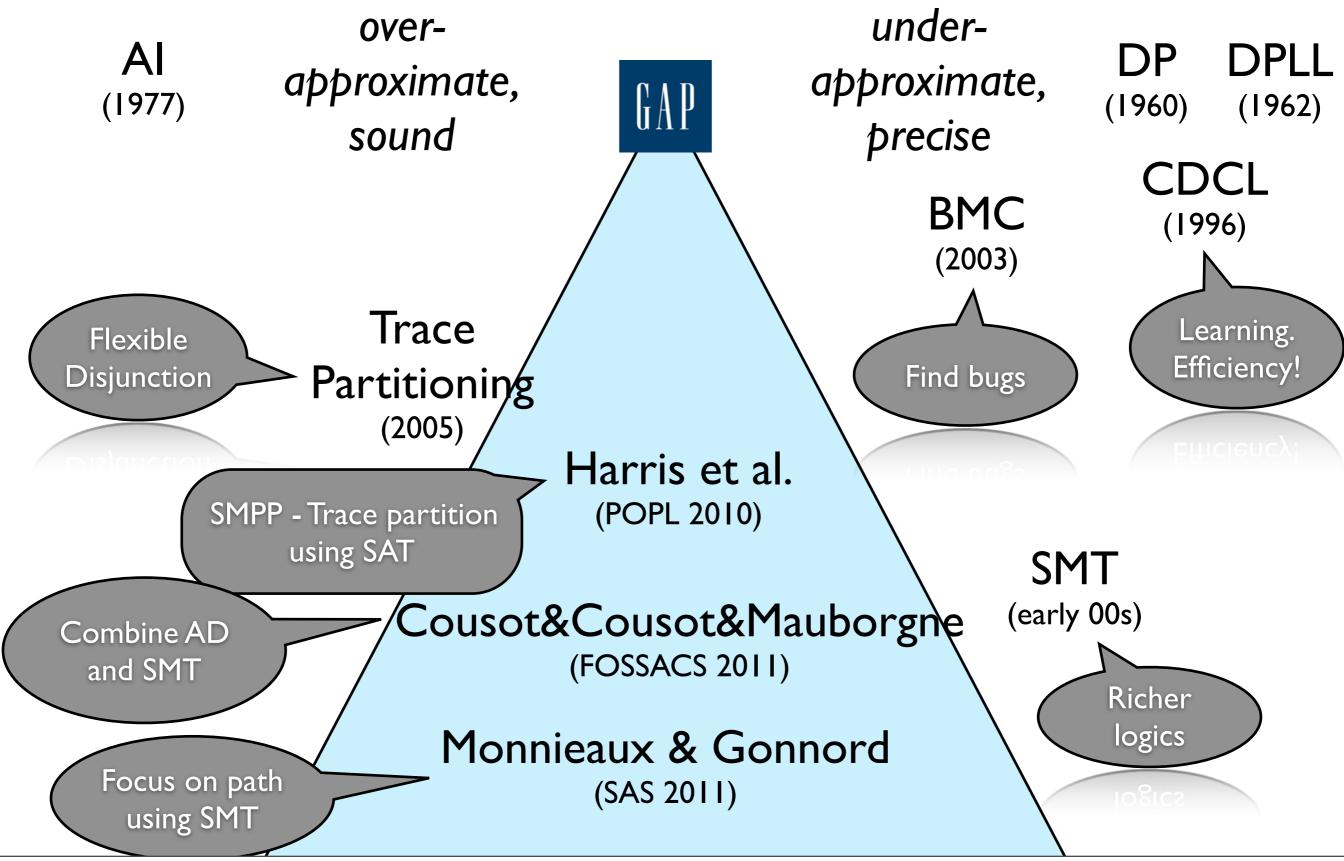










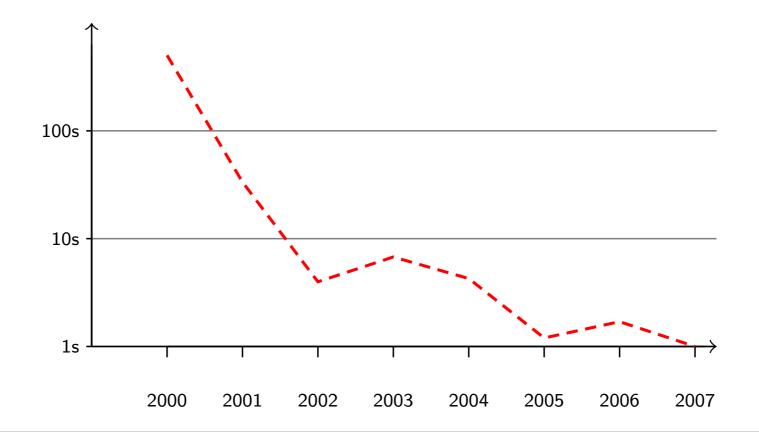


Tuesday, 13 September 11

## DPLL CDCL solvers

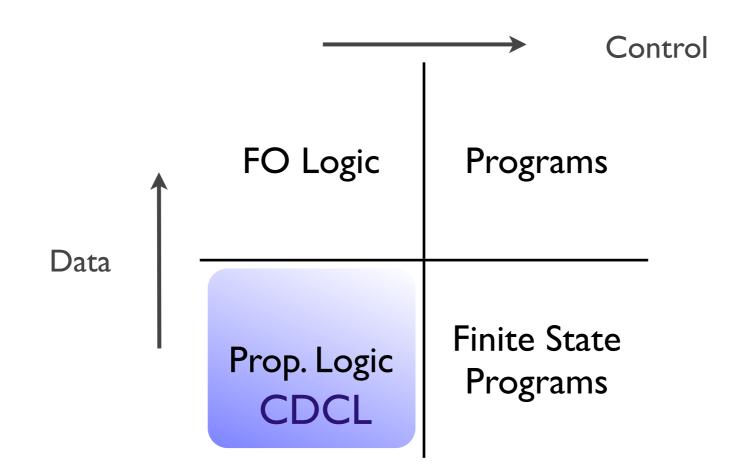
#### are

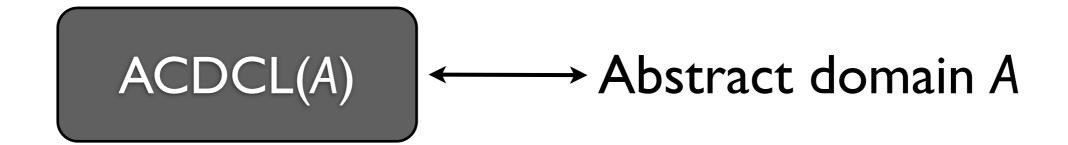
#### (proper) abstract interpreters AI (1977)

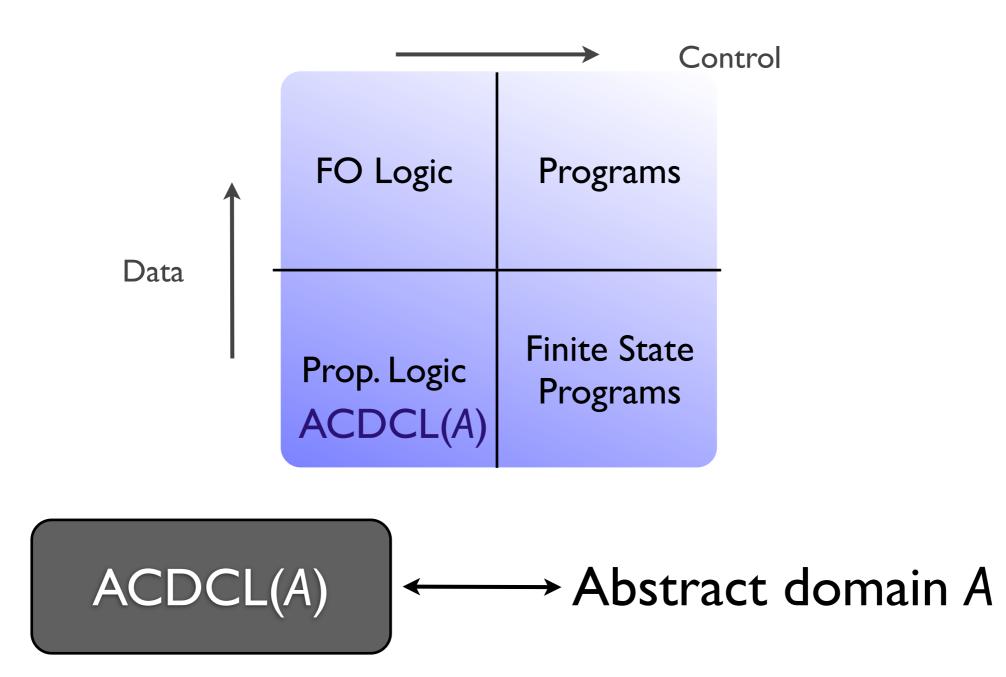


" the practical success of SAT has come as a surprise to many in the computer science community. The combination of strong practical drivers and open competition in this experimental research effort created enough momentum to overcome the pessimism based on theory. Can we take these lessons to other problems and domains?"

– Malik & Zhang, 2009







#### **Conflict Driven Clause Learning**

Interpreting Logic

**CDCL** is Abstract Interpretation

ACDCL(A)

#### The CDCL Algorithm Jargon Slide

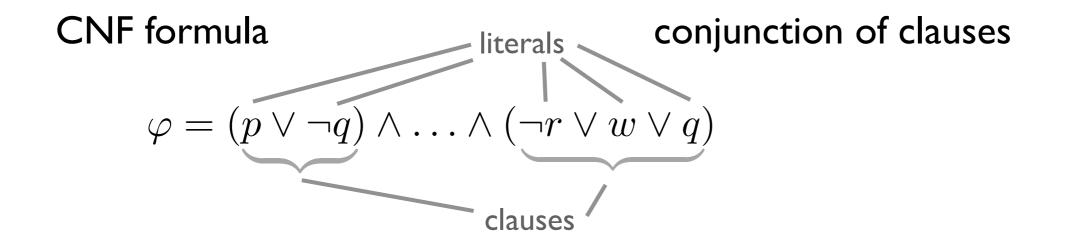
 $\label{eq:propositions} {\sf Finite set} \ V$ 

Literal

 $p, \neg p \quad p \in V$ 

Clause

disjunction of literals

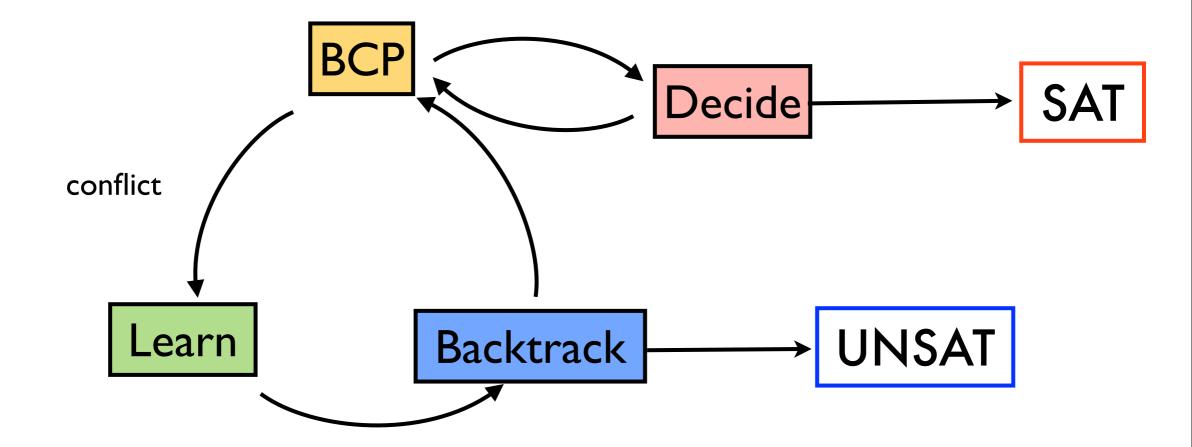


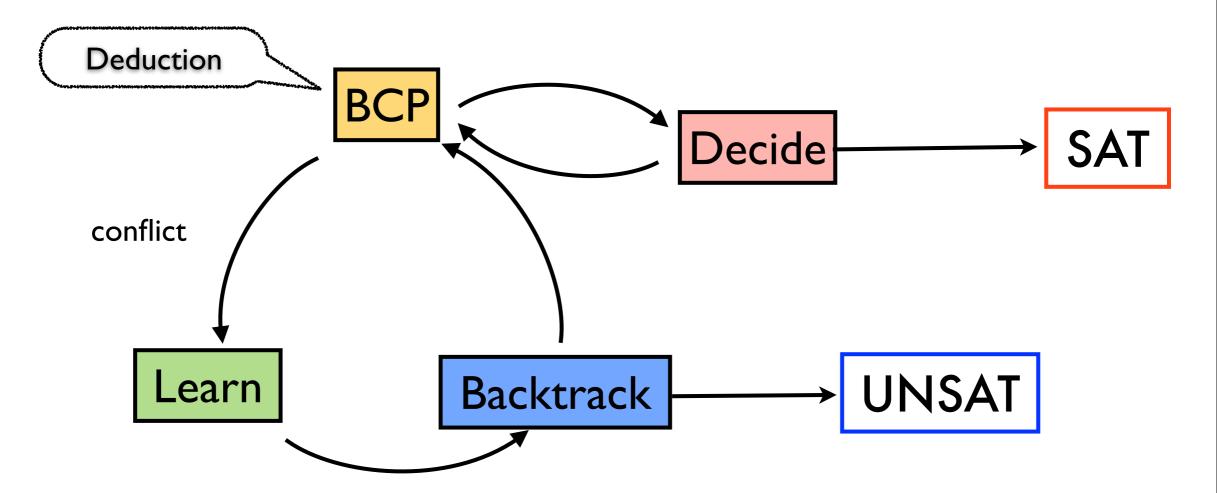
Assignment

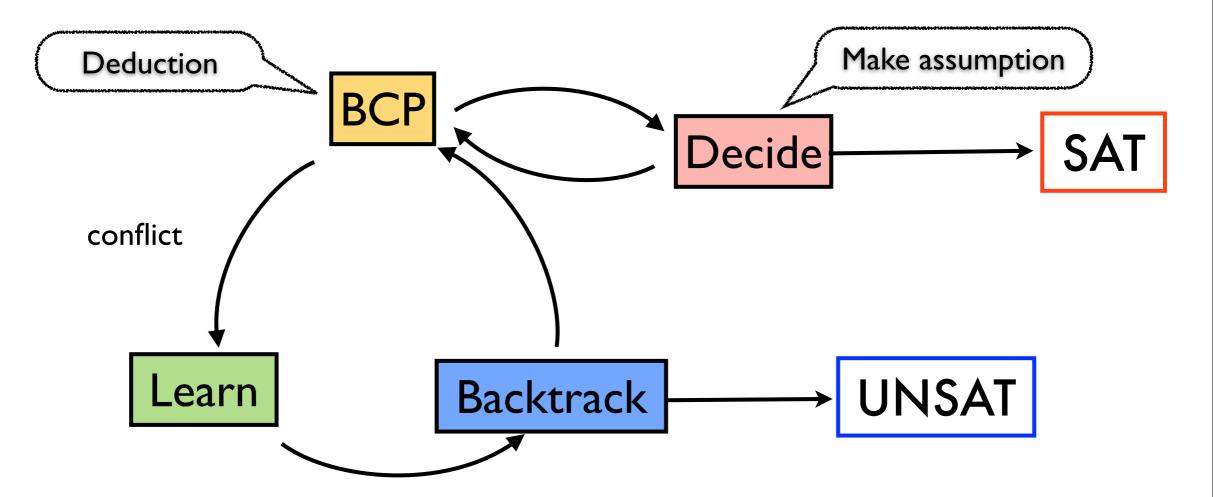
Satisfiability

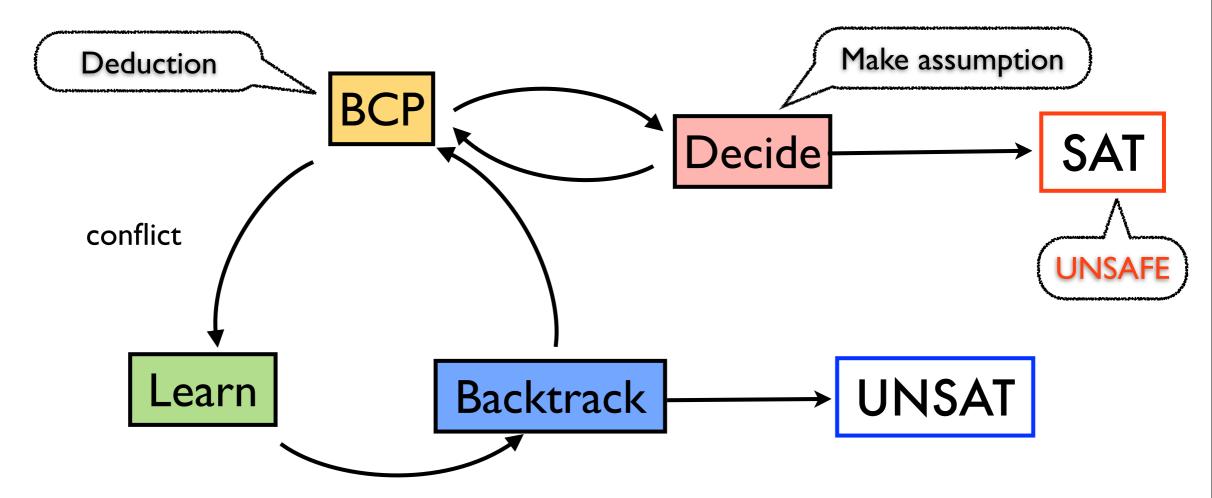
partial function  $V \rightarrow \{t, f\}$ 

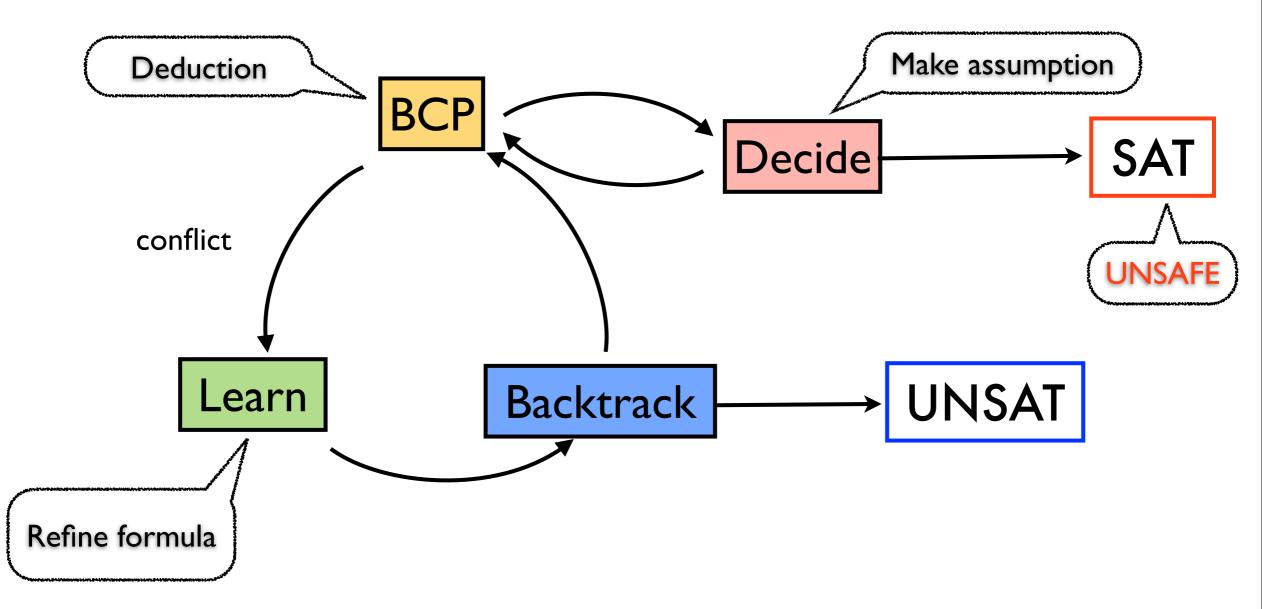
Does there exists an assignment  $V \rightarrow \{t, f\}$  such that  $\varphi$  is true?

