Generics for the masses

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1 Introduction

A type system is like a suit of armour: it shields against the modern dangers of illegal instructions and memory violations, but it also restricts flexibility. The lack of flexibility is particularly vexing when it comes to implementing fundamental operations such as showing a value or comparing two values. In a statically typed language such as Haskell 98 [8] it is simply not possible to define an equality test that works for all types. Polymorphism does not help: equality is not a polymorphic function since it must inspect its arguments. Static typing dictates that equality becomes a family of functions containing a tailor-made instance of equality for each type of interest. Rather annoyingly, all these instances have to be programmed.

More than a decade ago the designers of Haskell noticed and partially addressed this problem. By attaching a so-called *deriving form* to a data type declaration the programmer can instruct the compiler to generate an instance of equality for the new type. In fact, the deriving mechanism is not restricted to equality: parsers, pretty printers and several other functions are derivable, as well. These functions have to become known as *data-generic* or *polytypic* functions, functions that work for a whole family of types. Unfortunately, Haskell's deriving mechanism is closed: the programmer cannot introduce new generic functions.

The recent years have seen a number of proposals that support exactly this, the *definition* of generic functions. Some of the proposals define new languages, some define extensions to existing languages. As a common characteristic none of the proposals can be made to work within Haskell 98; they all require something extra, either a more sophisticated type system or an additional language construct.

¹Actually, in Haskell 1.0 the compiler would always generate an instance of equality. A deriving form was used to *restrict* the instances generated to those mentioned in the form. To avoid the generation of instances altogether, the programmer had to supply an empty deriving clause.

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The purpose of this pearl is to show that one can, in fact, program generically within Haskell 98 obviating to some extent the need for fancy type systems or separate tools. The proposed approach is extremely light-weight; each implementation of generics—we will introduce two major ones and a few variations—consists roughly of two dozen lines of Haskell code. The reader is cordially invited to play with the material. The source code can be found at

http://www.informatik.uni-bonn.de/~ralf/masses.tar.bz2

We have also included several exercises to support digestion of the material and to stimulate further experiments.

2 Generic functions on types

This section discusses the first implementation of generics. Sections 2.1 and 2.2 introduce the approach from a user's perspective, Section 2.3 details the implementation, and Section 2.4 takes a look at various extensions, some obvious and some perhaps less so.

2.1 Defining a generic function

Let us tackle a concrete problem. Suppose we want to encode elements of various data types as bit strings implementing a simple form of data compression. For simplicity, we represent a bit string by a list of bits.

```
type Bin = [Bit]

data Bit = 0 \mid 1 deriving (Show)

bits :: (Enum \alpha) \Rightarrow Int \rightarrow \alpha \rightarrow Bin
```

We assume a function *bits* that encodes an element of an enumeration type using the specified number of bits. We seek to generalize *bits* to a function *showBin* that works for arbitrary types. Here is a simple interactive session that illustrates the use of *showBin* (note that characters consume 7 bits and integers 16 bits).

A string of length n, for instance, is encoded in 8 * n + 1 bits.

Implementing showBin so that it works for arbitrary data types

seems like a hard nut to crack. Fortunately, generic programming comes to the rescue. The good news is that it suffices to define *showBin* for primitive types and for three elementary types: the one-element type, the binary sum, and the binary product.

```
\begin{array}{lll} \textbf{data} \; \textit{Unit} & = \; \; \textit{Unit} \\ \textbf{data} \; \textit{Plus} \; \alpha \; \beta & = \; \; \textit{Inl} \; \alpha \; | \; \textit{Inr} \; \beta \\ \textbf{data} \; \textit{Pair} \; \alpha \; \beta & = \; \; \textit{Pair} \{ \textit{outl} :: \alpha, \textit{outr} :: \beta \} \end{array}
```

Why these types? Now, Haskell's construct for defining new types, the **data** declaration, introduces a type that is isomorphic to a sum of products. Thus, if we know how to compress sums and products, we can compress elements of an arbitrary data type. More generally, we can handle a type σ if we can handle some representation type τ that is isomorphic to σ . The details of the representation type are largely irrelevant. When programming a generic function it suffices to know the two mappings that witness the isomorphism.

```
data Iso \alpha \beta = Iso\{fromData :: \beta \rightarrow \alpha, toData :: \alpha \rightarrow \beta\}
```

Turning to the implementation of *showBin*, we first have to provide the signature of the generic function. Rather unusual, we specify the type using a **newtype** declaration.

```
\textbf{newtype} \textit{ShowBin} \ \alpha \quad = \quad \textit{ShowBin} \{\textit{applyShowBin} :: \alpha \rightarrow \textit{Bin} \}
```