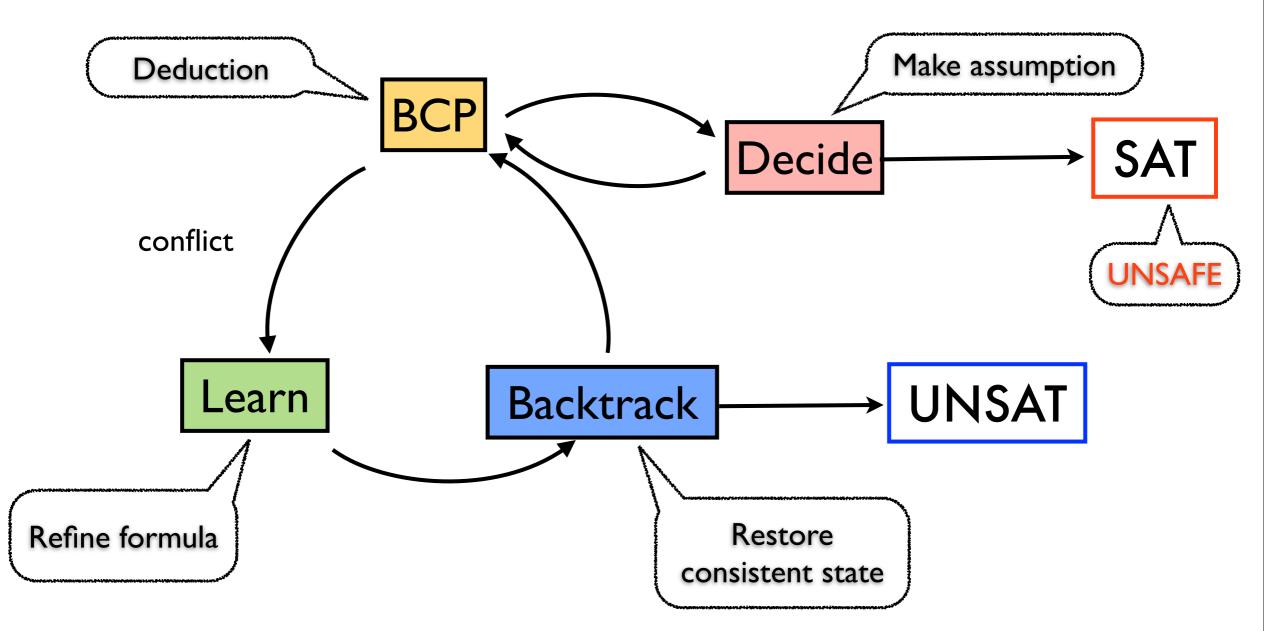


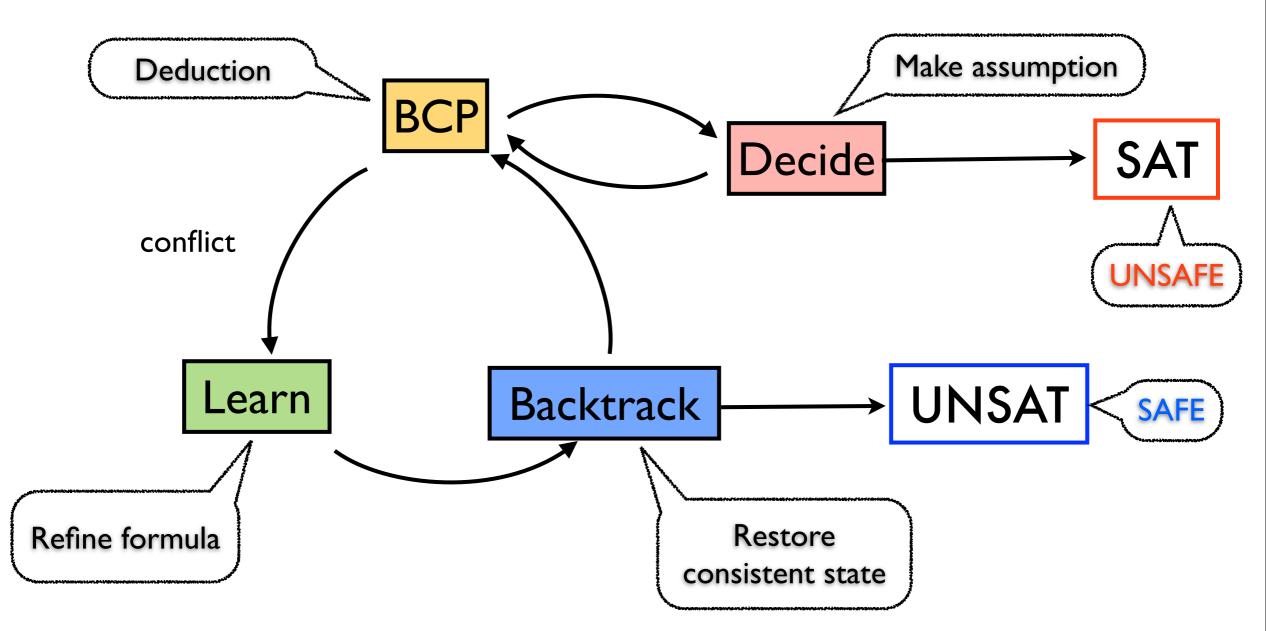


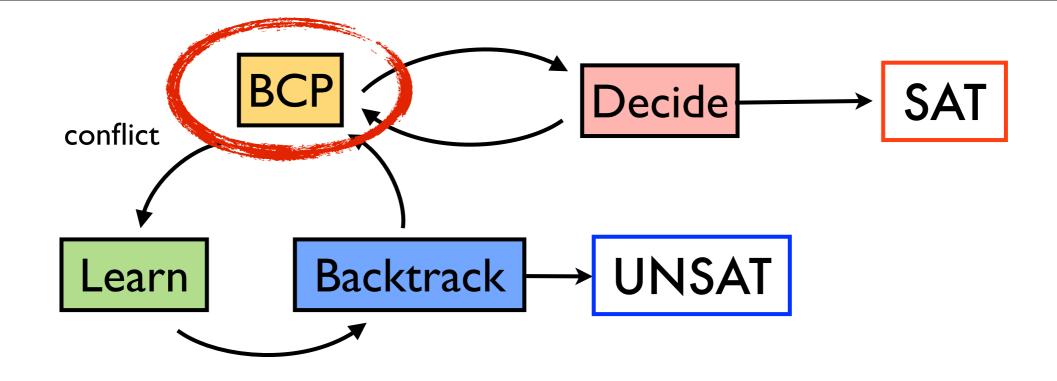




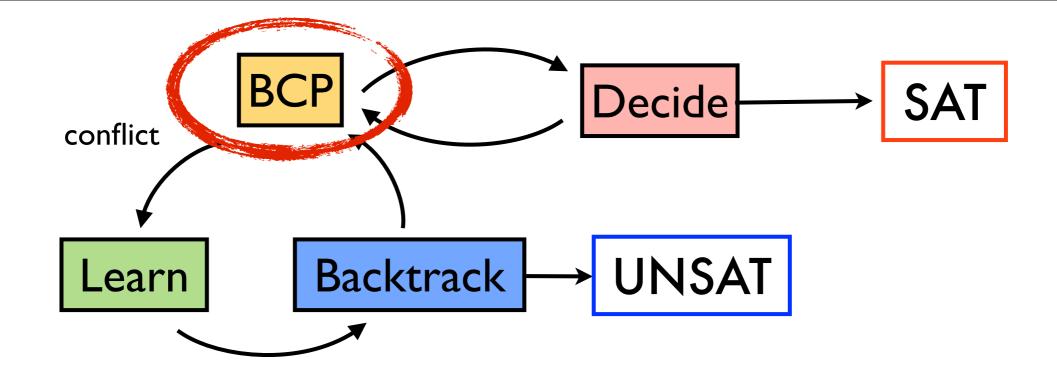




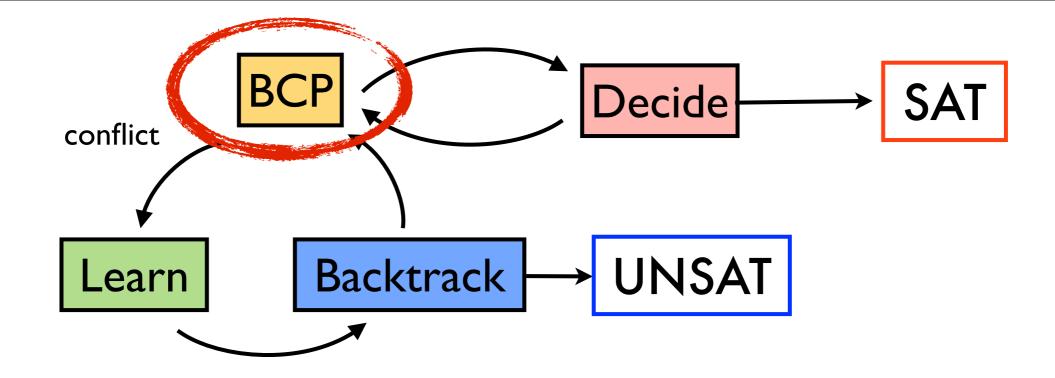




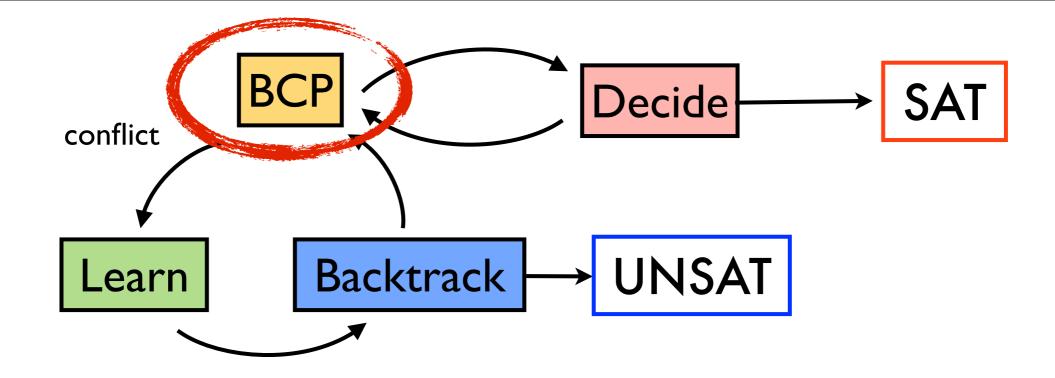
Operates over a <u>partial</u> function (variable assignment)  $V \rightarrow \{t, f\}$ 

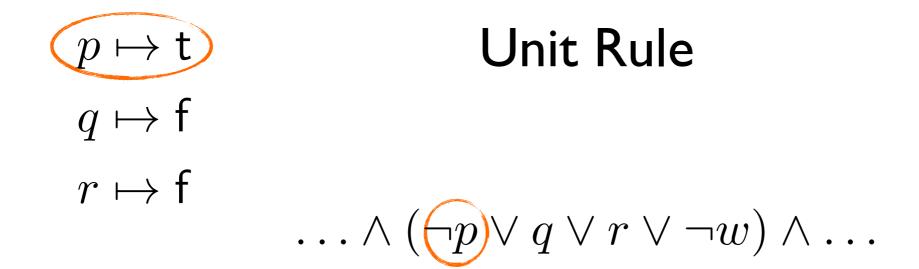


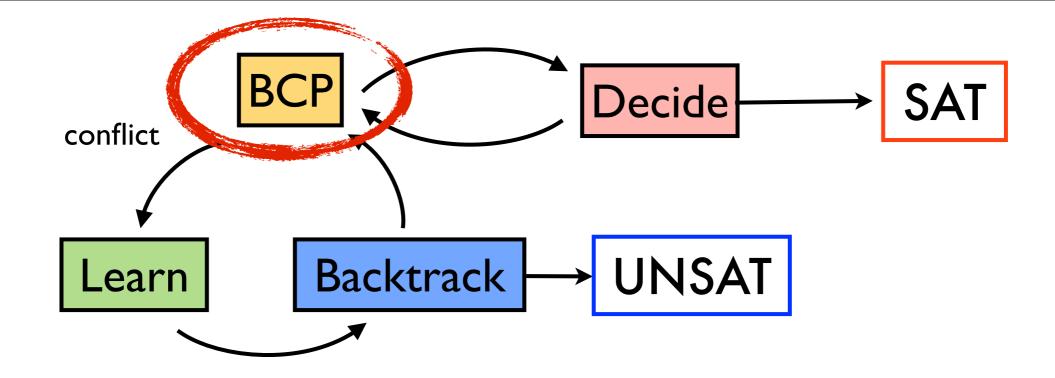
Unit Rule

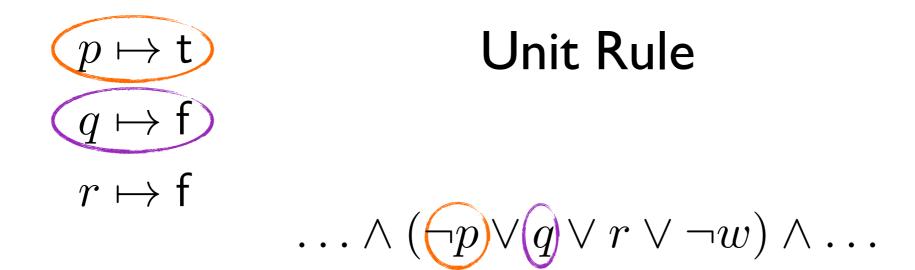


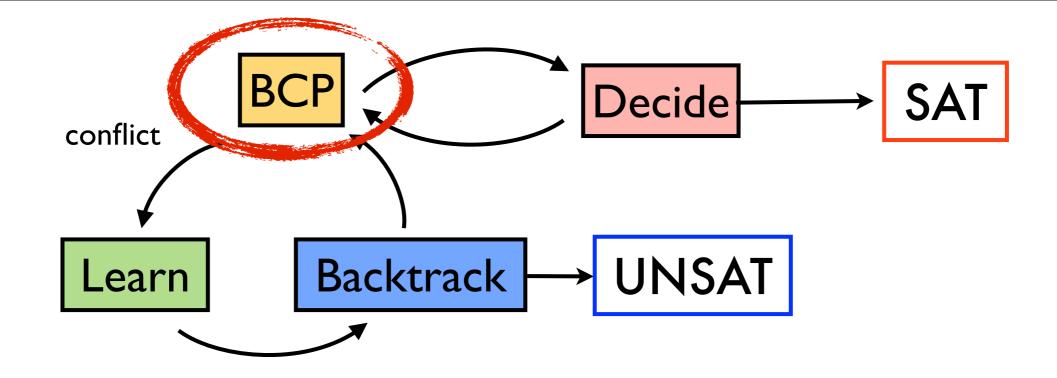
 $p \mapsto \mathsf{t} \qquad \qquad \mathsf{Unit Rule} \\ q \mapsto \mathsf{f} \\ r \mapsto \mathsf{f} \\ \dots \wedge (\neg p \lor q \lor r \lor \neg w) \land \dots$ 

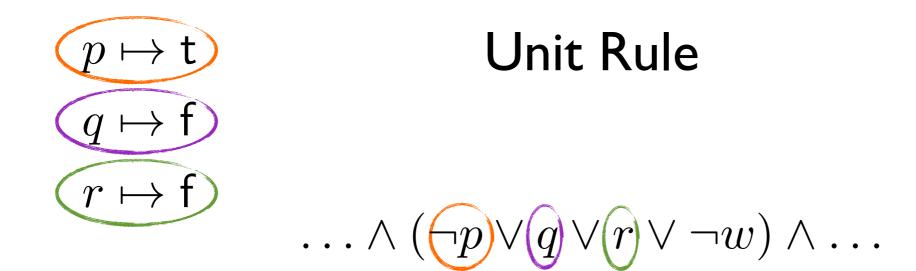


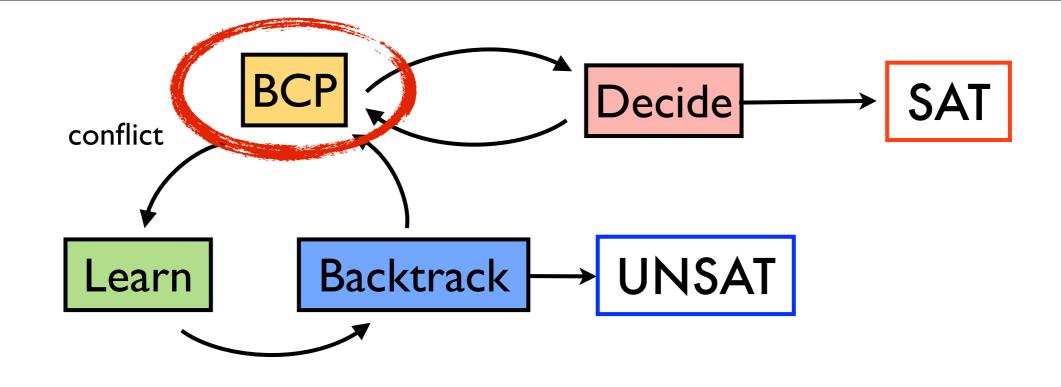


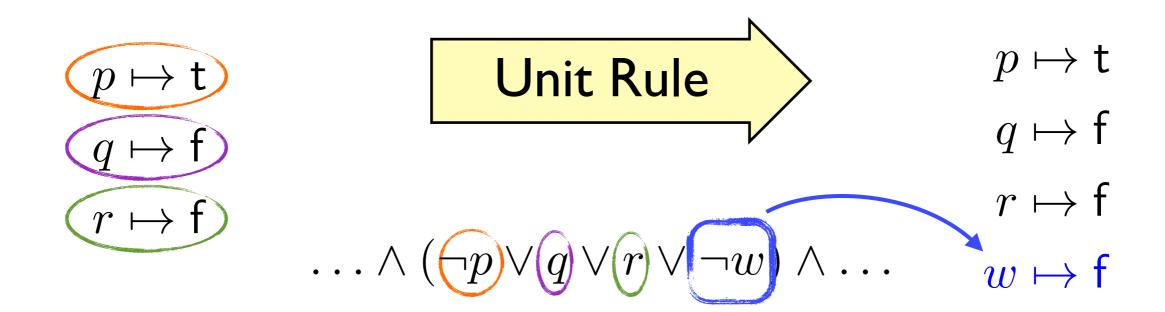


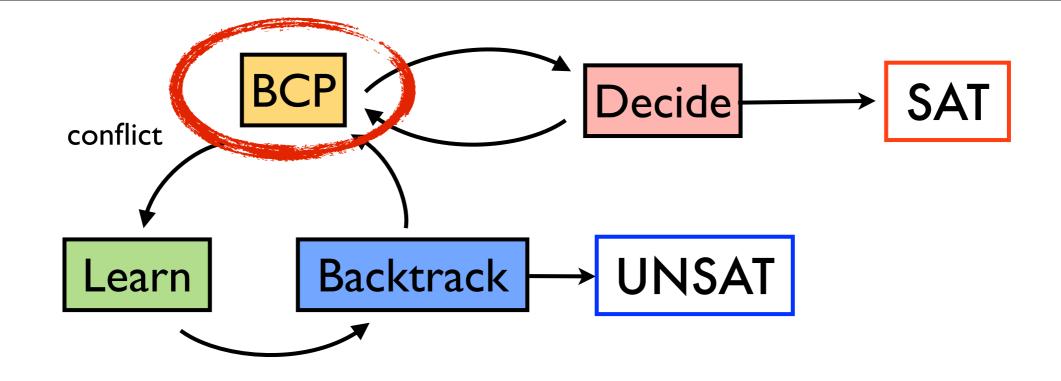




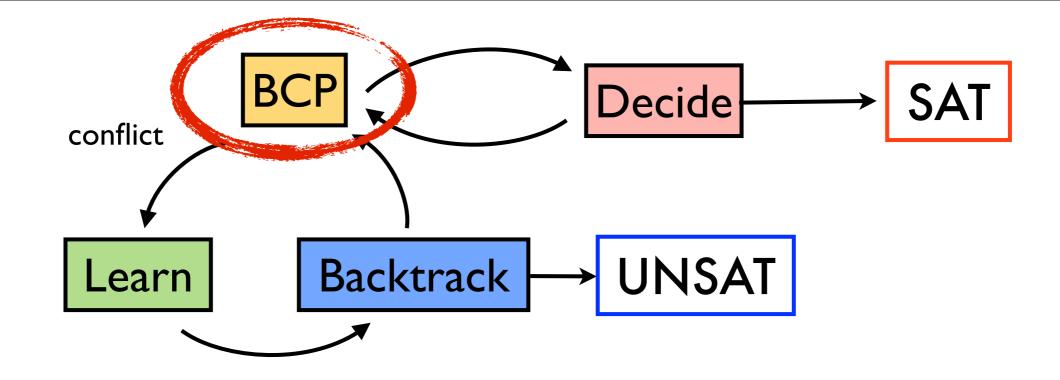




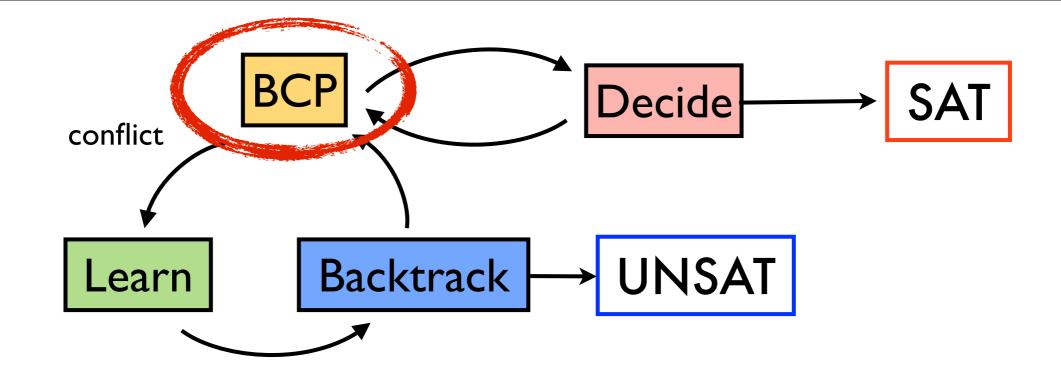




$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

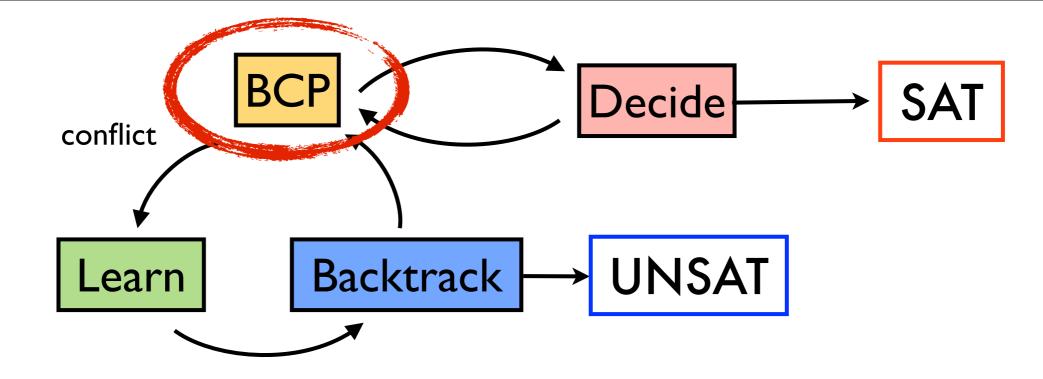


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$



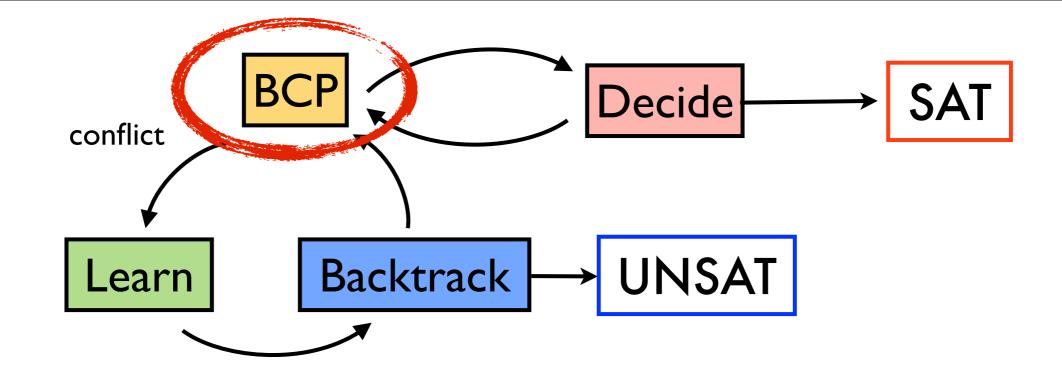
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$



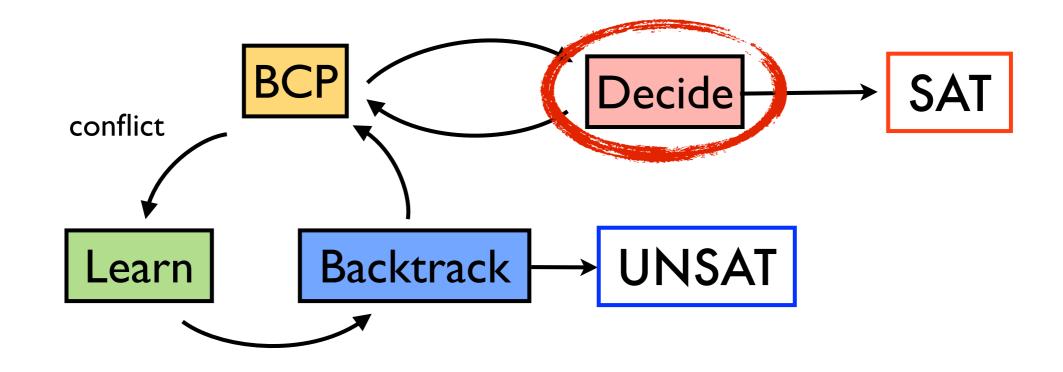


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

$$\longrightarrow p \mapsto t$$



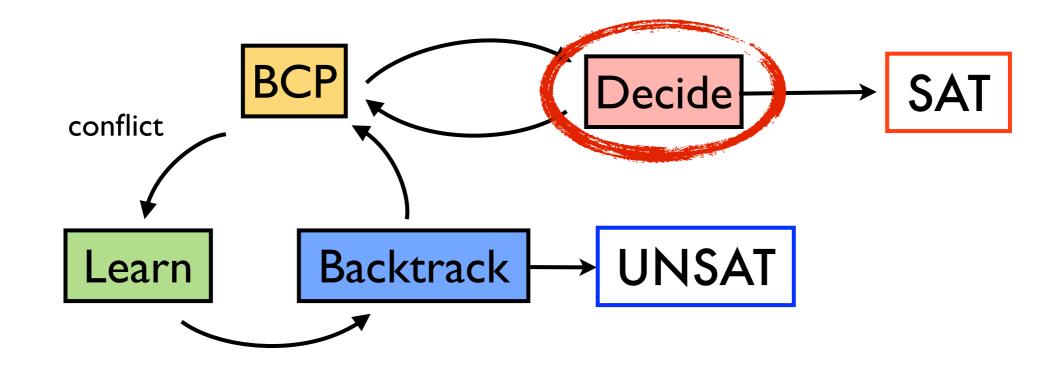
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$



 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$ 

Decisions

 $p \mapsto \mathsf{t}$  $q \mapsto \mathsf{f}$ 

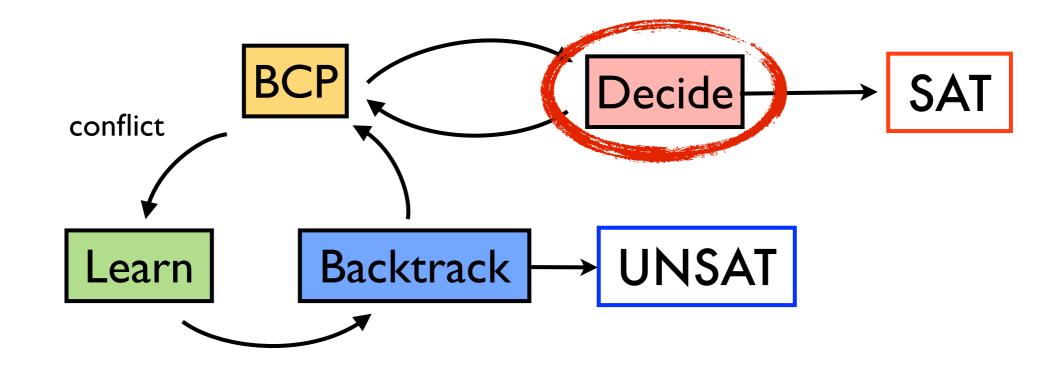


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

Decisions

Pick an unassigned variable and assign a truth value

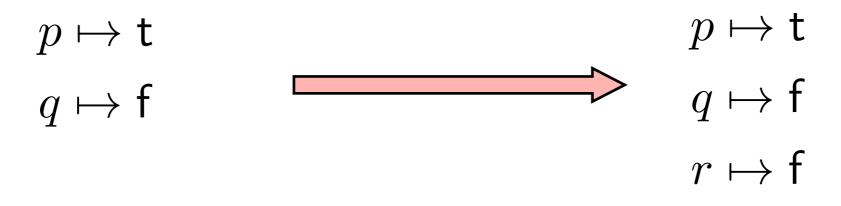
 $p \mapsto \mathsf{t}$  $q \mapsto \mathsf{f}$ 

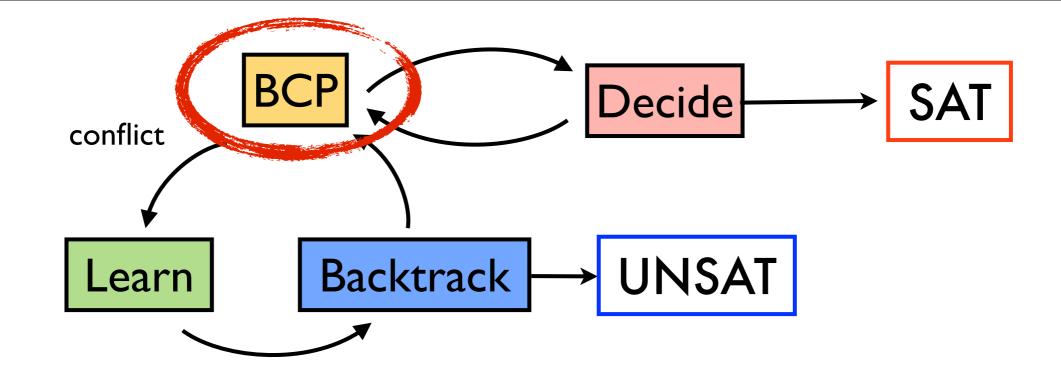


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

Pick an unassigned variable and assign a truth value

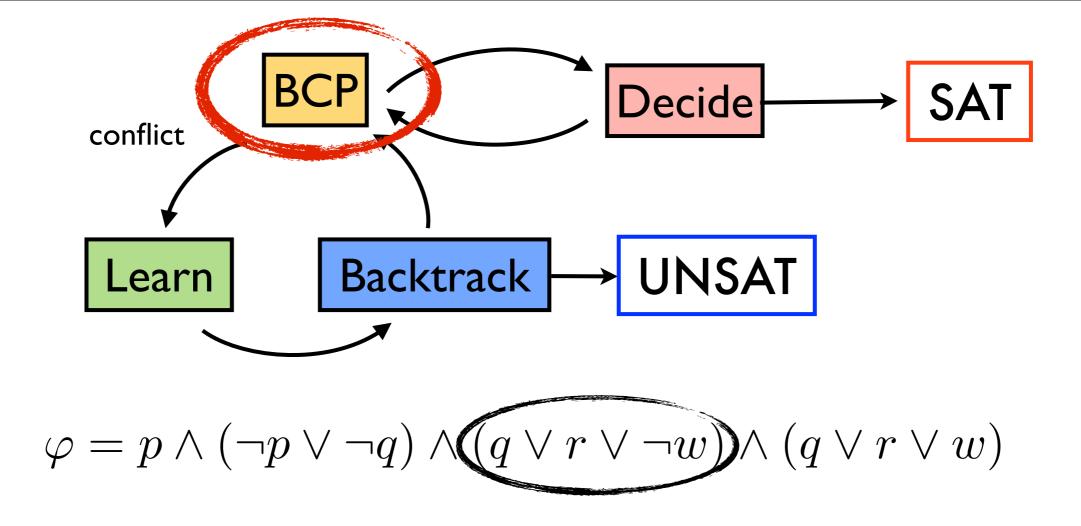
Decisions

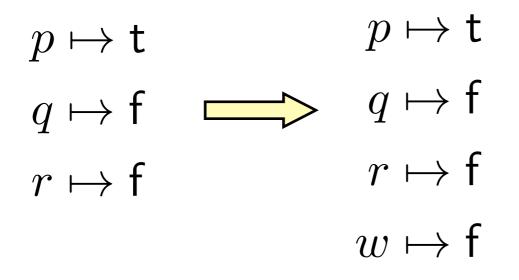


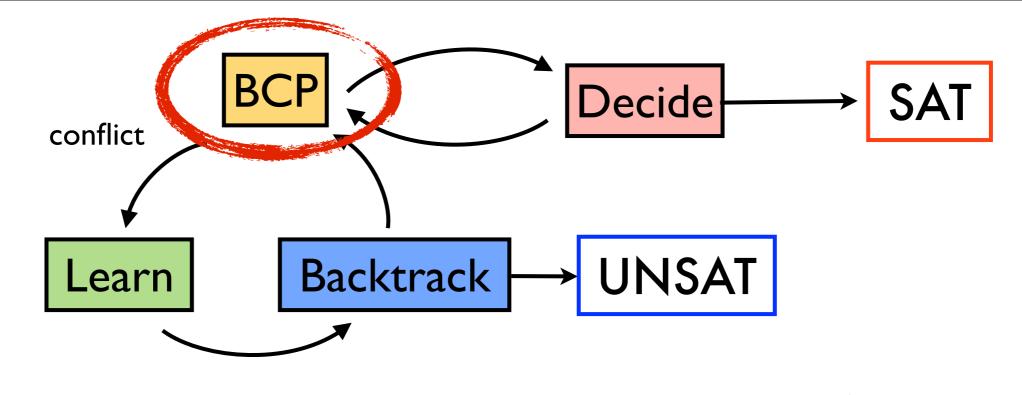


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

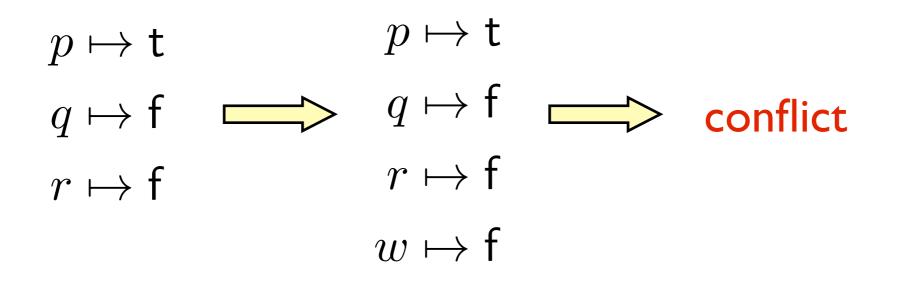
 $p \mapsto \mathsf{f}$  $q \mapsto \mathsf{f}$  $r \mapsto \mathsf{f}$ 

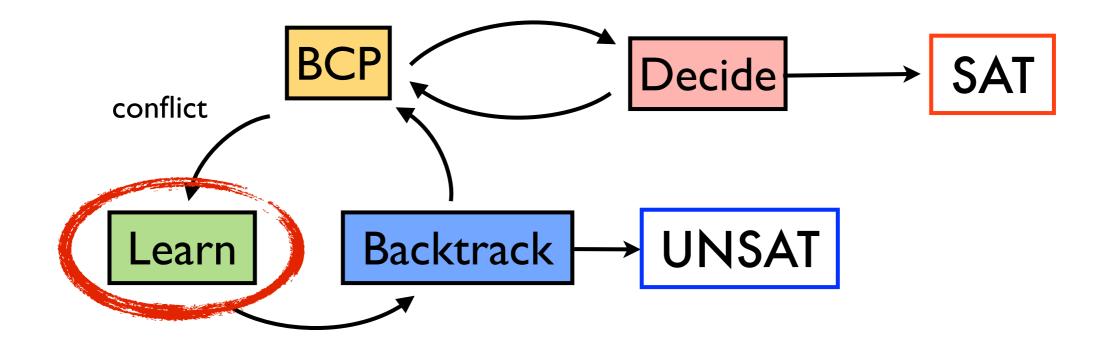




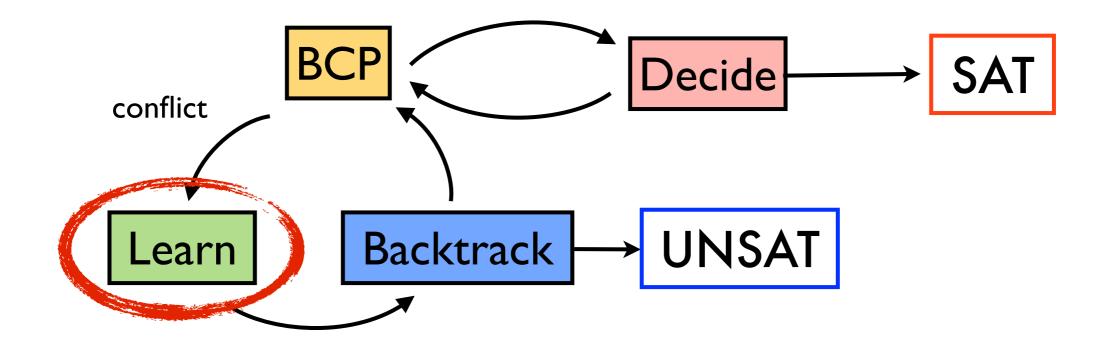


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

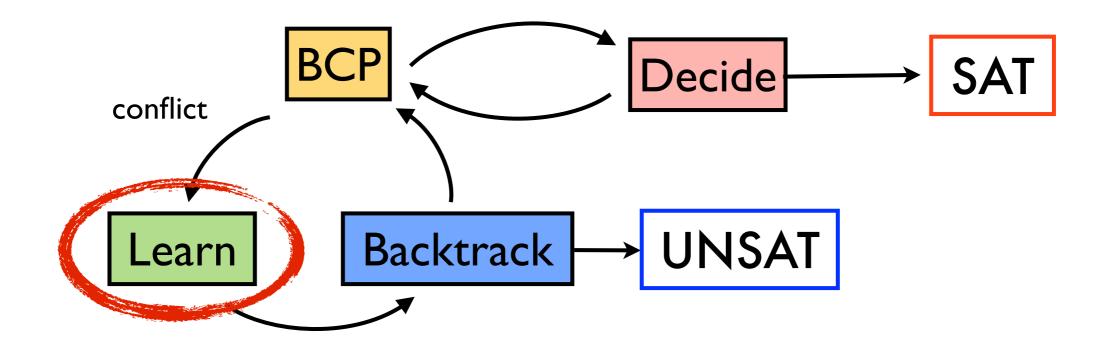




## $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$

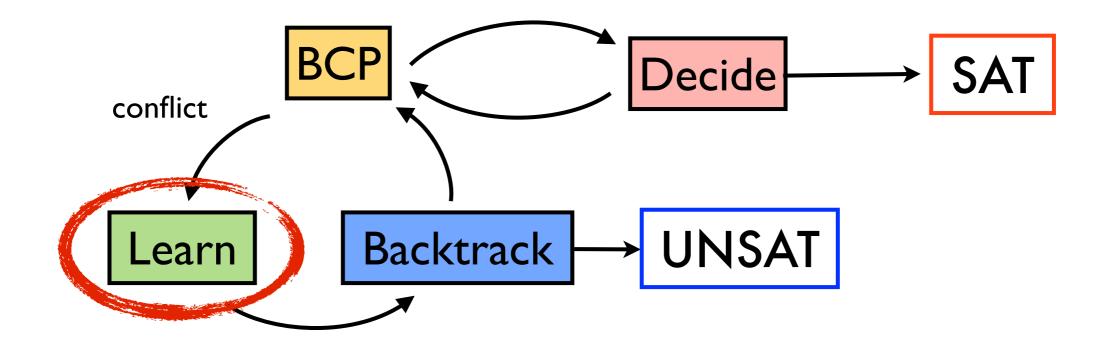


 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$  $p \mapsto \mathsf{t}$ 



$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

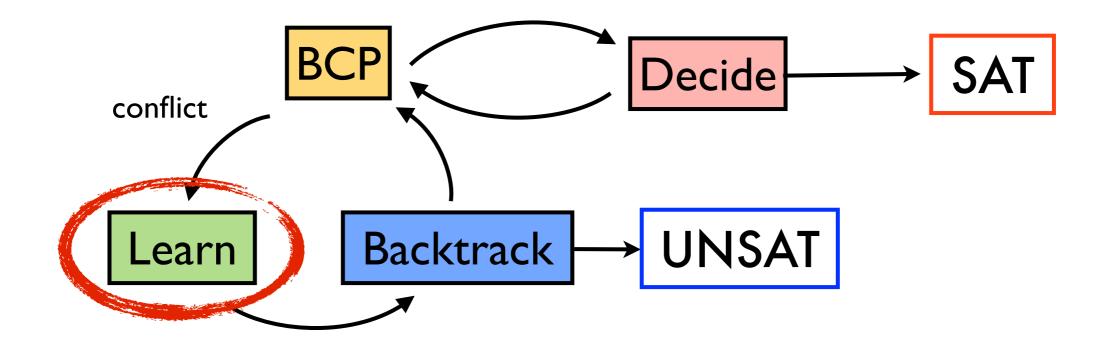




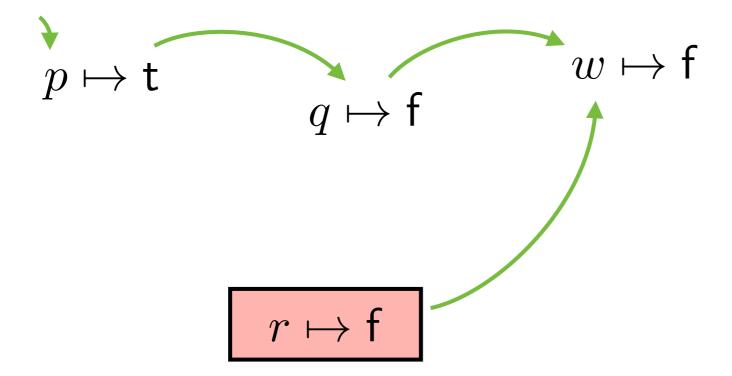
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

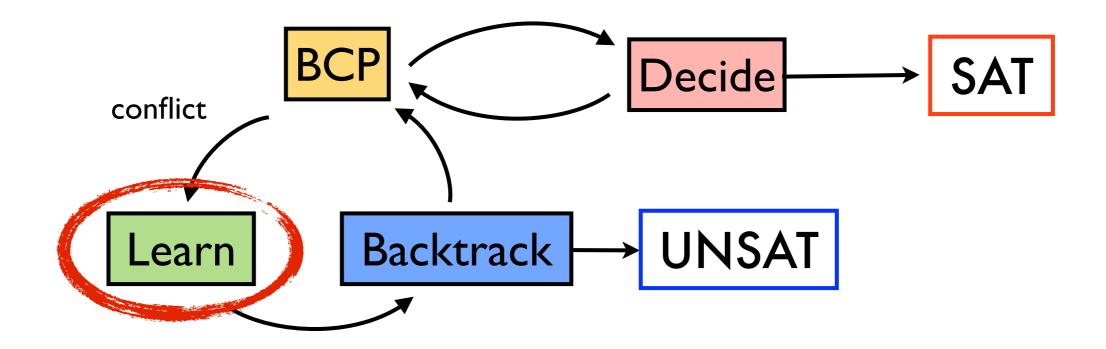


$$r \mapsto \mathsf{f}$$

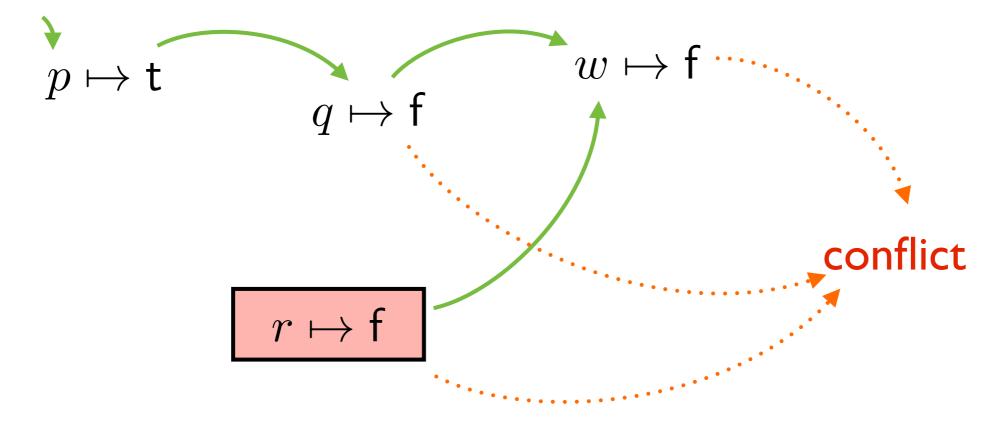


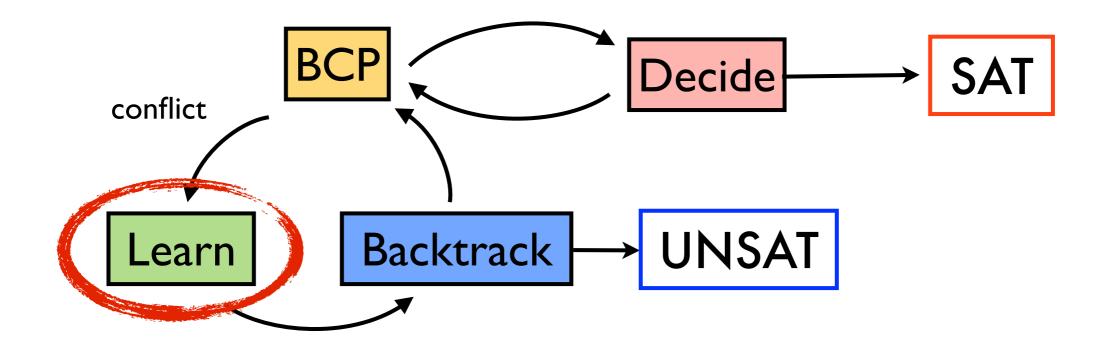
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$