An element of *ShowBin* σ is an instance of *showBin* that encodes values of type σ as bit strings. We know that *showBin* itself cannot be a genuine polymorphic function of type $\alpha \to Bin$. Data compression does not work for arbitrary types, but only for types that are *representable*. Representable means that the *type* can be represented by a certain *value*. For the moment, it suffices to know that a type representation is simply an overloaded value called *rep*. The generic compression function is then given by the following simple, yet slightly mysterious definition.

```
showBin :: (Rep \alpha) \Rightarrow \alpha \rightarrow Bin
showBin = applyShowBin rep
```

Loosely speaking, we apply the generic function to the type representation *rep*. Of course, this is not the whole story. The code above defines only a convenient shortcut. The actual definition of *showBin* is provided by an instance declaration.

```
instance Generic ShowBin where
```

```
unit = ShowBin (\lambda x \to [])

plus = ShowBin (\lambda x \to \mathbf{case} \ x \ \mathbf{of} \ Inl \ l \to 0 : showBin \ l

Inr \ r \to 1 : showBin \ r)

pair = ShowBin (\lambda x \to showBin \ (outl \ x) + showBin \ (outr \ x))

datatype iso

= ShowBin (\lambda x \to showBin \ (fromData \ iso \ x))
```

 $char = ShowBin (\lambda x \rightarrow bits 7 x)$ $int = ShowBin (\lambda x \rightarrow bits 16 x)$

The class *Generic* has six member functions corresponding to the elementary types, *Unit*, *Plus*, and *Pair*, and to a small selection of primitive types, *Char* and *Int*. The member function *datatype*, which slightly breaks ranks, deals with arbitrary data types. Each method binding defines the instance of the generic function for the corresponding type. Let us consider each case in turn. To encode the single element of the type *Unit* no bits are required (*read:* the instance of *showBin* for the *Unit* type is $\lambda x \rightarrow []$). To encode an element of a sum type, we emit one bit for the constructor followed by the encoding of its argument. The encoding of a pair is given by the concatenation of the component's encodings. To encode an element of an arbitrary data type, we first convert the element into a sum of products, which is then encoded. Finally, characters and integers are encoded using the function *bits*.

That's it! We can start compressing data to strings of bits. Actually, not quite. First, we have to turn the types of the to-be-compressed values into representable types, which is what we will do next.

Exercise 1. Implement a generic version of Haskell's comparison function of type *compare*:: $(Rep \ \alpha) \Rightarrow \alpha \rightarrow \alpha \rightarrow Ordering$. Follow the scheme above: first turn the signature into a **newtype** declaration, then define *compare*, and finally provide an instance of *Generic*.

Exercise 2. Implement a function $readBin :: (Rep \ \alpha) \Rightarrow Bin \rightarrow \alpha$ that decodes a bit string that was encoded by showBin.

2.2 Defining a new type

A generic function such as *showBin* can only be instantiated to a representable type. By default, only the elementary types, *Unit*, *Plus*, and *Pair*, and the primitive types *Char* and *Int* are representable. So, whenever we define a new data type and we intend to use a generic function on that type, we have to do a little bit of extra work. As an example, consider the data type of binary leaf trees.

```
data Shrub \alpha = Leaf \alpha \mid Fork (Shrub \alpha) (Shrub \alpha)
```

We have to show that this type is representable. To this end we exhibit an isomorphic type built from representable type constructors. We call this type the *structure type* of *Shrub*.

```
instance (Rep \ \alpha) \Rightarrow Rep \ (Shrub \ \alpha) where rep = datatype \ (Iso from Shrub \ to Shrub)
```

The main work goes into defining two mappings, from Shrub and to Shrub, which certify that Shrub α and its structure type Plus α (Pair (Shrub α) (Shrub α)) are indeed isomorphic.²

```
fromShrub:: Shrub \alpha \rightarrow Plus \alpha (Pair (Shrub \alpha) (Shrub \alpha))

fromShrub (Leaf x) = Inl x

fromShrub (Fork l r) = Inr (Pair l r)

toShrub:: Plus \alpha (Pair (Shrub \alpha) (Shrub \alpha)) \rightarrow Shrub \alpha

toShrub (Inl x) = Leaf x

toShrub (Inr (Pair l r)) = Fork l r
```

Perhaps surprisingly, the structure type may contain the original type. This is valid and, in fact, the standard approach for recursive types since the original type becomes representable by virtue of the instance declaration. Oh, wonders of recursion!