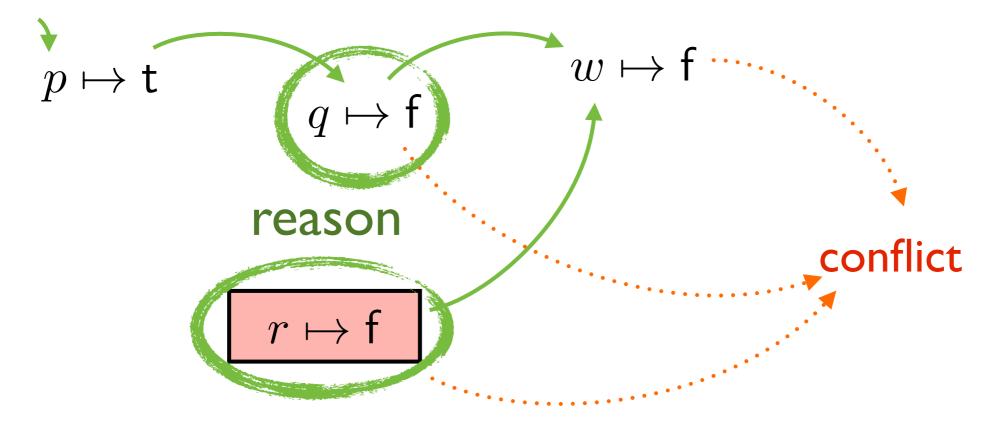


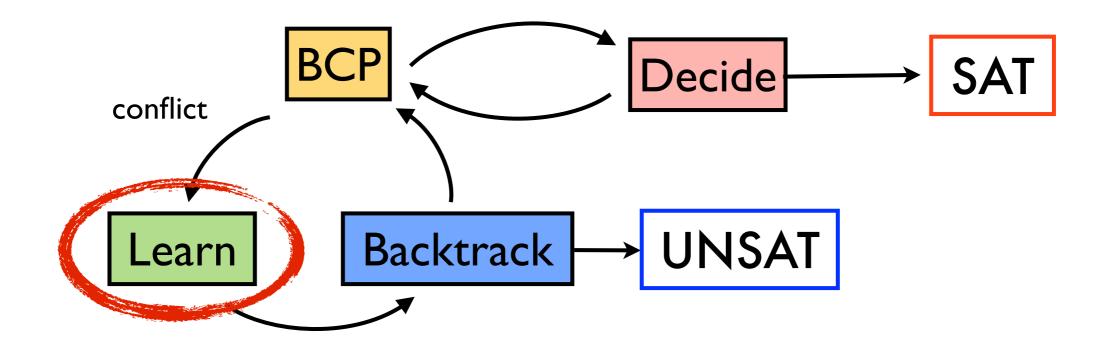
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$



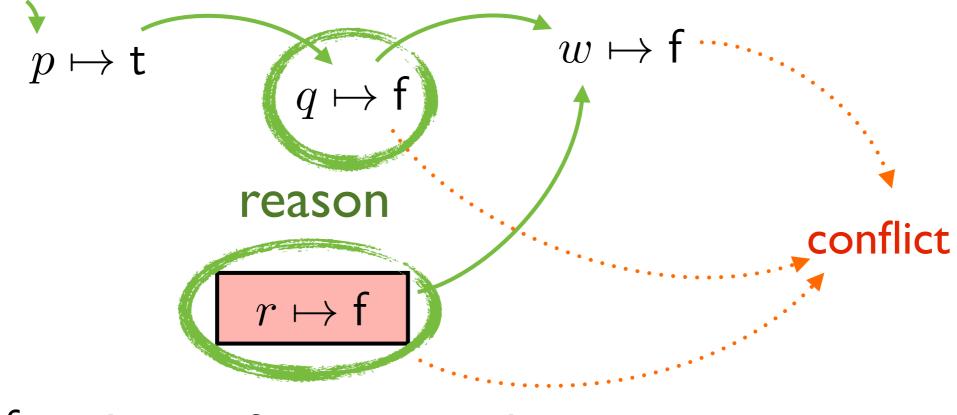


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

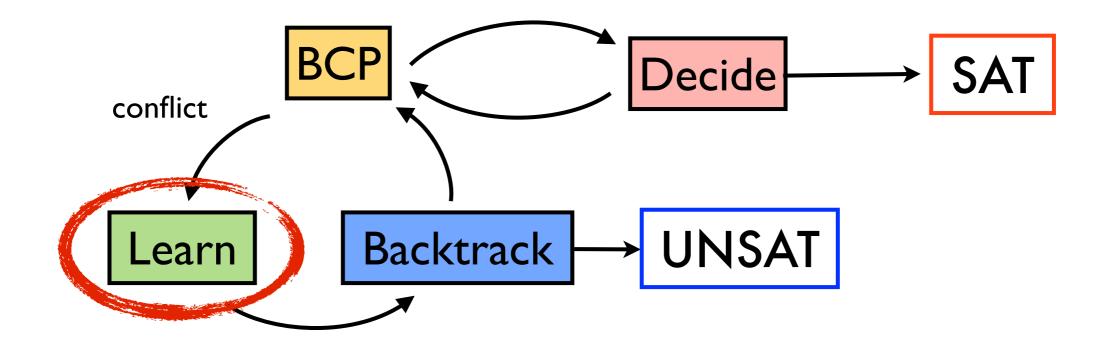




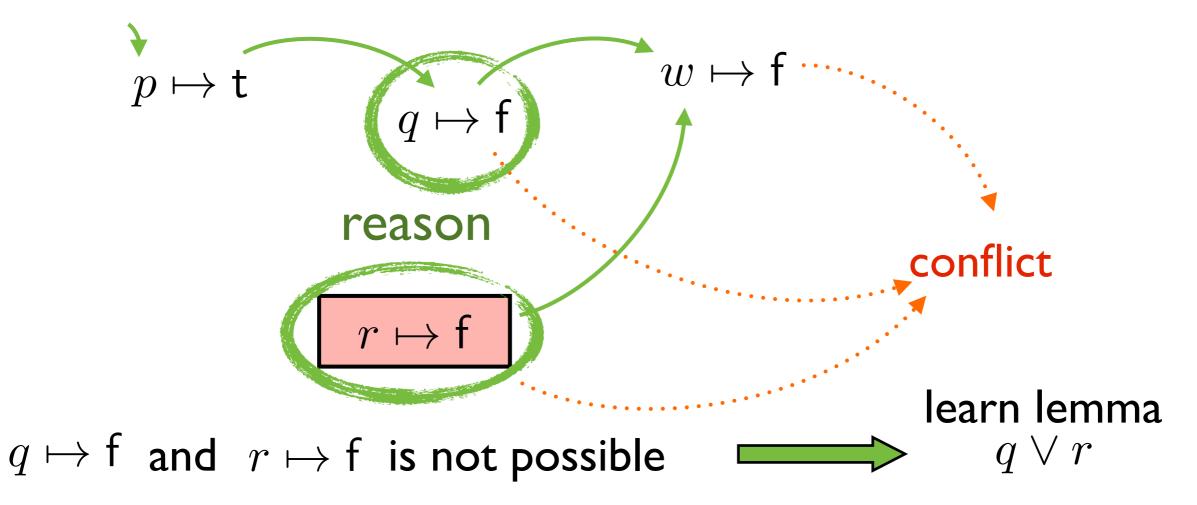
$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

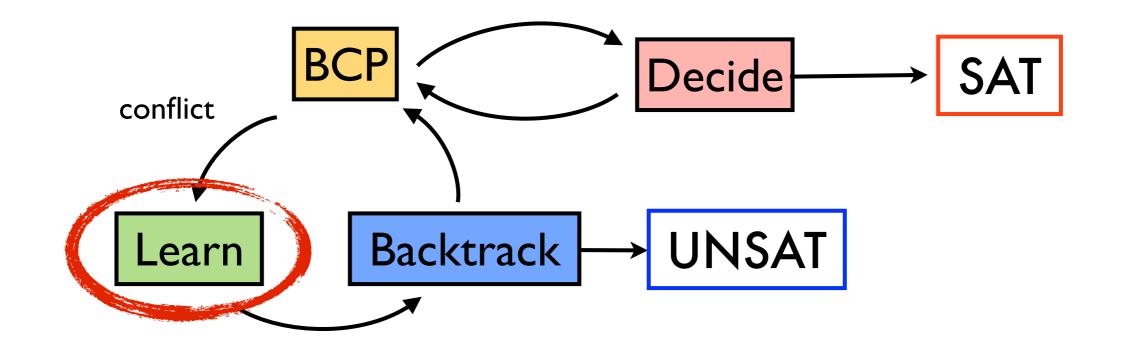


 $q \mapsto \mathsf{f}$  and  $r \mapsto \mathsf{f}$  is not possible

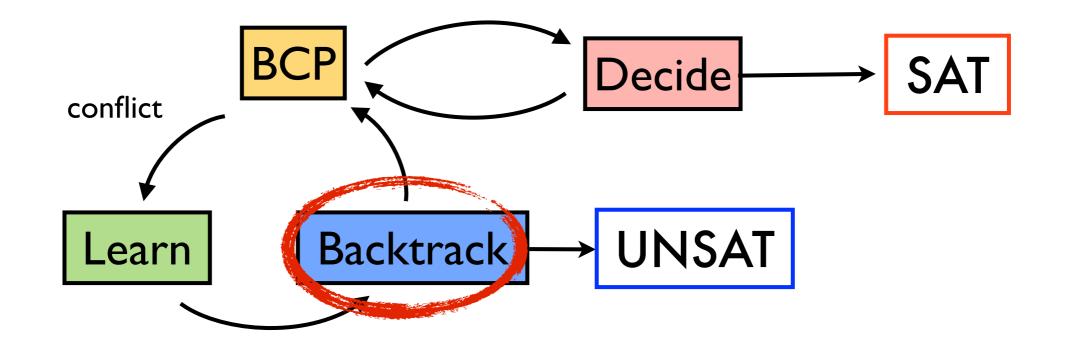


$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

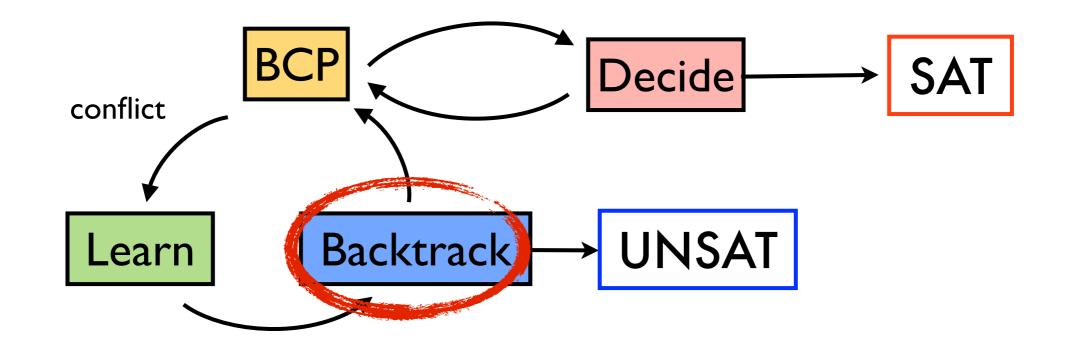




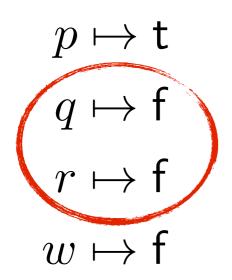
 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w) \qquad q \lor r$ 

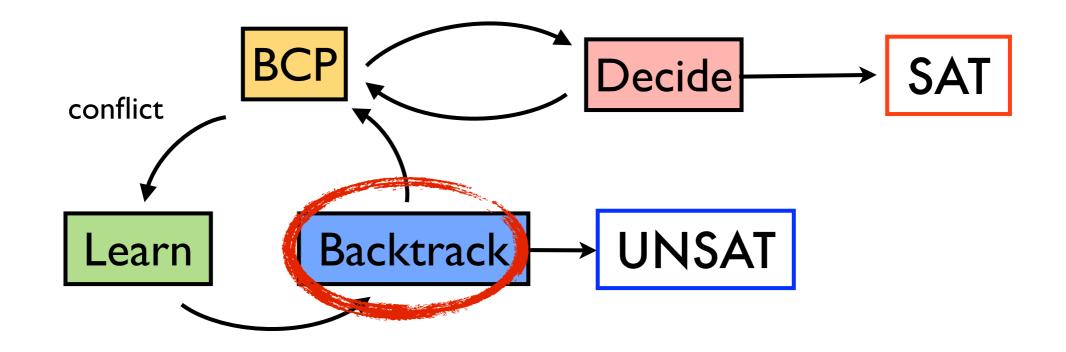


 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w) \land (q \lor r)$ 

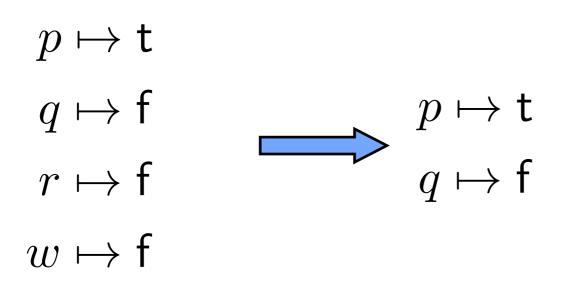


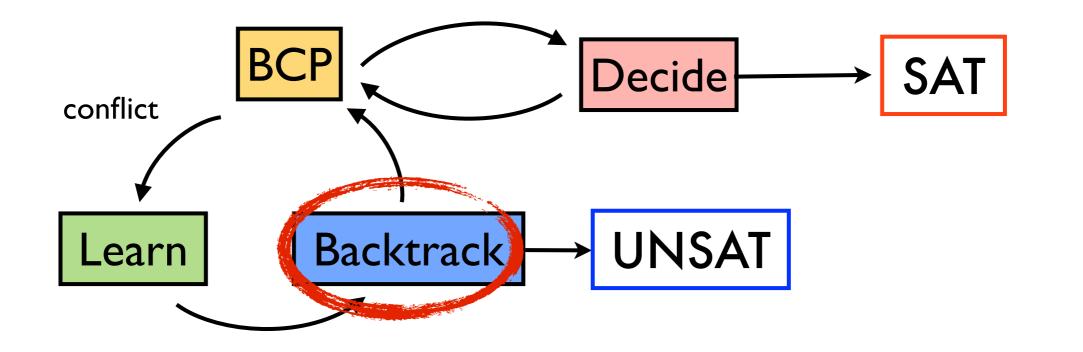
 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w) \land (q \lor r)$ 



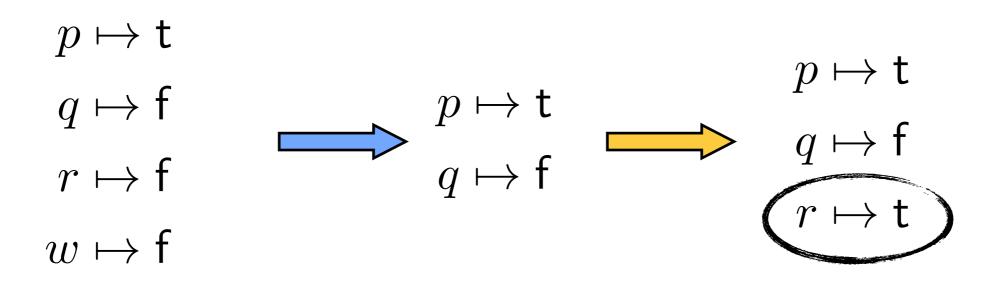


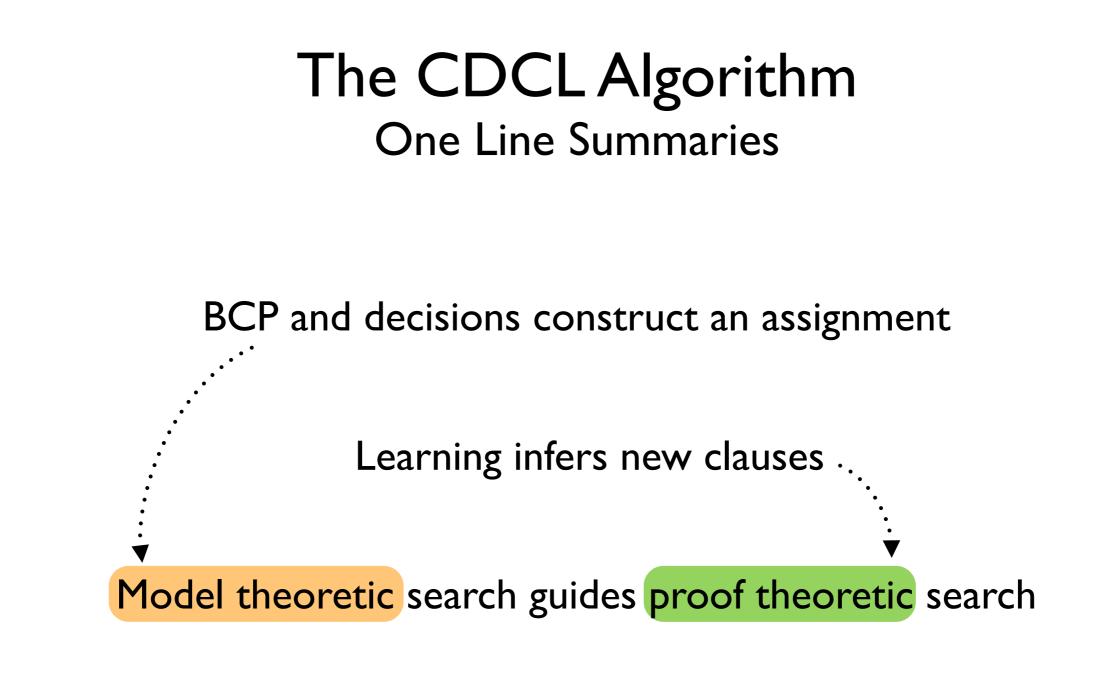
 $\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w) \land (q \lor r)$ 





$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w) \land (q \lor r)$$





#### Important: CDCL is more than case splitting

#### **Conflict Driven Clause Learning**

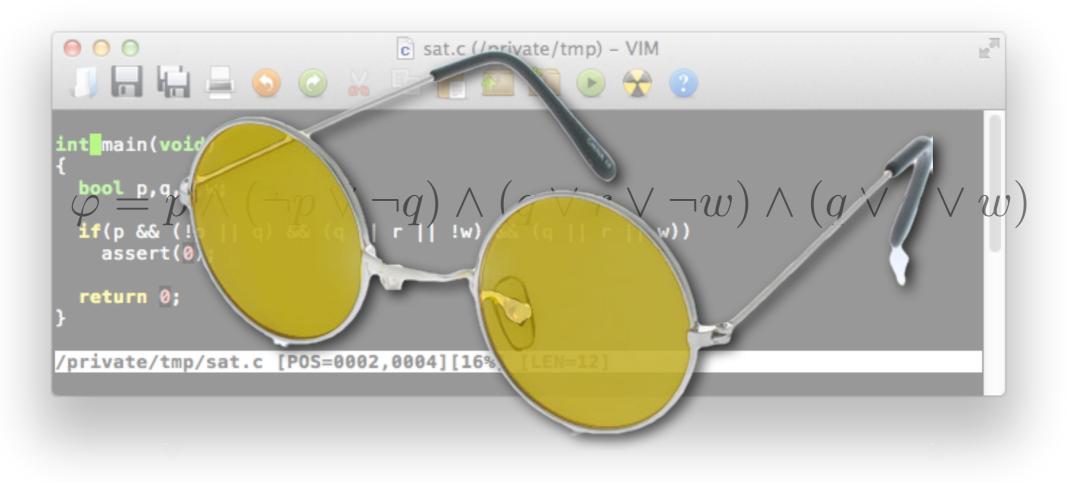
#### **Interpreting Logic**

## **CDCL** is Abstract Interpretation

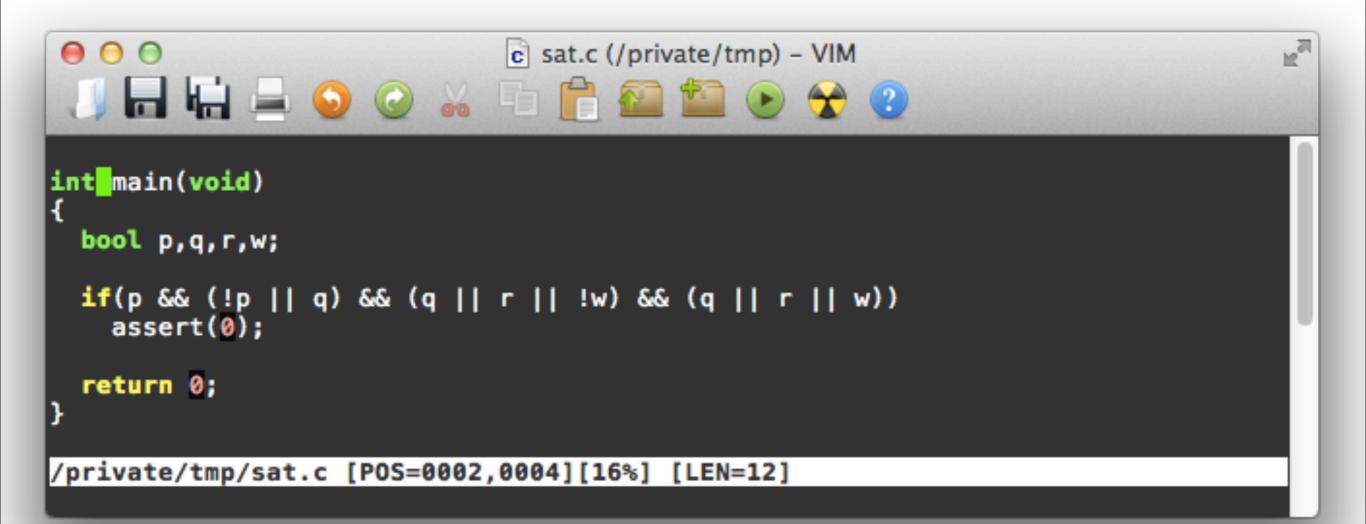
## ACDCL(A)

$$\varphi = p \land (\neg p \lor \neg q) \land (q \lor r \lor \neg w) \land (q \lor r \lor w)$$

Imagine no assignments, it's easy if you try

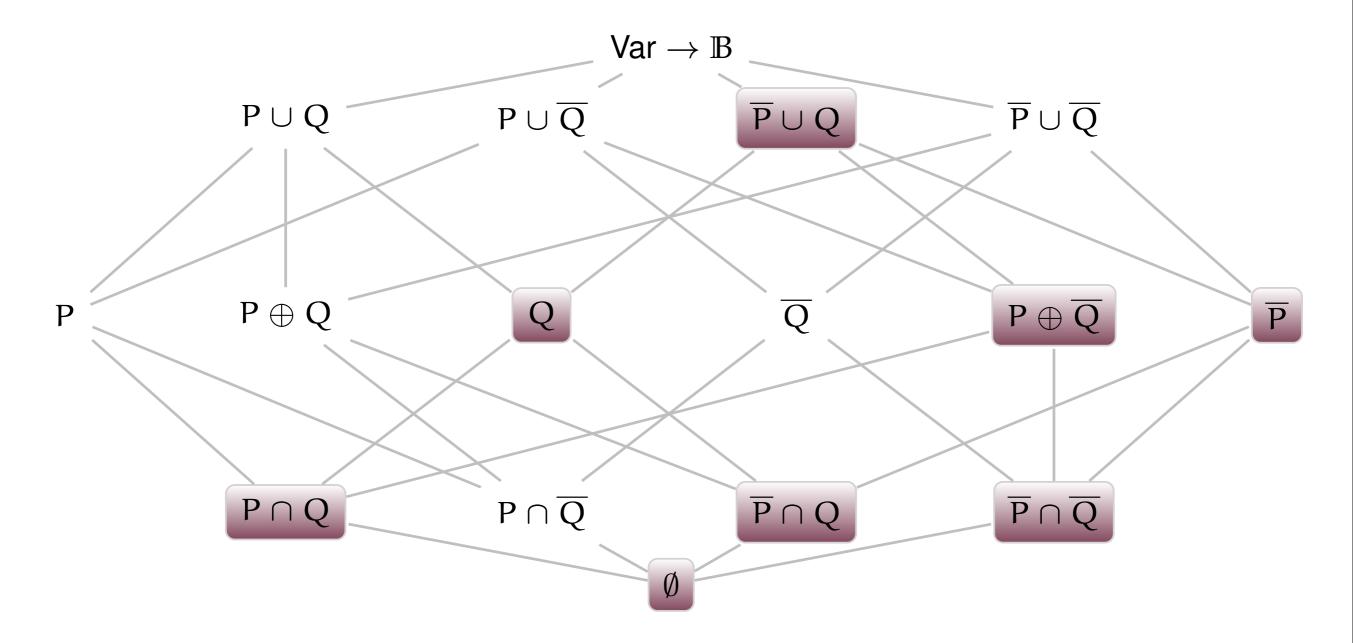


Imagine only Booleans, I wonder if you can



## **Concrete Interpretation**

 $P = \{ \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{t} \rangle, \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{f} \rangle \} \qquad \qquad Q = \{ \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{t} \rangle, \langle p \mapsto \mathsf{f}, q \mapsto \mathsf{t} \rangle \}$ 



Shaded: Strongest post-condition for assume(!p || q)

## Satisfiability as Concrete Analysis

$$C = \langle \wp(V \to \mathbb{B}), \subseteq, \cap, \cup \rangle$$
$$\top = V \to \mathbb{B}$$
$$\bot = \emptyset$$
$$post_{\varphi}(X) = \{ \varepsilon \in X \mid \varepsilon \text{ satisfies } \varphi \}$$

Concrete domain All environments No environment Strongest post-condition

#### Concrete Satisfiability:

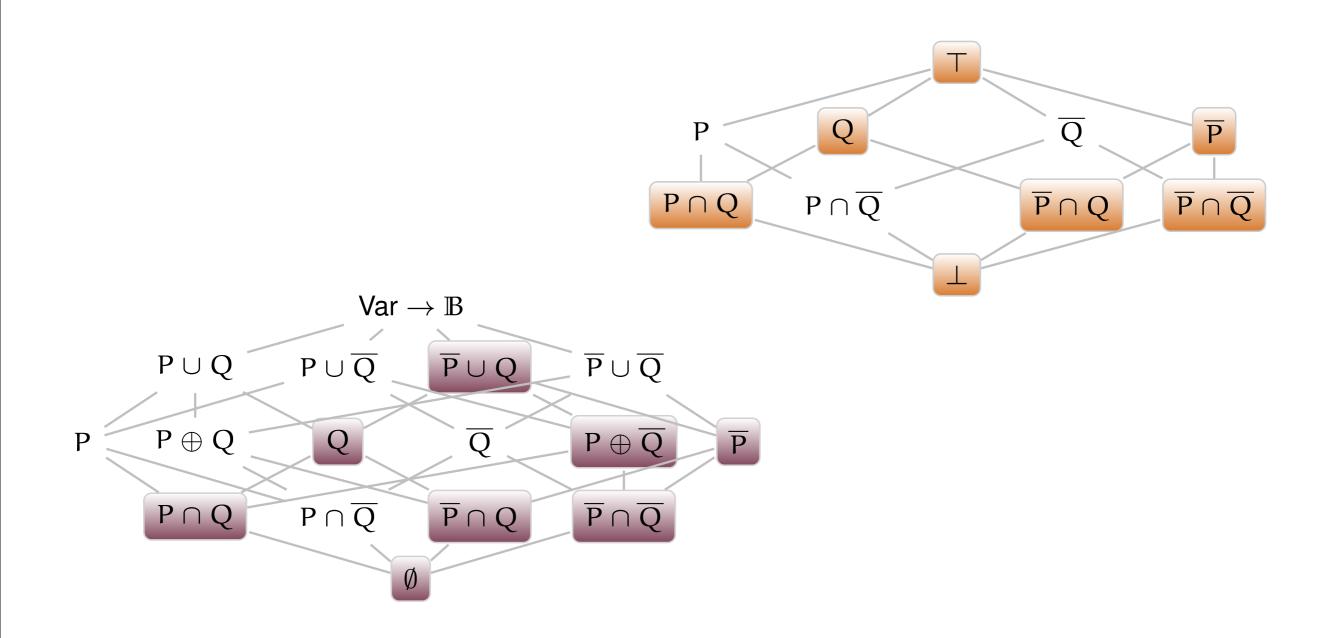
 $\varphi$  is satisfiable exactly if  $post_{\varphi}(\top) \neq \emptyset$ 

## Cartesian Abstract Domain

Concrete Set of environments

Abstract Environment of sets

## Cartesian Abstract Domain



Shaded: Abstract strongest post-condition for assume(!p || q)

## Cartesian Abstract Interpretation

$$\begin{split} C &= \langle \wp(V \to \mathbb{B}), \subseteq, \cap, \cup \rangle \\ A &= \langle V \to \wp(\mathbb{B}), \sqsubseteq, \Pi, \sqcup \rangle \\ C &\xleftarrow{\gamma}{\alpha} A \\ apost_{\varphi} &= \alpha \circ post_{\varphi} \circ \gamma \end{split}$$

Concrete domain Abstract domain Galois connection Best abstract transformer

$$\begin{split} P &= \{ \varepsilon \mid \varepsilon(p) = \mathsf{t} \} \\ post_{p \wedge q}(\overline{P}) &= \emptyset \\ post_{p \vee \neg q}(\overline{P}) &= \{ \langle p \mapsto \mathsf{f}, q \mapsto \mathsf{f} \rangle \} \\ post_{p \operatorname{xor} q}(\top) &= \{ \langle p \mapsto \mathsf{f}, q \mapsto \mathsf{t} \rangle \\ \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{f} \rangle \} \end{split}$$

$$\begin{split} \alpha(P) &= \langle p \mapsto \{\mathsf{t}\}, q \mapsto \mathbb{B} \rangle \\ apost_{p \wedge q}(\alpha(\overline{P})) &= \bot \\ apost_{p \vee \neg q}(\alpha(\overline{P})) &= \langle p \mapsto \{\mathsf{f}\}, q \mapsto \{\mathsf{f}\} \rangle \\ apost_{p \operatorname{xor} q}(\top) &= \top \end{split}$$

## Transformers are sound ...

Computing the best abstract transformer is **SAT-hard** 

Use best abstract transformer only for literals

conjunction	meet
disjunction	join

If  $apost_{\varphi} = \bot$  then  $\varphi$  is unsatisfiable.

(follows from the standard soundness theorem of abstract interpretation)

but they are not complete ...

## ... but not complete

 $\textbf{Abbreviate} \quad \langle p \mapsto \{ \mathsf{t} \}, q \mapsto \mathbb{B} \rangle \quad \textbf{as} \quad \langle p \mapsto \mathsf{t} \rangle$ 

$$\begin{split} \varphi &= p \land (\neg p \lor q) \\ apost_{\varphi}(\top) &= apost_{p}(\top) \sqcap (apost_{\neg p}(\top) \sqcup apost_{q}(\top)) \\ &= \langle p \mapsto \mathsf{t} \rangle \sqcap (\langle p \mapsto \mathsf{f} \rangle \sqcup \langle q \mapsto \mathsf{t} \rangle) \\ &= \langle p \mapsto \mathsf{t} \rangle \sqcap \top \\ &= \langle p \mapsto \mathsf{t} \rangle \\ &\neq \\ post_{\varphi}(\top) &= \{\langle p \mapsto \mathsf{t}, q \mapsto \mathsf{f} \rangle\} \end{split}$$

## **Recovering Precision**

**Theorem** (Cousot and Cousot 1979)

 $post(\gamma(a)) \subseteq \gamma(\mathsf{gfp}_x(apost(x \sqcap a))) \subseteq \gamma(apost(a))$ 

$$\begin{split} \varphi &= p \land (\neg p \lor q) \\ apost_{\varphi}(\top) &= apost_{p}(\top) \sqcap (apost_{\neg p}(\top) \sqcup apost_{q}(\top)) \\ &= \langle p \mapsto \mathsf{t} \rangle \\ apost_{\varphi}(\langle p \mapsto \mathsf{t} \rangle) &= apost_{p}(\langle p \mapsto \mathsf{t} \rangle) \sqcap (apost_{\neg p}(\langle p \mapsto \mathsf{t} \rangle) \sqcup apost_{q}(\langle p \mapsto \mathsf{t} \rangle)) \\ &= \langle p \mapsto \mathsf{t} \rangle \sqcap (\bot \sqcup \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{t} \rangle) \\ &= \langle p \mapsto \mathsf{t} \rangle \sqcap \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{t} \rangle \\ &= \langle p \mapsto \mathsf{t}, q \mapsto \mathsf{t} \rangle \end{split}$$

## Interpreting Logic One Line Summaries

Satisfying assignments are fixed points of the semantics

Cartesian abstract interpretation is sound but imprecise

gfp improves precision in the abstract

#### **Conflict Driven Clause Learning**

Interpreting Logic

#### **CDCL** is Abstract Interpretation

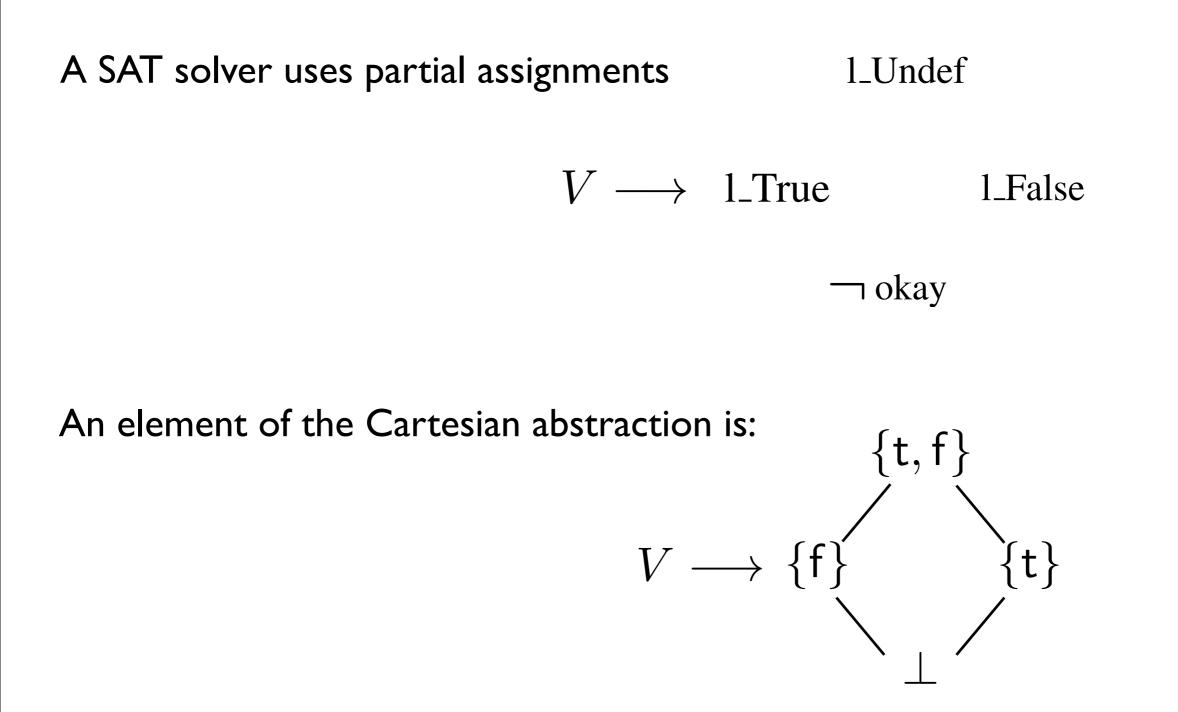
ACDCL(A)

# A SAT solver and an abstract interpreter walk into a bar

```
#define 1_True (lbool((uint8_t)0))
#define 1_False (lbool(( uint8_t )1))
#define 1_Undef (lbool(( uint8_t )2))
class lbool \{ [...] \};
class Solver {
  [...]
  // FALSE means solver is in a conflicting state
  bool
              okay () const;
  vec<lbool> assigns; // The current assignments.
  // Enqueue a literal . Assumes value of literal is undefined.
  void
              uncheckedEnqueue (Lit p, CRef from = CRef_Undef);
  // Perform unit propagation. Return possibly conflicting clause.
 CRef
              propagate ();
};
```

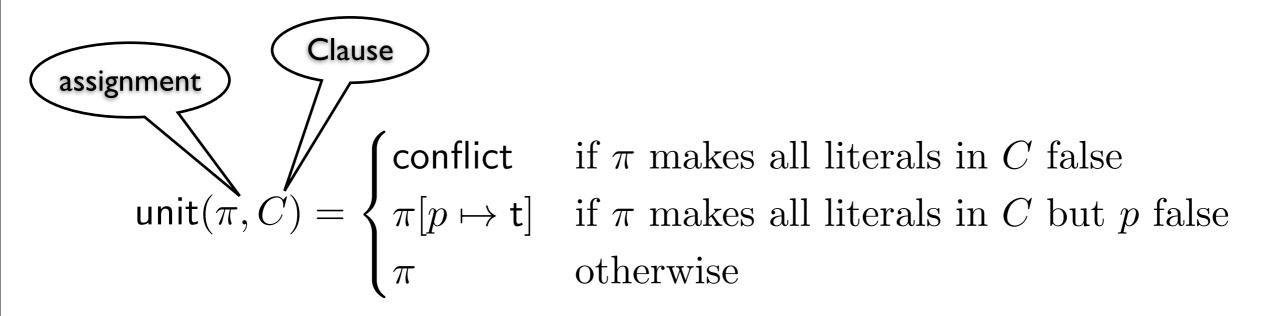
#### MiniSAT 2.2.0

## Partial assignments

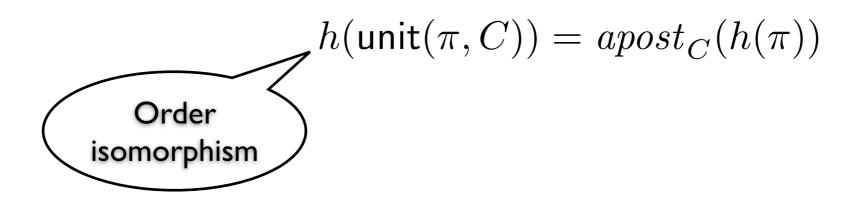


Partial assignments are order isomorphic to the reduced Cartesian abstraction

# Unit rule



Unit rule and abstract transformer



The unit rule is the best abstract transformer

#### BCP

$$\begin{array}{c|c} \mathsf{BCP}(\varphi, \pi) \\ \mathbf{repeat} \\ & \pi' \leftarrow \pi; \\ & \mathsf{for} \ Clause \ C \in \varphi \ \mathsf{do} \ \pi \leftarrow \mathsf{unit}(C, \pi') \\ & \mathsf{until} \ \pi' = \pi; \\ \end{array}$$

#### <u>Theorem:</u> BCP as fixed point $h(\mathsf{BCP}(\varphi, \pi)) = \mathsf{gfp}_x(apost_\varphi(h(\pi) \sqcap x))$

BCP is a greatest fixed point

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# A SAT solver and an abstract interpreter walk into a bar

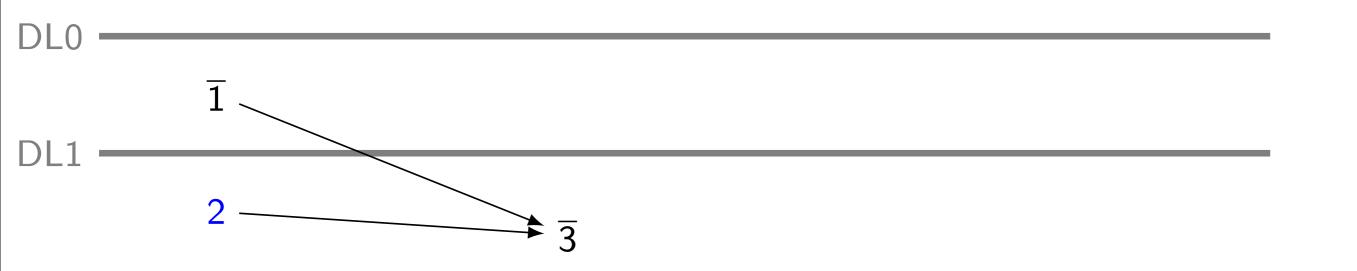
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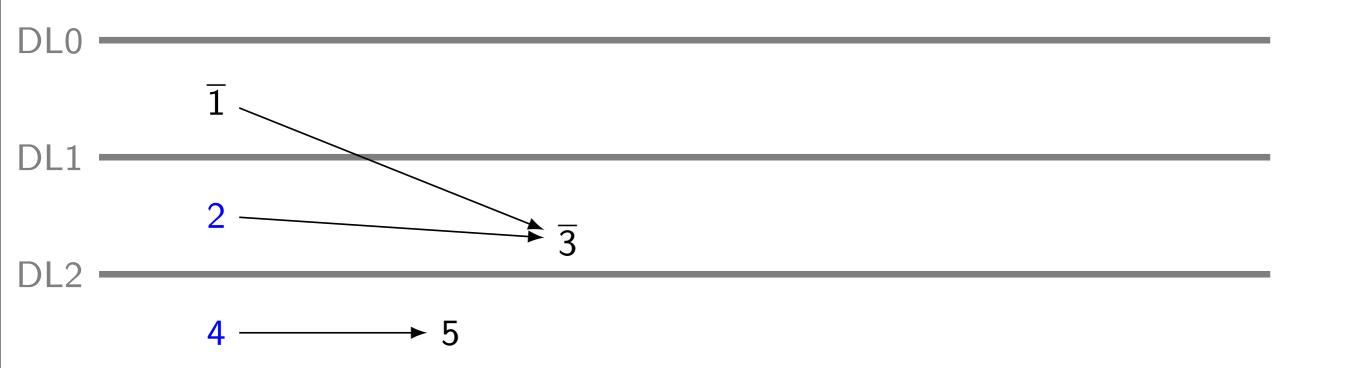
#### MiniSAT 2.2.0