As a second example, here is the encoding of Haskell's list data type.

```
\begin{array}{lll} \textbf{instance} \; (\textit{Rep} \; \alpha) \Rightarrow \textit{Rep} \; [\alpha] \; \textbf{where} \\ \textit{rep} & = \; \textit{datatype} \; (\textit{Iso fromList toList}) \\ \textit{fromList} & :: \; [\alpha] \rightarrow \textit{Plus Unit} \; (\textit{Pair} \; \alpha \; [\alpha]) \\ \textit{fromList} \; [] & = \; \textit{Inl Unit} \\ \textit{fromList} \; (x : xs) & = \; \textit{Inr} \; (\textit{Pair} \; x \; xs) \\ \textit{toList} & :: \; \; \textit{Plus Unit} \; (\textit{Pair} \; \alpha \; [\alpha]) \rightarrow [\alpha] \\ \textit{toList} \; (\textit{Inl Unit}) & = \; [] \\ \textit{toList} \; (\textit{Inr} \; (\textit{Pair} \; x \; xs)) & = \; x : xs \\ \end{array}
```

The *Unit* type is used for encoding constructors with no arguments. If a data type has more than two alternatives, or if a constructor has

²Strictly speaking, the types *Shrub* α and *Plus* α (*Pair* (*Shrub* α) (*Shrub* α) are not isomorphic in Haskell since *Plus* is a lifted sum. We simply ignore this complication here.

more than two arguments, we have to nest the binary type constructors *Plus* and *Pair* accordingly. Actually, we are more flexible than this: we can map the new type to any other type as long as the target type is an instance of *Rep*.

Exercise 3. Turn the following types into instances of *Rep*.

```
\begin{array}{lcl} \textbf{data } \textit{Shrub } \alpha \ \beta & = & \textit{Tip } \alpha \ | \ \textit{Node } (\textit{Shrub } \alpha \ \beta) \ \beta \ (\textit{Shrub } \alpha \ \beta) \\ \textbf{data } \textit{Rose } \alpha & = & \textit{Branch } \alpha \ [\textit{Rose } \alpha] \end{array}
```

2.3 Implementation

The implementation of light-weight generics is surprisingly concise: apart from declaring the two classes, *Generic* and *Rep*, we only provide a handful of instance declarations. To begin with, the class *Generic* accommodates the different instances of a generic function.

class Generic g where

```
\begin{array}{lll} \textit{unit} & :: & \textit{g Unit} \\ \textit{plus} & :: & (\textit{Rep }\alpha,\textit{Rep }\beta) \Rightarrow \textit{g (Plus }\alpha \ \beta) \\ \textit{pair} & :: & (\textit{Rep }\alpha,\textit{Rep }\beta) \Rightarrow \textit{g (Pair }\alpha \ \beta) \\ \textit{datatype} & :: & (\textit{Rep }\alpha) \Rightarrow \textit{Iso }\alpha \ \beta \rightarrow \textit{g }\beta \\ \textit{char} & :: & \textit{g Char} \\ \textit{int} & :: & \textit{g Int} \end{array}
```

The class abstracts over the type constructor g, the type of a generic function. This is why *unit* has type g *Unit*. In the case of *Plus* and *Pair* the corresponding method has an additional context that constrains the type arguments of *Plus* and *Pair* to representable types. This context is necessary so that a generic function can recurse on the component types. In fact, the context allows us to call any generic function, so that we can easily define mutually recursive generic functions. We will see an example of this in the next section.

Now, what does it mean for a type to be representable? For our purposes, this simply means that we can instantiate a generic function to that type. So an intriguing choice is to *identify* type representations with generic functions.

```
class Rep \alpha where
rep :: (Generic g) \Rightarrow g \alpha
```

Note that the type variable g is implicitly universally quantified: the type representation must work for *all* instances of g. This is quite a strong requirement. How can we possibly define an instance of g? The answer is simple, yet mind boggling: we have to use the methods of class *Generic*. Recall that *unit* has type (*Generic* g) \Rightarrow g *Unit*. Thus, we can turn *Unit* into an instance of *Rep*.

```
instance Rep\ Unit\ where rep=unit\ instance (Rep\ \alpha,Rep\ \beta)\Rightarrow Rep\ (Plus\ \alpha\ \beta)\ where rep=plus\ instance (Rep\ \alpha,Rep\ \beta)\Rightarrow Rep\ (Pair\ \alpha\ \beta)\ where rep=pair\ instance Rep\ Char\ where rep=char\ instance Rep\ Int\ where rep=int\
```

Strange as the instance declarations may seem, each has a logical explanation. A type is representable if we can instantiate a generic function to that type. But the class *Generic* just contains the instances of generic functions. Thus, each method of *Generic* with

the notable exception of *datatype* gives rise to an instance declaration. We have seen in Section 2.2 that the method *datatype* is used to make an arbitrary type an instance of *Rep*. The procedure described in Section 2.2 is, in fact, dictated by the type of *datatype*: we have to provide an isomorphic data type that is representable.