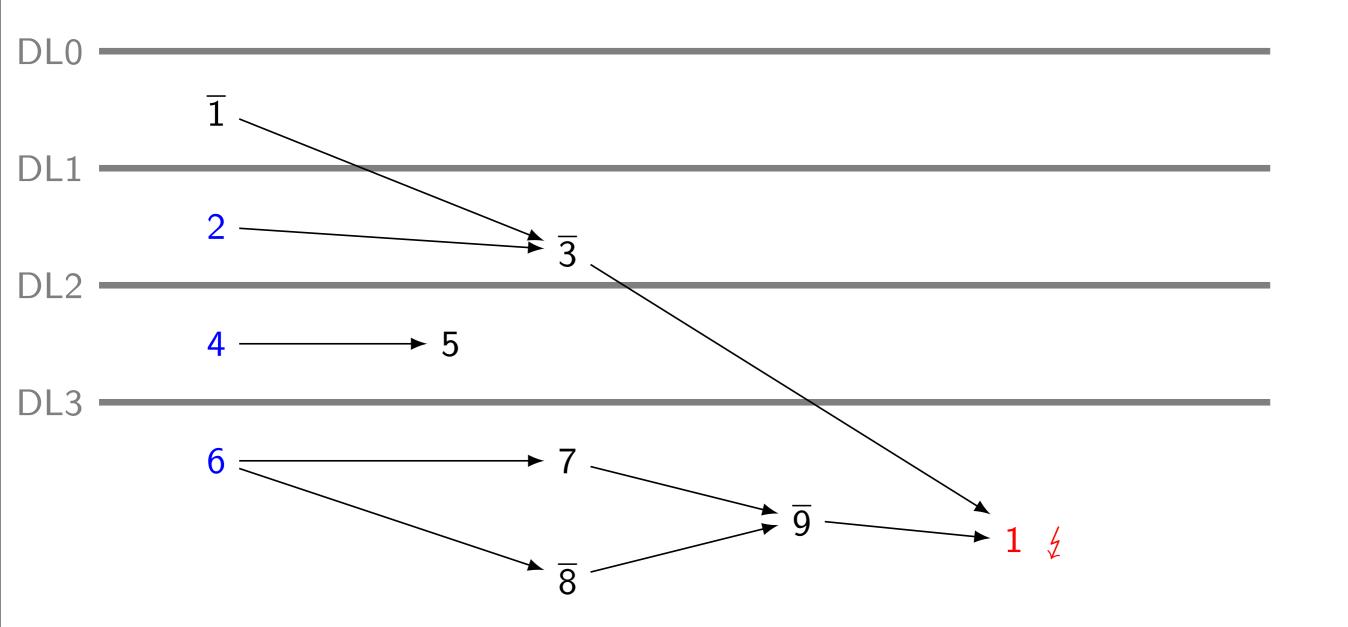
 $\neg 1 \land (1 \lor \neg 2 \lor \neg 3) \land (\neg 4 \lor 5) \land (\neg 6 \lor 7) \land (\neg 6 \lor \neg 8) \land (\neg 7 \lor 8 \lor \neg 9) \land (3 \lor 9 \lor 1)$ 

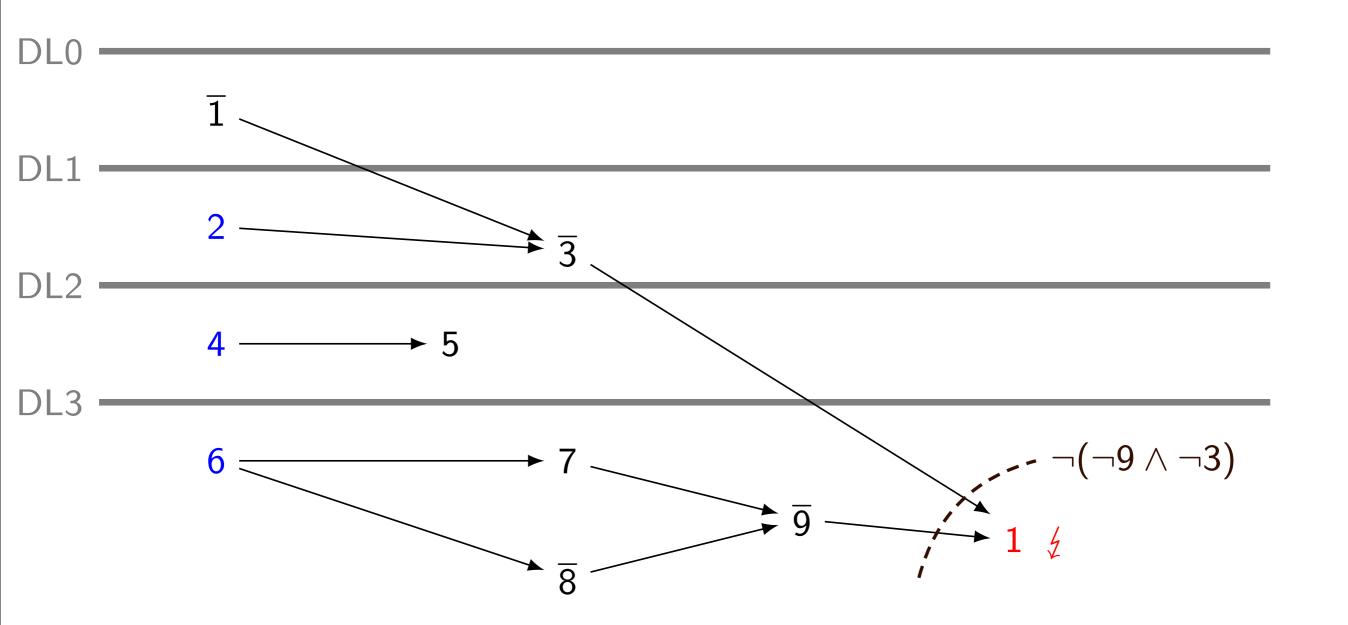
DL0

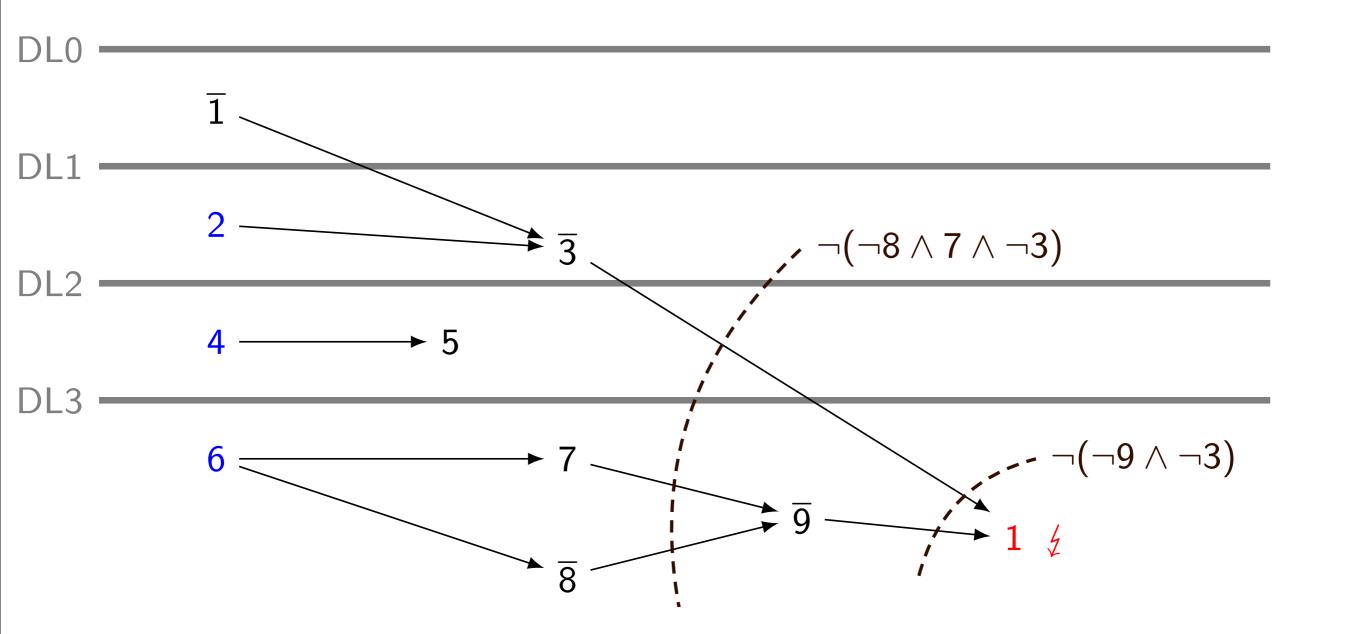
 $\overline{1}$ 

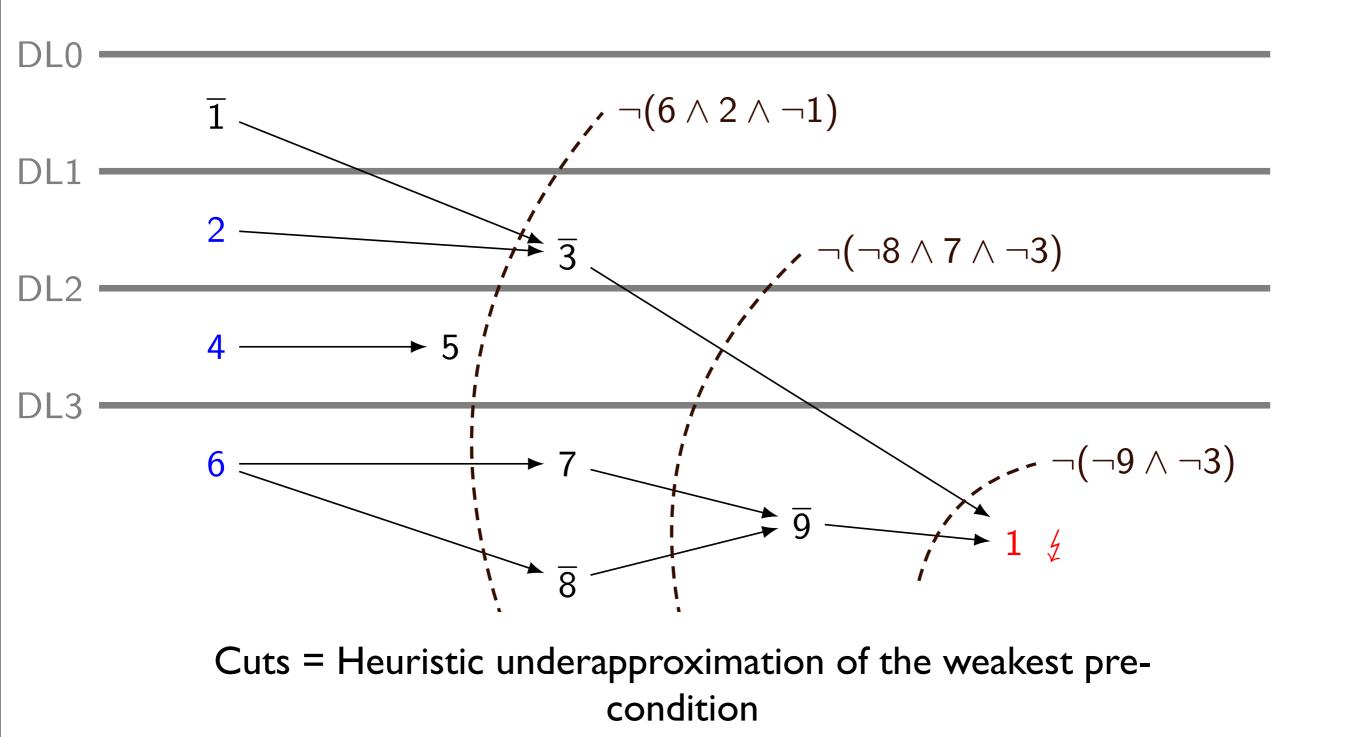




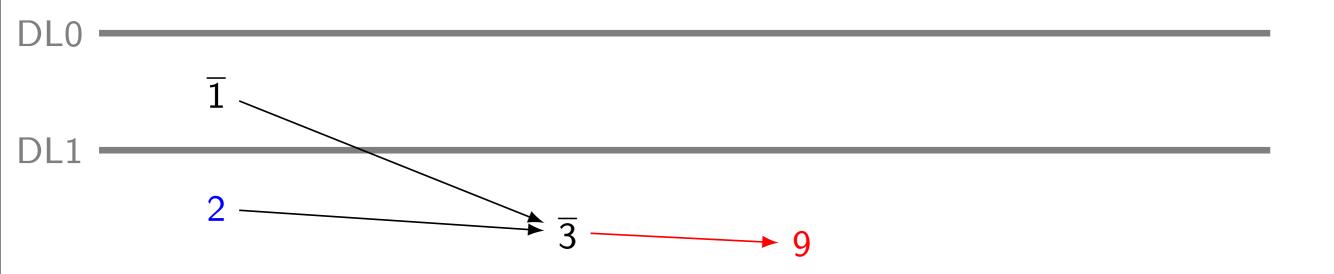




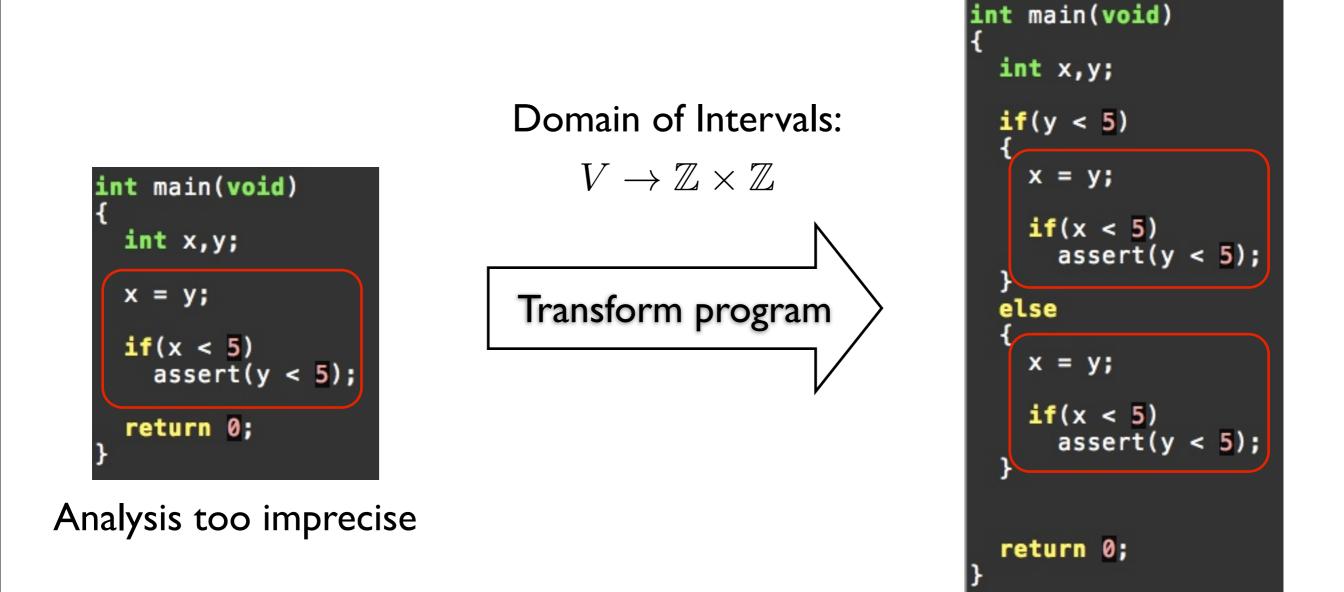




 $\begin{array}{c} \neg 1 \land (1 \lor \neg 2 \lor \neg 3) \land (\neg 4 \lor 5) \land (\neg 6 \lor 7) \land (\neg 6 \lor \neg 8) \land (\neg 7 \lor 8 \lor \neg 9) \land (3 \lor 9 \lor 1) \\ \land (9 \lor 3) \end{array}$ 



#### Trace Partitioning (Mauborgne and Rival, 2005)

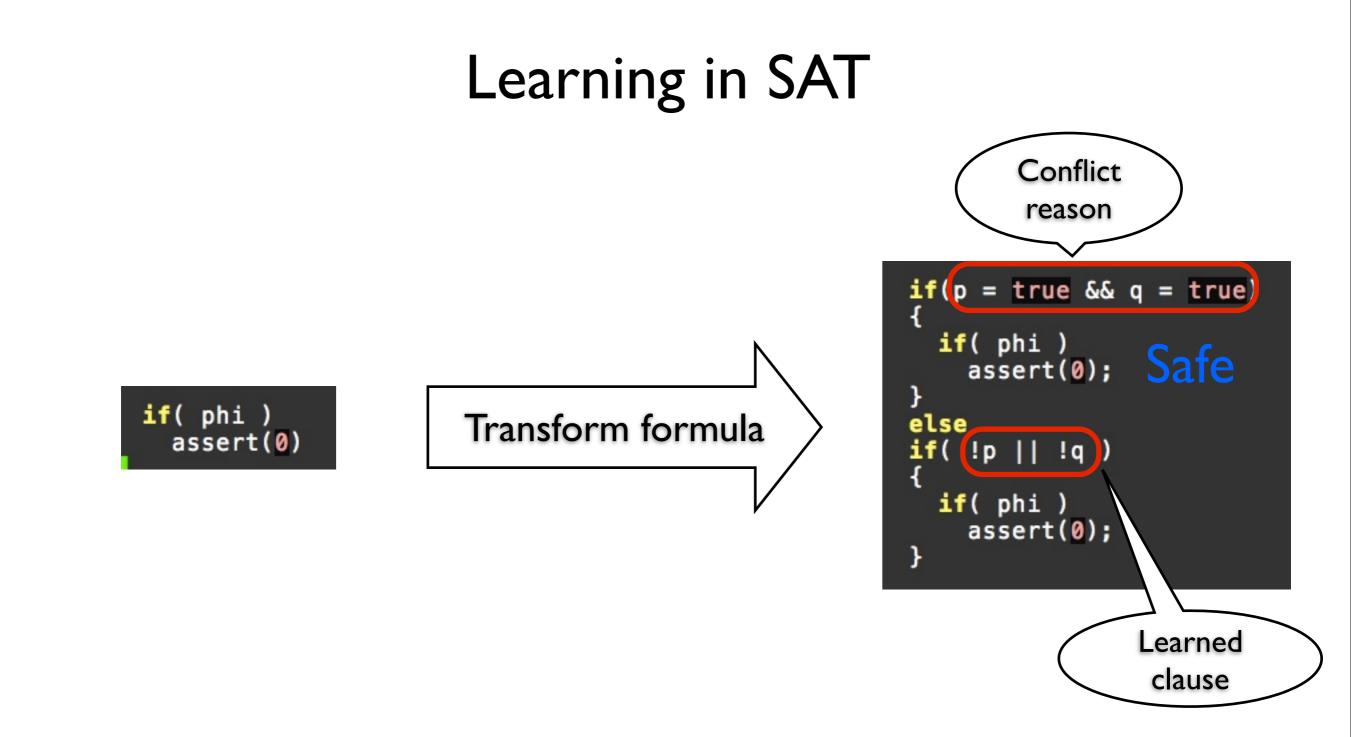


Same analysis is precise

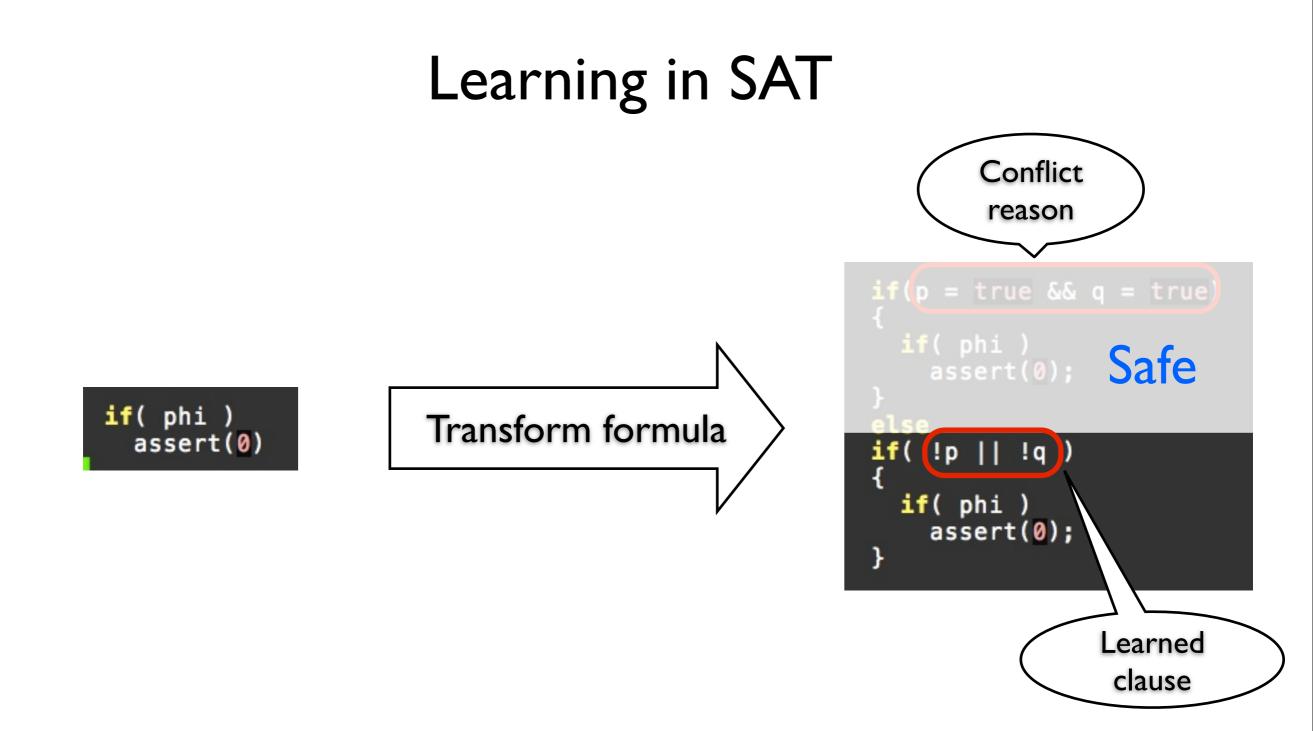
Changing the equation allows one to prove more with the same analysis.