The type of rep, namely, $(Rep \ \alpha, Generic \ g) \Rightarrow g \ \alpha$ is quite remarkable. In a sense, rep can be seen as the mother of all generic functions. \Box This de-mystifies the definition of showBin in Section 2.1: the application $applyShowBin \ rep$ implicitly instantiates rep's type to $(Rep \ \alpha) \Rightarrow ShowBin \ \alpha$, which the selector function applyShowBin then turns to $(Rep \ \alpha) \Rightarrow \alpha \rightarrow Bin$. Note that the classes Generic and Rep are mutually recursive: each class list the other one in a method context. Oh, wonders of recursion!

2.4 Extensions

2.4.1 Additional type cases

The class Generic can be seen as implementing a case analysis on types. Each method corresponds to a case branch. Types not listed as class methods are handled completely generically. However, this is not always what you want. As an example, recall that the encoding of a list of length n takes n+1 bits plus the space for the encoding of the elements. A better method is to first encode the length of the list and then to concatenate the encodings of the elements. In order to treat the list type as a separate case, we have to add a new method to the class Generic.

class Generic g where

```
list :: (Rep \ \alpha) \Rightarrow g \ [\alpha]

list = datatype \ (Iso \ from List \ to List)

instance (Rep \ \alpha) \Rightarrow Rep \ [\alpha] where

rep = list
```

So, the bad news is that we have to change a class definition. The good news is that by supplying a default definition for *list* this change does not affect any of the instance declarations: all the generic functions work exactly as before. The new *ShowBin* instance overrides the default definition.

```
instance Generic ShowBin where
```

```
... list = ShowBin (\lambda x \rightarrow bits 16 (length x) ++ concatMap showBin x)
```

The technique relies on Haskell's concept of *default class methods*: only if the instance does not provide a binding for the *list* method, then the default class method is used.

Exercise 4. Adopt *readBin* to the new encoding of lists. \Box

2.4.2 A default type case

Using the same technique we can also implement a default or catchall type case.

${\bf class}\ Generic\ g\ {\bf where}$

```
default :: (Rep \ \alpha) \Rightarrow g \ \alpha
unit = default
plus = default
pair = default
char = default
int = default
```

A default type case is useful for saying 'treat all the type cases not explicitly listed in the following way'. We will see an example application in Section 2.4.4.

2.4.3 Accessing constructor names

So far, the structure type captures solely the structure of a data type, hence its name. However, in Haskell there is more to a data type than this: a data constructor has a unique name, an arity, possibly a fixity, and possibly named fields. We are free to add all this information to the structure type. There are, in fact, several ways to accomplish this: we discuss one alternative in the sequel, Exercise 5 sketches a second one. To record the properties of a data constructor we use the data type Constr (we confine ourselves to name and arity).

```
type Name
                       String
type Arity
                       Int
                  =
data Constr \alpha =
                       Constr\{name :: Name, arity :: Arity, arg :: \alpha\}
```

As an example, here is a suitable redefinition of fromShrub and toShrub.

```
Inl (Constr "Leaf" 1 x)
fromShrub (Leaf x)
                      =
fromShrub (Fork l r)
                      =
                           Inr (Constr "Fork" 2 (Pair l r))
    toShrub (Inl (Constr n a x))
                                               Leaf x
    toShrub (Inr (Constr n a (Pair l r)))
                                              Fork l r
```

Note that, for reasons of efficiency, toShrub simply discards the additional Constr wrapper. So strictly, the two functions do not define an isomorphism. This is not a problem, however, as long as we do not cheat with the constructor names.