Instance of a power domain (Cousot and Cousot, 1979)

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#### Decisions and learning are dynamic "trace" partitioning



#### Decisions and learning are dynamic "trace" partitioning

#### CDCL is Abstract Interpretation One Line Summaries

CDCL implements the <u>Cartesian abstract domain</u> as its <u>main data structure</u>

The <u>unit rule</u> is the application of the <u>best abstract clause transformer</u>

<u>BCP</u> is <u>fixed point</u> computation

Decisions & Learning are discovery of trace partitions

#### CDCL is Abstract Interpretation Summary of Summaries

- CDCL = Partial assignments = + Unit rule & BCP + Decisions & Learning
- Cartesian abstract domain
   + Abstract transformer & GFP
  - + Trace partitioning

#### Not an <u>ANALOGY</u> but an <u>ISOMORPHISM</u>

Precise results using a strict abstraction!

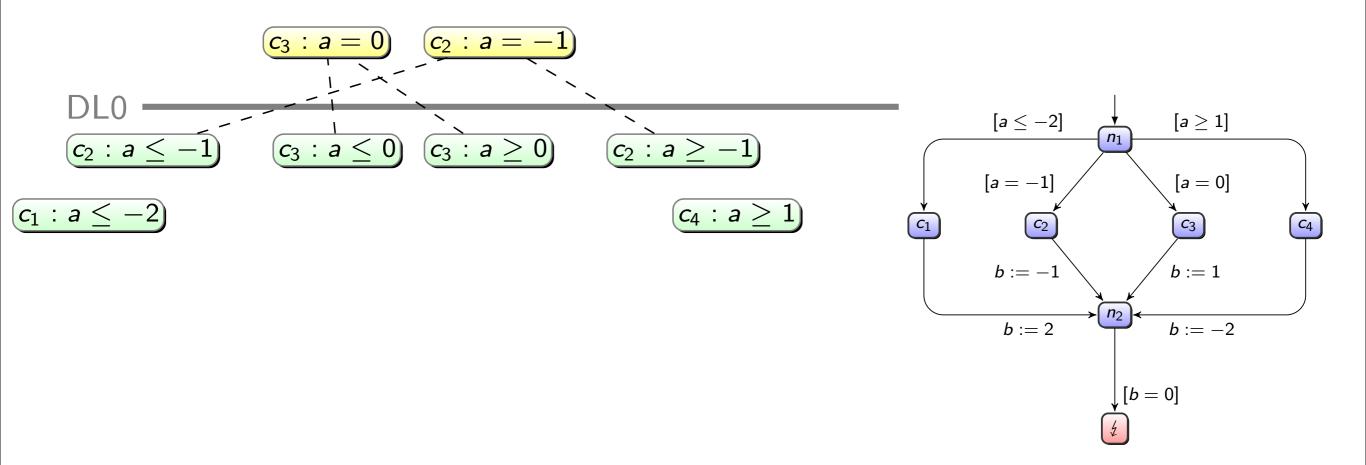
#### **Conflict Driven Clause Learning**

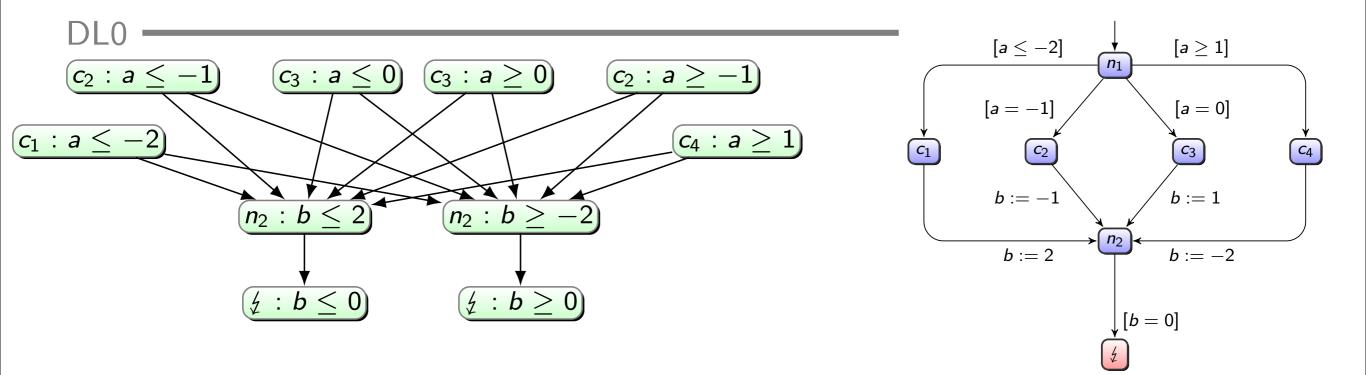
Interpreting Logic

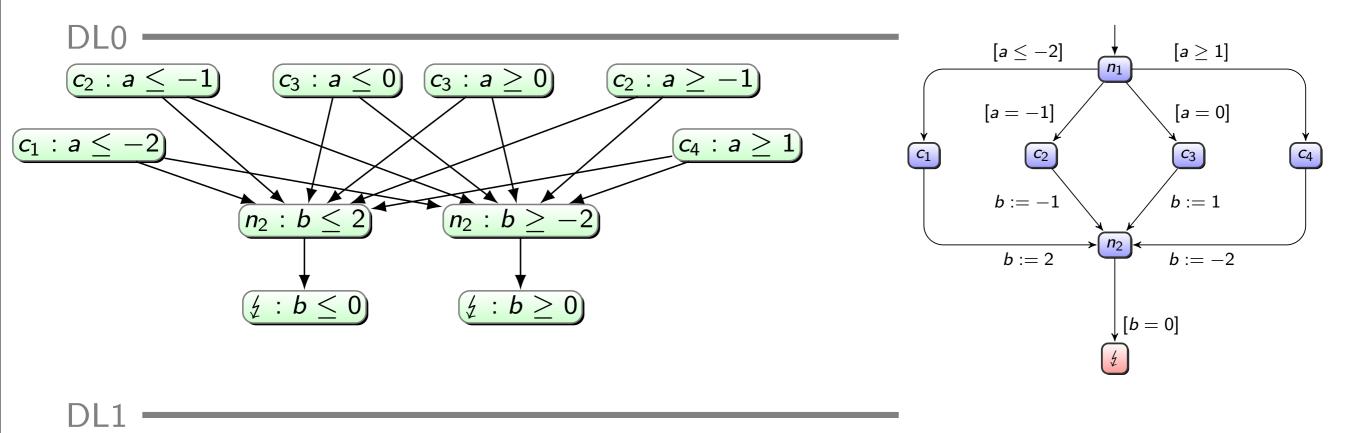
#### **CDCL** is Abstract Interpretation

ACDCL(A)

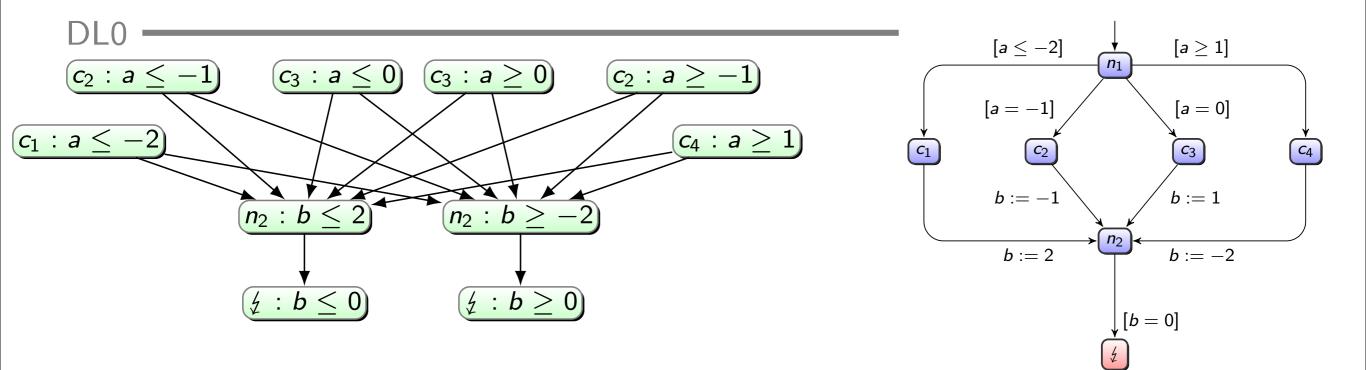


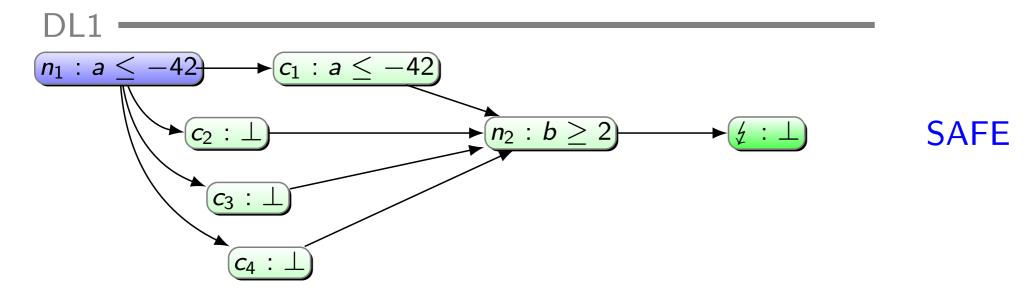


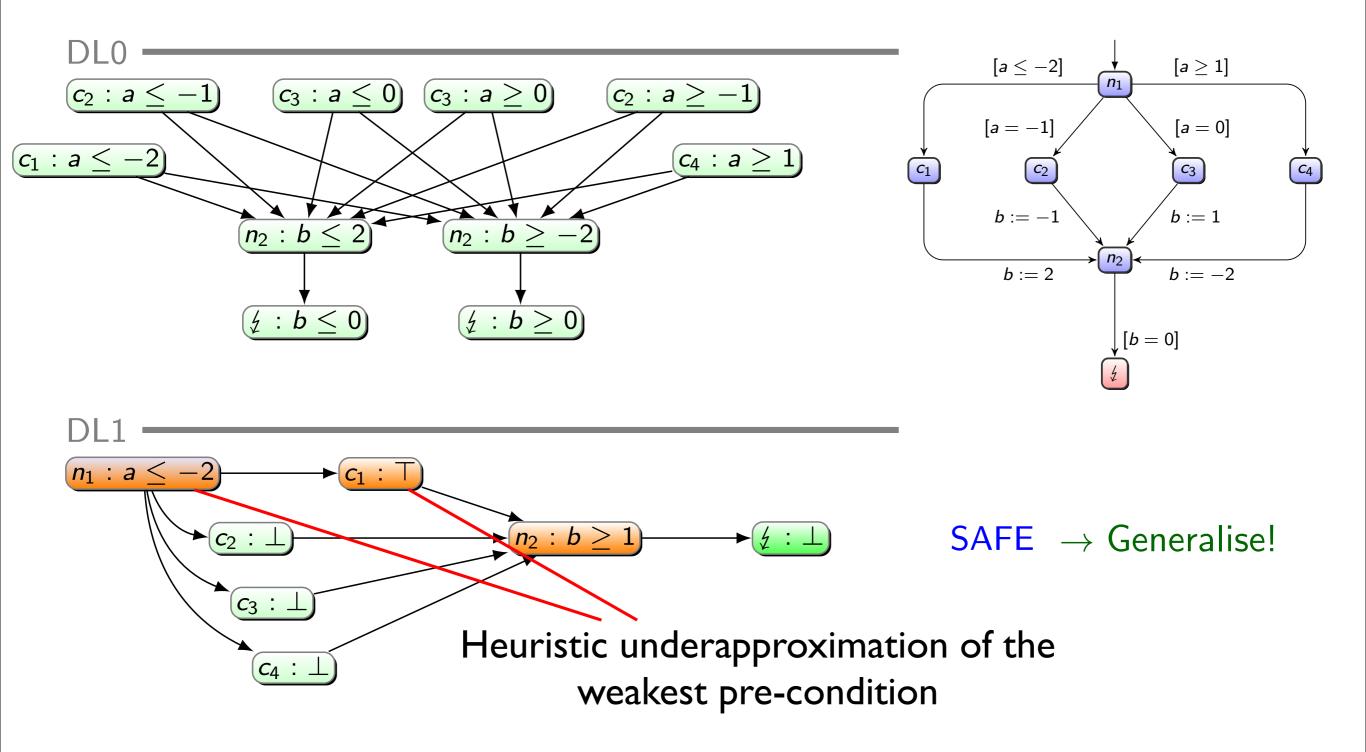


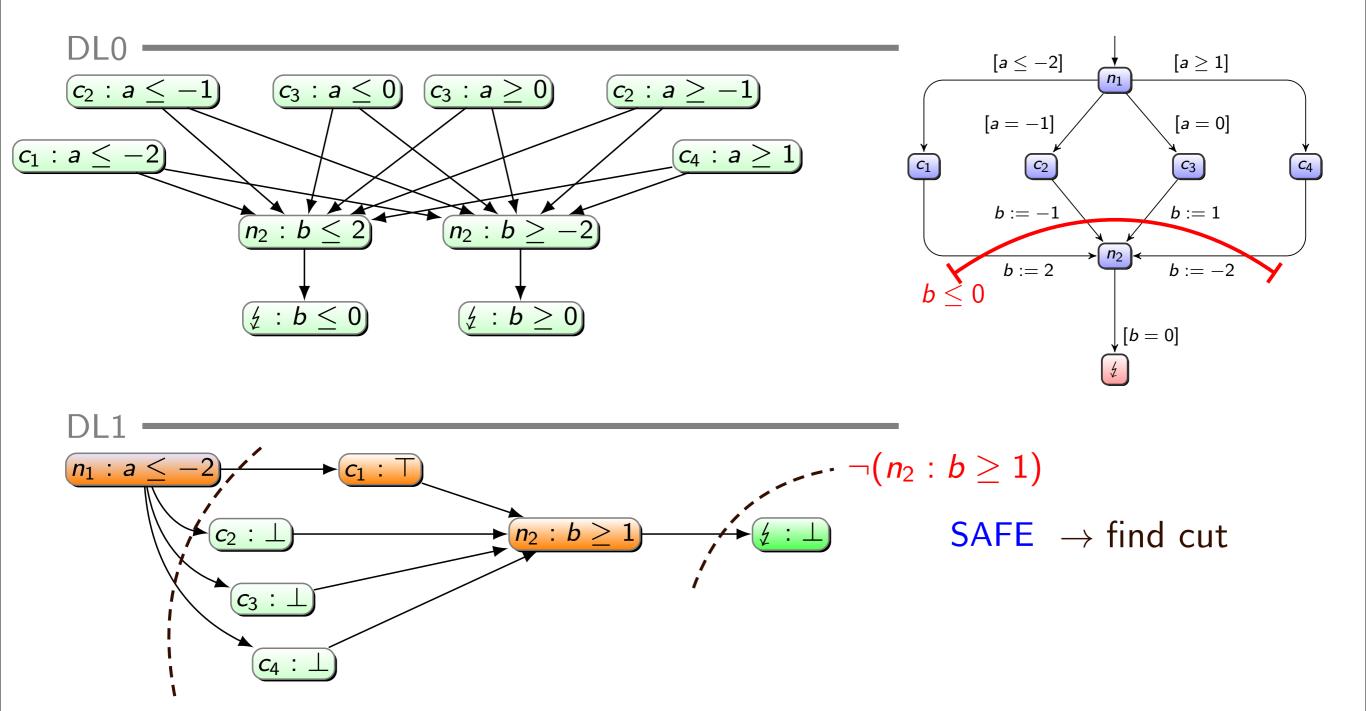


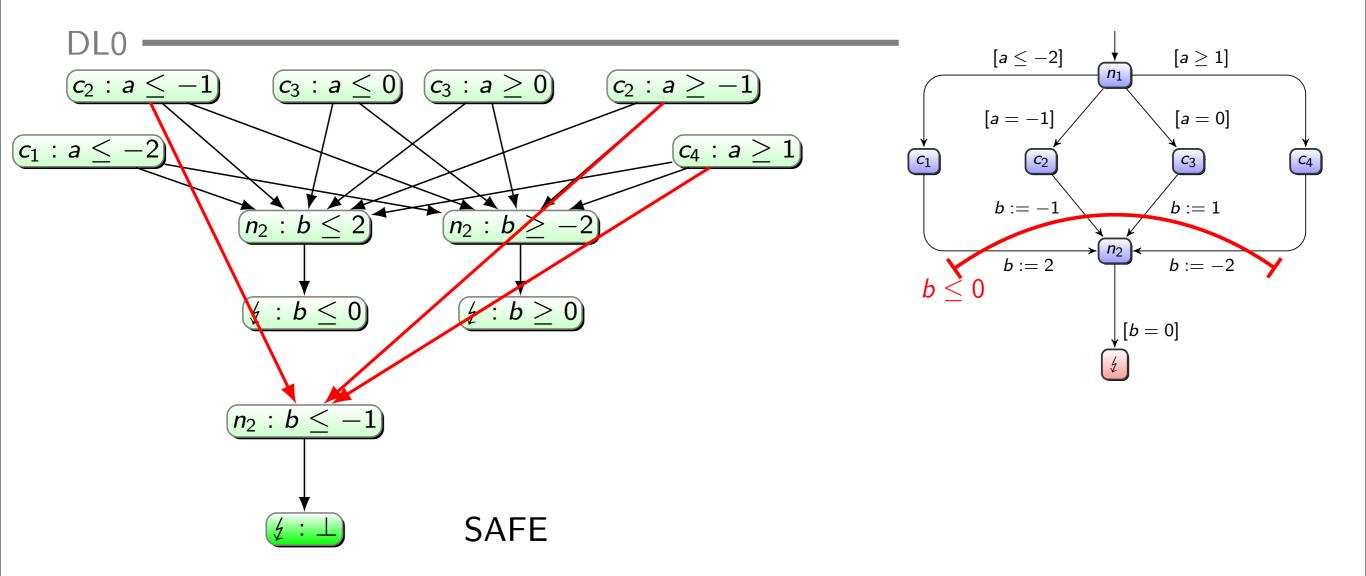












#### ACDCL(A) One Line Summaries

ACDCL(A) program analysers!

Techniques from SAT translate to programs

ACDCL(A) discovers <u>small</u>, <u>property driven</u> refinement

## Something more practical

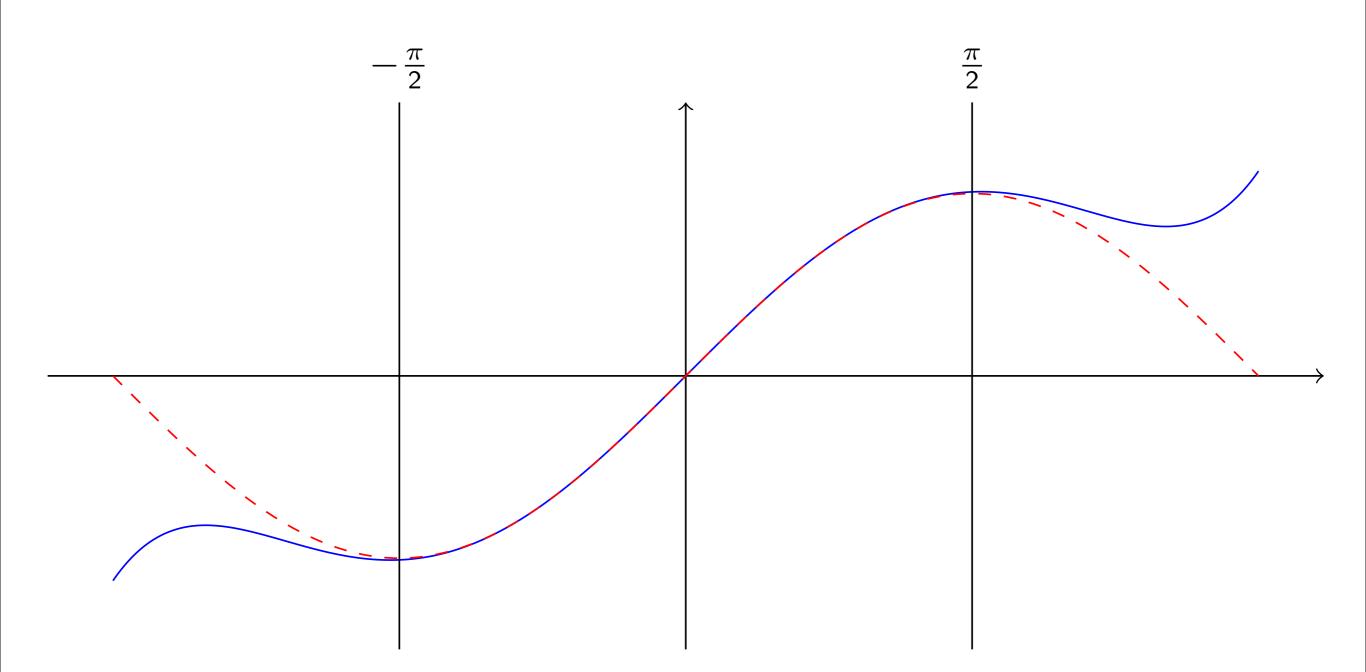
# ACDCL(Interval) procedure over floating point and machine integer intervals