It remains to introduce a new type case for constructors and to add *Constr* to the league of representable types.

```
class Generic g where
```

```
(Rep \ \alpha) \Rightarrow g \ (Constr \ \alpha)
   constr
                                datatype (Iso arg wrap)
   constr
      where wrap a =
                                Constr "" (-1) a
instance (Rep \alpha) \Rightarrow Rep (Constr \alpha) where
```

Figure 1 displays a simple pretty printer, based on Wadler's prettier printing library [10], that puts the additional information to good use. The *plus* case discards the constructors *Inl* and *Inr* as they are not needed for showing a value. The constr case signals the start of a constructed value. If the constructor is nullary, its string representation is emitted. Otherwise, the constructor name is printed followed by a space followed by the representation of its arguments. The pair case applies if a constructor has more than one component. In this case the components are separated by a space. Finally, list takes care of printing lists using standard list syntax, commaseparated elements between square brackets.

The approach above works well for pretty printing but, unfortunately, fails for parsing. The problem is that the constructor names are attached to a value. Consequently, this information is not available when parsing a string. The important point is that parsing produces (not consumes) a value, and yet it requires access to the constructor name. An alternative approach, discussed in the exercise below, is to attach the information to the type, respectively, to the type representation.

```
newtype Pretty α
                                      = Pretty\{applyPretty:: \alpha \rightarrow Doc\}
      pretty
                                              (Rep \ \alpha) \Rightarrow \alpha \rightarrow Doc
                                              applyPretty rep
      pretty
instance Generic Pretty where
    unit
                         Pretty (\lambda x \rightarrow empty)
   plus
                         Pretty (\lambda x \rightarrow \mathbf{case} \ x \ \mathbf{of}
                                               Inl l \rightarrow pretty l
                                               Inr r \rightarrow pretty r)
    pair
                          Pretty (\lambda x \rightarrow pretty (outl x) (\rangle line (\rangle pretty (outr x))
    datatype iso
                          Pretty (\lambda x \rightarrow pretty (from Data iso x))
                          Pretty (\lambda x \rightarrow prettyChar x)
    char
    int
                  =
                          Pretty (\lambda x \rightarrow prettyInt x)
                         Pretty (\lambda x \rightarrow prettyl\ pretty\ x)
    list
                  =
                          Pretty (\lambda x \rightarrow \mathbf{if} \ arity \ x == 0 \mathbf{then}
    constr
                                                          text (name x)
                                                else
                                                 group (nest 1 (
                                                   text " ( " \langle \rangle text (name x) \langle \rangle line
                                                    \langle \rangle pretty (arg x) \langle \rangle text ")")))
                                            (\alpha \rightarrow Doc) \rightarrow ([\alpha] \rightarrow Doc)
prettyl
prettyl p []
                                            text "[]"
                                     =
                                            group\ (nest\ 1\ (text\ "\ [\ "\ \langle\rangle\ p\ a\ \langle\rangle\ rest\ as))
prettyl \ p \ (a:as)
    where rest
                                            text "]"
               rest(x:xs) =
                                           text ", " \langle \rangle line \langle \rangle p x \langle \rangle rest xs
```

Figure 1. A generic prettier printer

Exercise 5. Augment the datatype method by an additional argument

```
datatype :: (Rep \ \alpha) \Rightarrow DataDescr \rightarrow Iso \ \alpha \ \beta \rightarrow g \ \beta
```

that records information about the data type and its constructors. Re-implement the pretty printer using this modification instead of the constr case.

Exercise 6. Use the extension of the previous exercise and a parser library of your choice to implement a generic parser analogous to Haskell's read method.

2.4.4 Mutual recursion

In Haskell, the Show class takes care of pretty printing. The class is very carefully crafted so that strings, which are lists of characters, are shown in double quotes, rather than between square brackets. It is instructive to re-program this behaviour as the new code requires all three extensions introduced before. Basically, we have to implement a nested case analysis on types. The outer type case checks whether we have a list type; the inner type case checks whether the type argument of the list type constructor is Char. In our setting, a nested type case can be encoded using a pair of mutually recursive generic functions. The first realizes the outer type case.

```
instance Generic Pretty where
  list = Pretty(\lambda x \rightarrow prettyList x)
```

The instance declaration is the same as before, except that the *list* method dispatches to the second function which corresponds to the inner type case.

newtype PrettyList $\alpha = PrettyList\{applyPrettyList:: [\alpha] \rightarrow Doc\}$ prettyList :: $(Rep \ \alpha) \Rightarrow [\alpha] \rightarrow Doc$ prettyList = applyPrettyList rep

instance Generic PrettyList where

```
char = PrettyList (\lambda x \rightarrow prettyString x)

datatype iso = PrettyList (\lambda x \rightarrow prettyl (pretty \cdot fromData iso) x)

list = default

default = PrettyList (\lambda x \rightarrow prettyl pretty x)
```

The *PrettyList* instance makes use of a default type case which implements the original behaviour, comma-separated elements between square brackets. Note that the *list* method must be explicitly set to *default* because it has the 'wrong' default class method. Finally, the *char* method takes care of printing strings in double quotes.

3 Generic functions on type constructors

Let us now turn to the second implementation of generics, which will increase flexibility at the cost of automation.

3.1 Defining a generic function

The generic functions introduced in the last section abstract over a type. For instance, *showBin* generalizes functions of type

$$Char \rightarrow Bin$$
, $String \rightarrow Bin$, $[[Int]] \rightarrow Bin$

to a single generic function of type

$$(Rep \ \alpha) \Rightarrow \alpha \rightarrow Bin$$

A generic function may also abstract over a *type constructor*. Take, as an example, a function that counts the number of elements contained in a data structure. Such a function generalizes functions of type

$$[\alpha] \to \mathit{Int}, \quad \mathit{Shrub} \ \alpha \to \mathit{Int}, \quad [\mathit{Rose} \ \alpha] \to \mathit{Int}$$
 to a single generic function of type

$$(FRep \ \varphi) \Rightarrow \varphi \ \alpha \rightarrow Int$$

The class context makes explicit that counting elements does not work for arbitrary type constructors, but only for representable ones.

When type constructors come into play, typings often become ambiguous. Imagine applying a generic size function to a data structure of type [Rose Int]. Shall we count the number of rose trees in the list, or the number of integers in the list of rose trees? Because of this inherent ambiguity, the second implementation of generics will be more explicit about types and type representations. The following implementation of a generic counter illustrates the point.

newtype Count
$$\alpha = Count\{applyCount :: \alpha \rightarrow Int\}$$

instance Generic Count where

```
 \begin{array}{lll} \text{unit} & = & Count \ (\lambda x \to 0) \\ plus \ a \ b & = & Count \ (\lambda x \to \textbf{case} \ x \ \textbf{of} \\ & & Inl \ l \to applyCount \ a \ l \\ & & Inr \ r \to applyCount \ b \ r) \\ pair \ a \ b & = & Count \ (\lambda x \to applyCount \ a \ (outl \ x) \\ & & + applyCount \ b \ (outr \ x)) \\ datatype \ iso \ a & = & Count \ (\lambda x \to applyCount \ a \ (fromNew \ iso \ x)) \\ char & = & Count \ (\lambda x \to 0) \\ int & = & Count \ (\lambda x \to 0) \\ \end{array}
```

The new version of the class Generic has the same member functions as before, but with slightly different typings: the cases corresponding to type constructors, plus, pair and datatype, now take explicit type arguments, a and b, which are passed to the recursive calls. Of course, we do not pass types as arguments, but rather type representations.

Though the class is a bit different, we are still able to define all the generic functions we have seen before. In particular, we can apply applyCount to rep to obtain a generic function of type $(Rep \ \alpha) \Rightarrow \alpha \rightarrow Int$. However, the result is not interesting at all: the function always returns 0 (provided its argument is fully defined). Instead, we apply applyCount to frep, the generic representation of a type constructor.

```
size :: (FRep \ \varphi) \Rightarrow \varphi \ \alpha \rightarrow Int
size = applyCount (frep (Count (\lambda x \rightarrow 1)))
```

Since *frep* represents a type constructor, it takes an additional argument, which specifies the action of *size* on the base type α : the function $\lambda x \to 1$ makes precise that each element of type α counts as 1. Interestingly, this is not the only option. If we pass the identity to *frep*, then we get a generic sum function.

```
sum :: (FRep \ \varphi) \Rightarrow \varphi \ Int \rightarrow Int

sum = apply Count (frep (Count (\lambda x \rightarrow x)))
```

Two generic functions for the prize of one!

When *size* and *sum* are applied to some value, Haskell's type inferencer determines the particular instance of the type constructor φ . We have noted before that there are, in general, several possible alternatives for φ . If we are not happy with Haskell's choice, we can always specify the type explicitly.

```
 \begin{array}{ll} \textit{Main} \rangle & \textbf{let} \ \textit{xss} = \left[ \left[ i * j \mid j \leftarrow \left[ i \mathinner{\ldotp\ldotp} 9 \right] \right] \mid i \leftarrow \left[ 0 \mathinner{\ldotp\ldotp} 9 \right] \right] \\ \textit{Main} \rangle & \textit{size} \ \textit{xss} \\ 10 \\ \textit{Main} \rangle & \textbf{let} \ a = \textit{Count} \ (\lambda x \rightarrow 1) \\ \textit{Main} \rangle & \textit{applyCount} \ (\textit{list} \ (\textit{list} \ a)) \ \textit{xss} \\ 55 \\ \textit{Main} \rangle & \textit{applyCount} \ (\textit{list} \ a) \ \textit{xss} \\ 10 \\ \textit{Main} \rangle & \textit{applyCount} \ a \ \textit{xss} \\ 1 \\ \end{array}
```

By default, *size* calculates the size of the outer list, not the total number of elements. For the latter behaviour, me must pass an explicit type representation to *applyCount*. This is something which is not possible with the first implementation of generics.