Automatically finds property-dependent partitioning

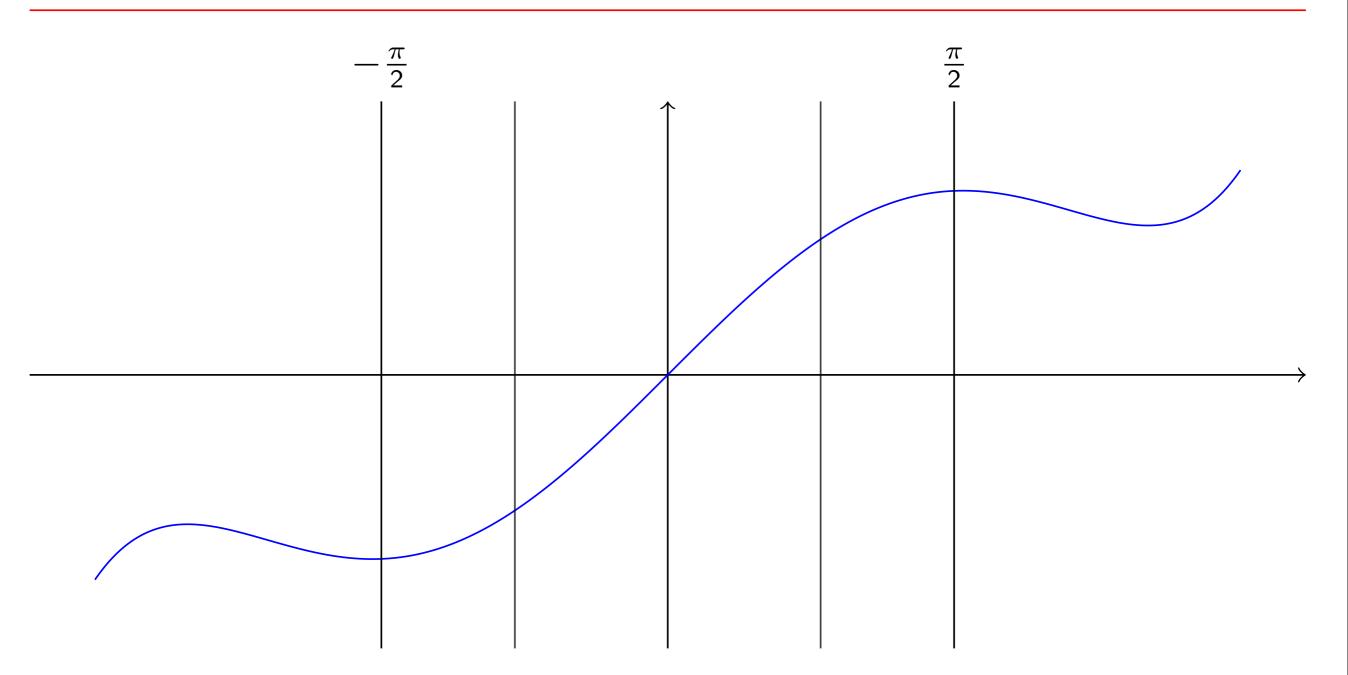
Example: Taylor expansion of sine-function

```
int main()
{
  float IN;
  __CPROVER_assume(IN > -HALFPI && IN < HALFPI);
  float x = IN;
  float result = x - (x*x*x)/6.0f + (x*x*x*x*x)/120.0f + (x*x*x*x*x*x)/5040.0f;
  assert(result <= VAL && result >= -VAL);
  return 0;
}
```

# Implementation

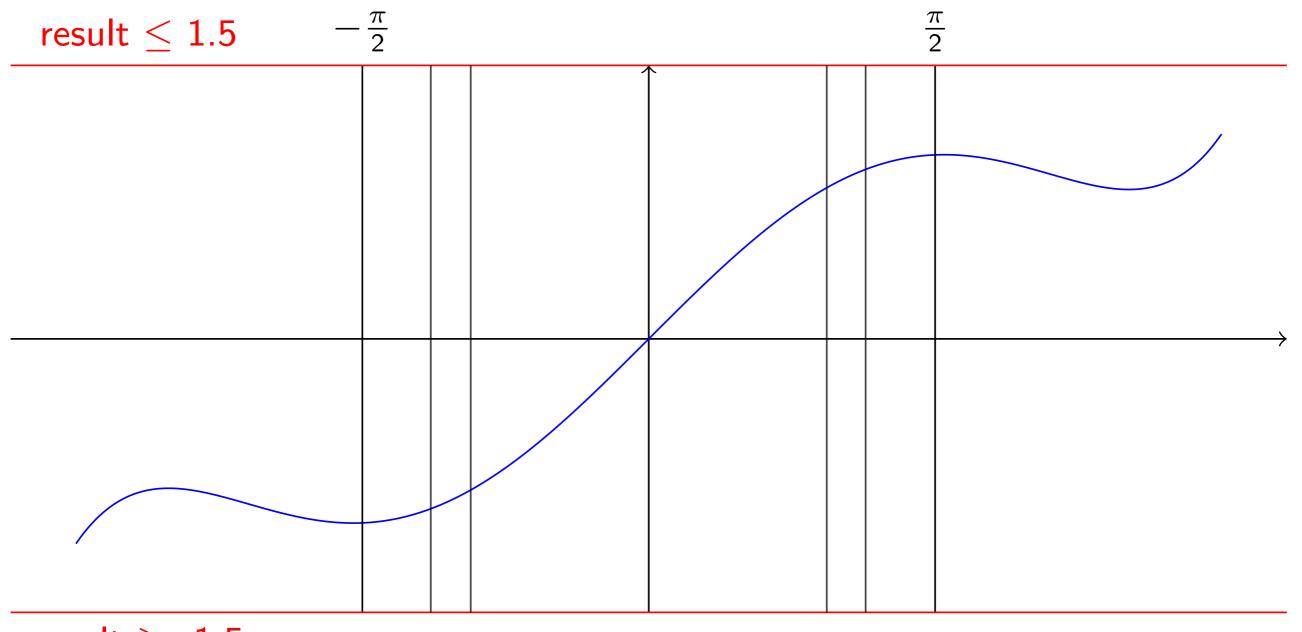


result  $\leq 2.0$ 

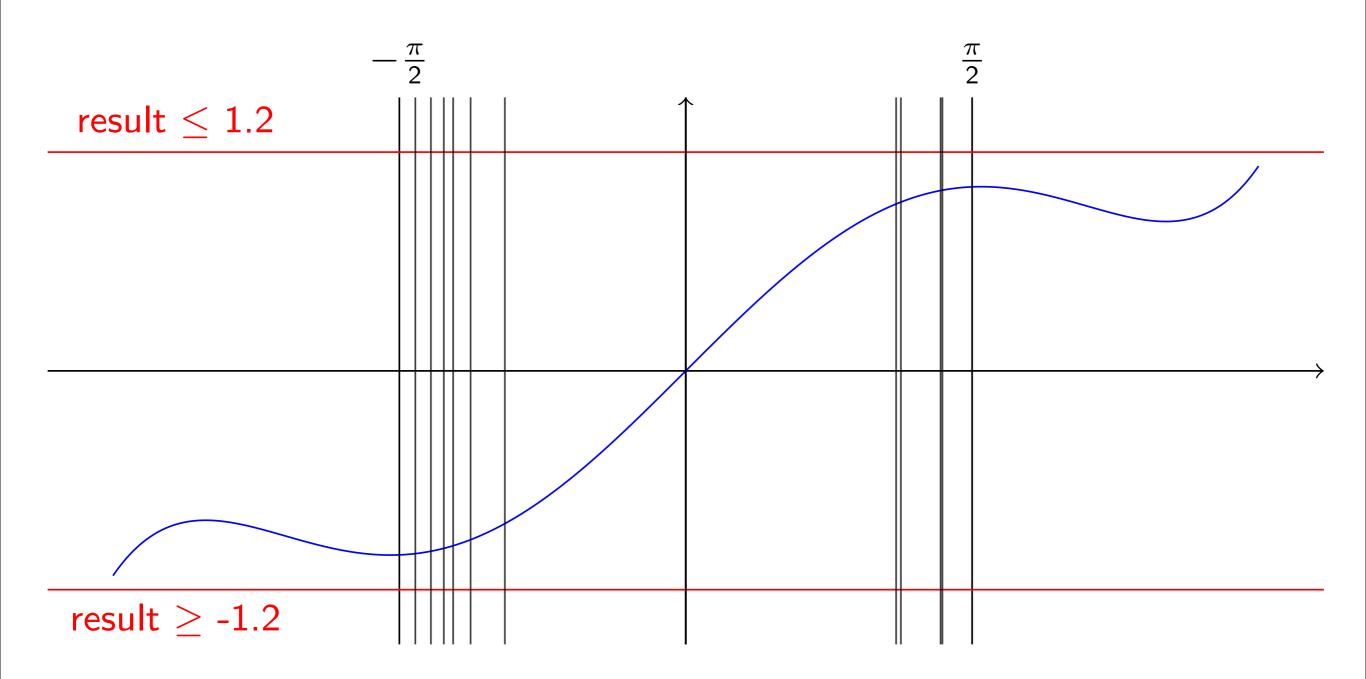


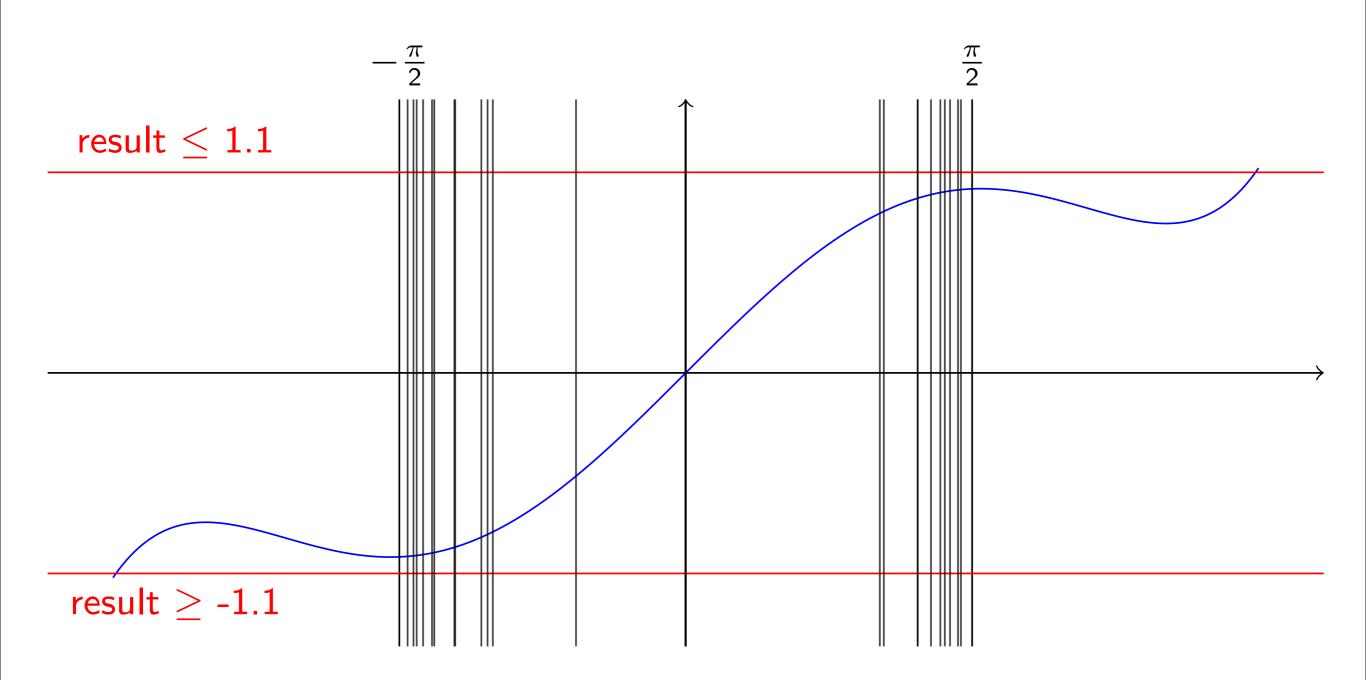
#### result $\geq$ -2.0

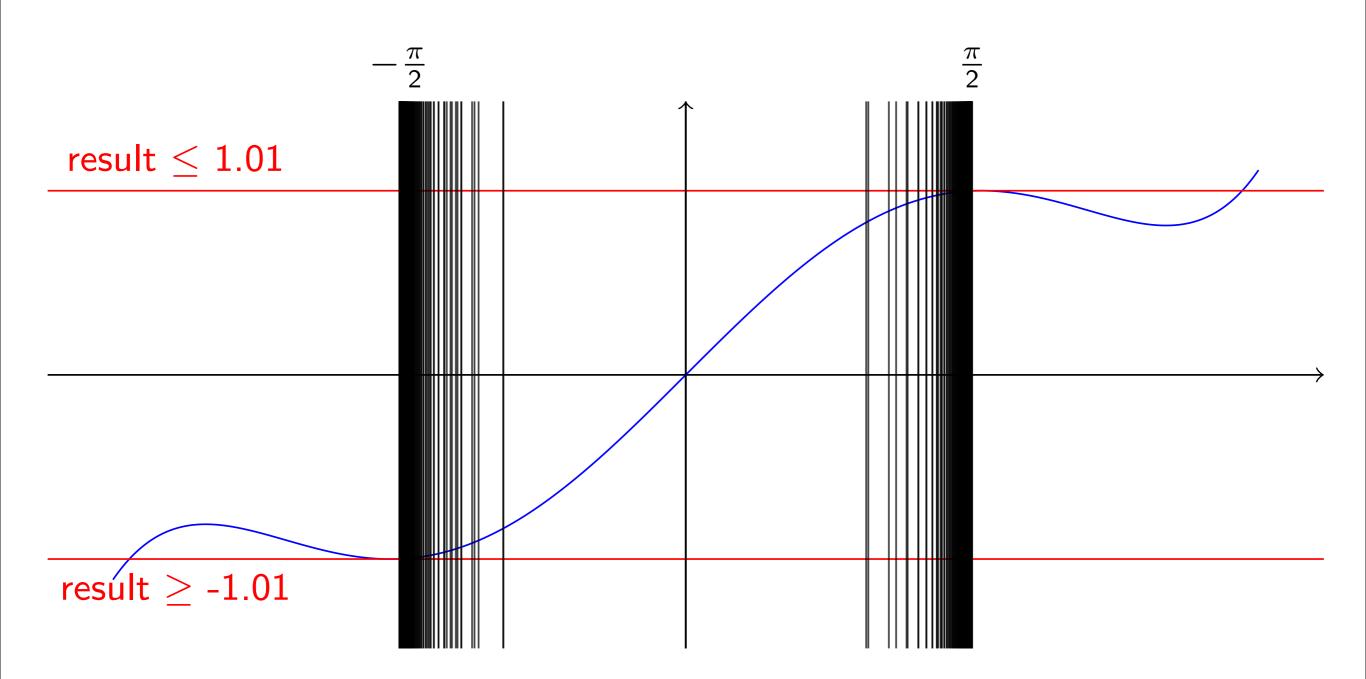
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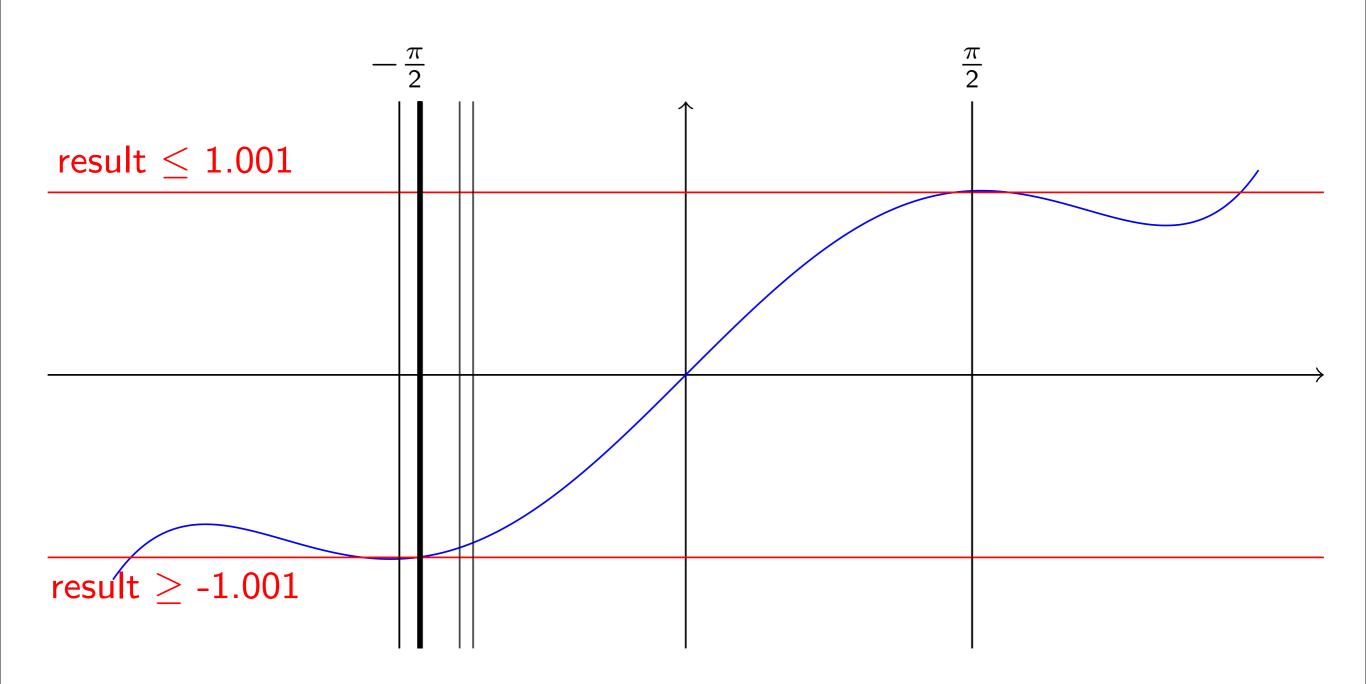


 $\mathsf{result} \geq -1.5$ 









Precise results using a strict abstraction! Orders of magnitude faster than propositional SAT

## Conclusion

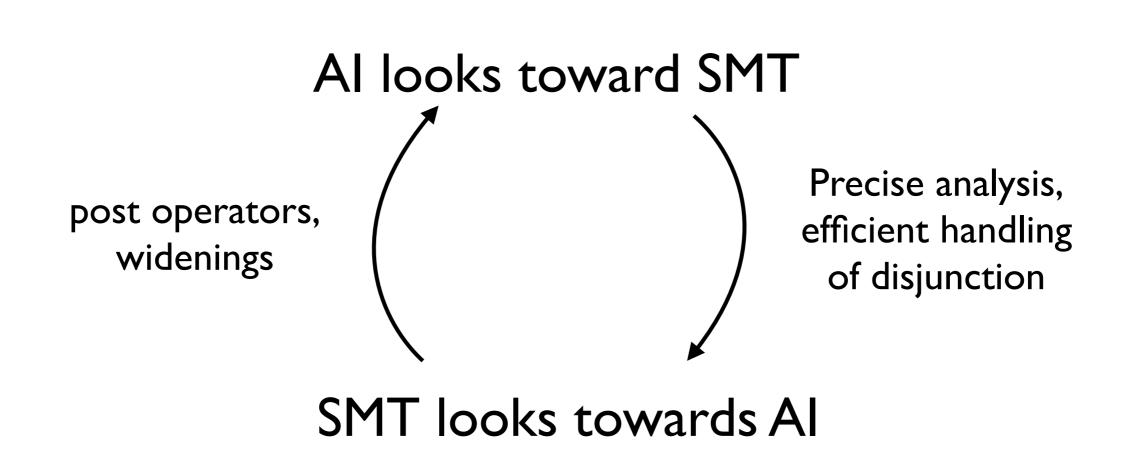
#### SAT solvers are abstract interpreters

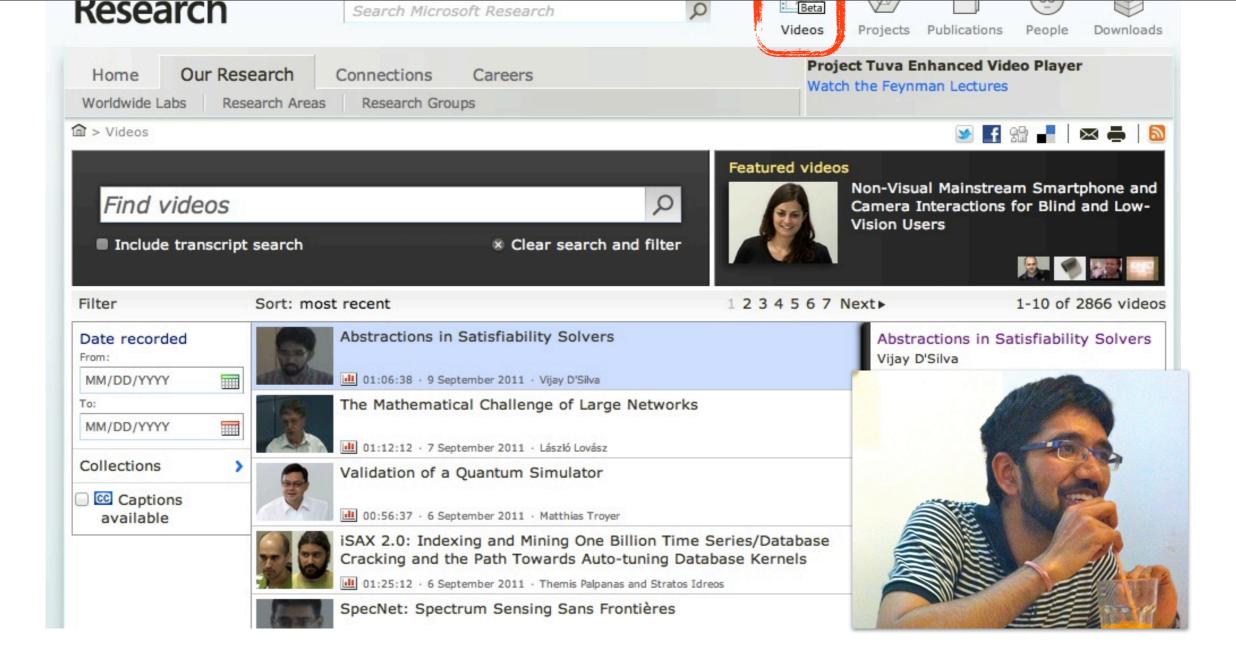
partial assignments	Cartesian domain
unit rule	abstr. transformer
BCP	gfp
decisions	meet irreducibles
learning	trace partioning

Abstract interpreters can be SAT solvers

ACDCL(A) for program analysis / SMT precise results in an imprecise abstraction

#### ... walk into a bar





#### Invited questions

#### Isn't this just CEGAR?

What if case splits are not enough?

Show me experiments!