Exercise 7. Generalize *size* and *sum* so that they work for arbitrary numeric types.

```
\begin{array}{ll} \textit{size} & :: & (\textit{FRep} \ \phi, \textit{Num} \ \eta) \Rightarrow \phi \ \alpha \rightarrow \eta \\ \textit{sum} & :: & (\textit{FRep} \ \phi, \textit{Num} \ \eta) \Rightarrow \phi \ \eta \rightarrow \eta \end{array}
```

Exercise 8. The function *reducer* whose signature is given below generalizes Haskell's *foldr* function (*reducer* swaps the second and the third argument).

```
\begin{array}{lll} \textbf{newtype} \ \textit{Reducer} \ \beta \ \alpha &=& \textit{Reducer} \{\textit{applyReducer} :: \alpha \to \beta \to \beta \} \\ \textbf{instance} \ \textit{Generic} \ (\textit{Reducer} \ \beta) \ \textbf{where} \\ \dots \end{array}
```

```
 \begin{array}{lll} \textit{reducer} & :: & (\textit{FRep}\; \phi) \Rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\phi\; \alpha \rightarrow \beta \rightarrow \beta) \\ \textit{reducer} \; f & = & \textit{applyReducer} \left(\textit{frep} \left(\textit{Reducer} \; f\right)\right) \end{array}
```

Fill in the missing details. Use *reducer* to define a function that flattens a data structure into a list of elements. Define *sum* in terms of *reducer*.

3.2 Introducing a new type

As before, we have to do a bit of extra work when we define a new data type. The main difference to Section 2.2 is that we must explicitly define the structure type—datatype expects the structure type as its second argument. At first sight, providing this information seems to be a lot less elegant, but it turns out to be fairly advantageous.

Reconsider the data type *Shrub*. Since it is a type constructor rather than a type, we first define a 'type constructor representation'.

```
shrub :: (Generic\ g) \Rightarrow g\ \alpha \rightarrow g\ (Shrub\ \alpha)
shrub a = datatype\ (Iso\ fromShrub\ toShrub\ )
(a\oplus shrub\ a\otimes shrub\ a)
```

The operators ' \oplus ' and ' \otimes ' are convenient shortcuts for *plus* and *pair*.

```
infixr 3 \otimes 
infixr 2 \oplus 
a \oplus b = plus \ a \ b
a \otimes b = pair \ a \ b
```

The type constructor Shrub can be seen as a function that takes types to types. Likewise, Shrub is a function that takes type representations to type representations. The structure type $a \oplus shrub$ $a \otimes shrub$ a makes explicit, that Shrub is a binary sum, that the first constructor takes a single argument of type α , and that the second constructor takes two arguments of type Shrub α . Using Shrub we can now provide suitable instances of Shrub and Shrub we can now provide suitable instances of Shrub and Shrub we

```
instance (Rep \ \alpha) \Rightarrow Rep \ (Shrub \ \alpha) where rep = shrub \ rep instance FRep \ Shrub where frep = shrub
```

The last declaration shows that *shrub* is just the *Shrub* instance of *frep*.

3.3 Implementation

The implementation of *Generic* and *Rep* reflects the change from implicit to explicit type arguments: the implicit arguments in the form of a context ' $(Rep \ \alpha) \Rightarrow$ ' are replaced by explicit arguments of the form ' $g \ \alpha \rightarrow$ '.

class Generic g where

```
\begin{array}{lll} \textit{unit} & :: & \textit{g Unit} \\ \textit{plus} & :: & \textit{g} \ \alpha \rightarrow \textit{g} \ \beta \rightarrow \textit{g} \ (\textit{Plus} \ \alpha \ \beta) \\ \textit{pair} & :: & \textit{g} \ \alpha \rightarrow \textit{g} \ \beta \rightarrow \textit{g} \ (\textit{Pair} \ \alpha \ \beta) \\ \textit{datatype} & :: & \textit{Iso} \ \alpha \ \beta \rightarrow \textit{g} \ \alpha \rightarrow \textit{g} \ \beta \\ \textit{char} & :: & \textit{g Char} \\ \textit{int} & :: & \textit{g Int} \\ \end{array}
```

```
class Rep \ \alpha where
rep :: (Generic \ g) \Rightarrow g \ \alpha
instance Rep \ Unit where
rep = unit
instance (Rep \ \alpha, Rep \ \beta) \Rightarrow Rep \ (Plus \ \alpha \ \beta) where
rep = rep \oplus rep
instance (Rep \ \alpha, Rep \ \beta) \Rightarrow Rep \ (Pair \ \alpha \ \beta) where
rep = rep \otimes rep
instance Rep \ Int where
rep = int
```

Furthmermore, we introduce a class that accommodates the mother of all 'type constructor representations'.

```
class FRep \varphi where
frep :: (Generic g) \Rightarrow g \alpha \rightarrow g (\varphi \alpha)
```

Exercise 9. The first implementation of generics used implicit, the second explicit type arguments. Does it make sense to combine both?

```
class Generic g where \begin{array}{ccc} \textit{unit} & :: & \textit{g Unit} \\ \textit{plus} & :: & (\textit{Rep}\ \alpha, \textit{Rep}\ \beta) \Rightarrow \textit{g}\ \alpha \rightarrow \textit{g}\ \beta \rightarrow \textit{g}\ (\textit{Plus}\ \alpha\ \beta) \end{array}
```

Exercise 10. Some generic functions require abstraction over two type parameters.

```
class Generic g where

unit :: g Unit Unit

plus :: g \alpha_1 \alpha_2 \rightarrow g \beta_1 \beta_2 \rightarrow g (Plus \alpha_1 \beta_1) (Plus \alpha_2 \beta_2)

...

class Rep \alpha where

rep :: (Generic g) \Rightarrow g \alpha \alpha
```

Implement a generic mapping function using this interface.

3.4 Extensions

3.4.1 Accessing constructor names

Being explicit about type representations pays off when it comes to adding information about constructors. In Section 2.4.3 we had to introduce a new type *Constr* to record the name and the arity of the constructor. Now, we can simply add the information to the type representation.

```
class Generic g where ... constr :: Name \rightarrow Arity \rightarrow g \alpha \rightarrow g \alpha
```

Since the additional type case *constr name arity* has type $g \alpha \rightarrow g \alpha$, the representation of values is not affected. This is a huge advantage as it means that this extension works both for pretty printing and parsing.

In particular, it suffices to adapt the definition of *shrub* and colleagues; the implementation of the mappings *fromShrub* and *toShrub* is not affected.

```
shrub :: (Generic\ g) \Rightarrow g\ \alpha \rightarrow g\ (Shrub\ \alpha)

shrub a = datatype\ (Iso\ fromShrub\ toShrub\ )

constr\ "Leaf\ "1\ a

\oplus constr\ "Fork\ "2\ (shrub\ a\otimes shrub\ a)
```

The new definition of *shrub* is a true transliteration of the data type declaration.

3.4.2 Mutual recursion

Being explicit about type representations is a bit of a pain when it comes to programming mutually recursive generic functions. With the first implementation mutual recursion was easy: the method context '($Rep \alpha$) \Rightarrow ' allowed us to call any generic function. Now, we are less flexible: the explicit $g \alpha$ argument corresponds to the immediate recursive call. So, to implement mutual recursion we have to tuple the functions involved.

```
newtype Pretty \alpha = Pretty\{applyPretty:: \alpha \rightarrow Doc, applyPrettyList:: [\alpha] \rightarrow Doc\}
```

The following exercise asks you to re-implement the prettier printer using this record type.

Exercise 11. Re-implement the generic prettier printer of Section 2.4.4 using tupling. Try, in particular, to simulate default type cases.

4 Where to go from here

Got interested in generic programming? There is quite a wealth of material on the subject. For a start, we recommend studying the tutorials [1, 6, 5]. Further reading includes [7, 3].

The particular implementation described in this pearl is inspired by Weirich's paper [11]. Weirich gives an implementation in Haskell augmented by rank-2 types. The essence of this pearl is that Haskell's class system can be used to avoid higher-order ranks.

If you are willing to go beyond Haskell 98, then there is a lot more to discover. Using *rank-2 types* we can implement *higher-order generic functions*. This extension is jolly useful for implementing generic traversals [9, 4]. Using *existential types* we can combine generic functions with dynamic values [2, 4]. Dynamic type checking is indispensible for programs that interact with the environment.

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